

Spoken Dialogue Systems (SDS)

- Natural language interfaces for human-computer interaction
- Speech is a frequently used mode of interaction
- Involves: speech recognition/synthesis, language understanding/generation
- Often designed to perform specific tasks
- Examples for goal-oriented dialogue systems:
 - Flight ticket booking
 - Town-information
 - Language tutoring

Spoken dialogue management

- Navigates the dialogue system
- Essentially a decision making problem
- What to ask some information?
- When to say some thing to the user?
- More than one possible action
- Choose best action given dialogue context
- **Sequential decision making problem**

**Spoken dialogue optimization (MDP-SDS)**

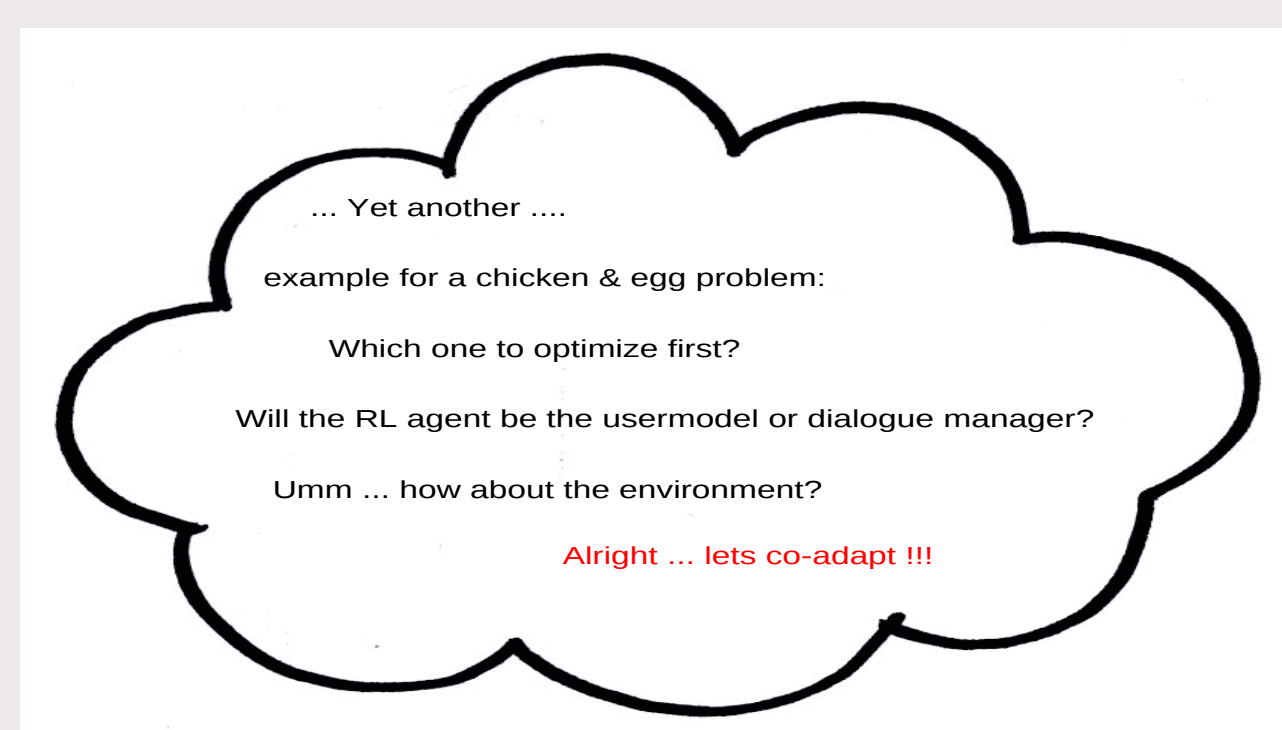
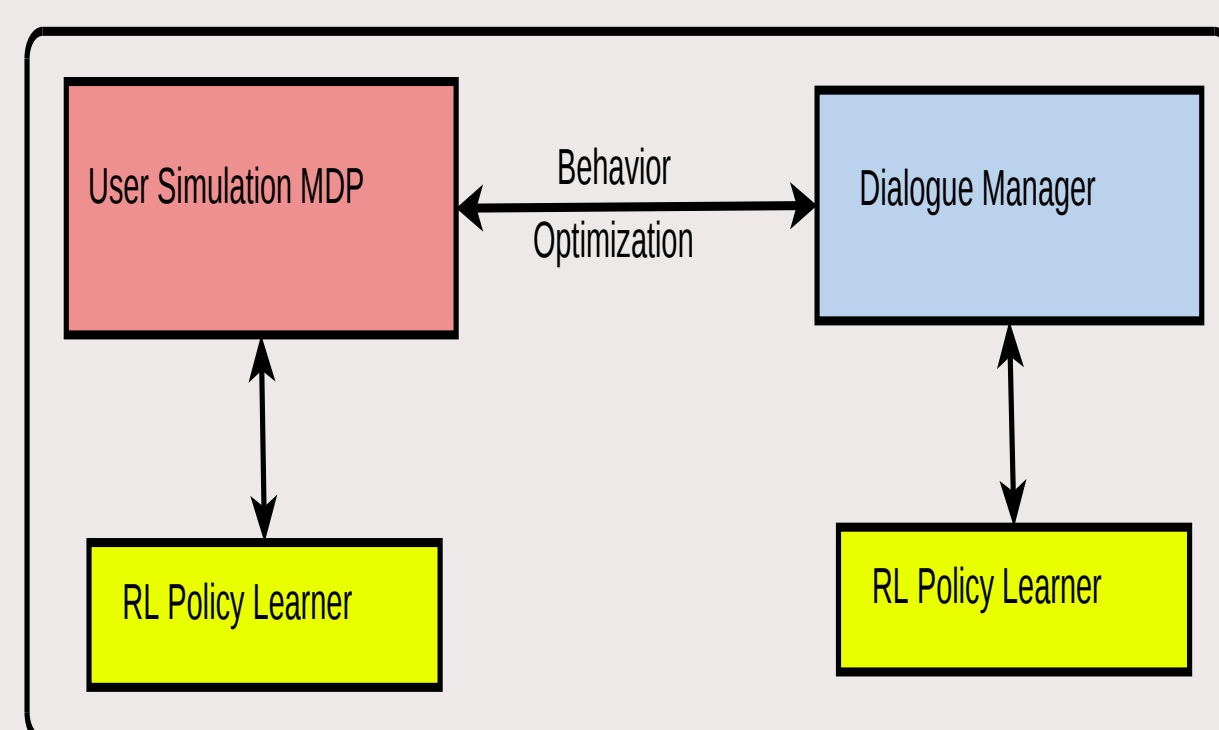
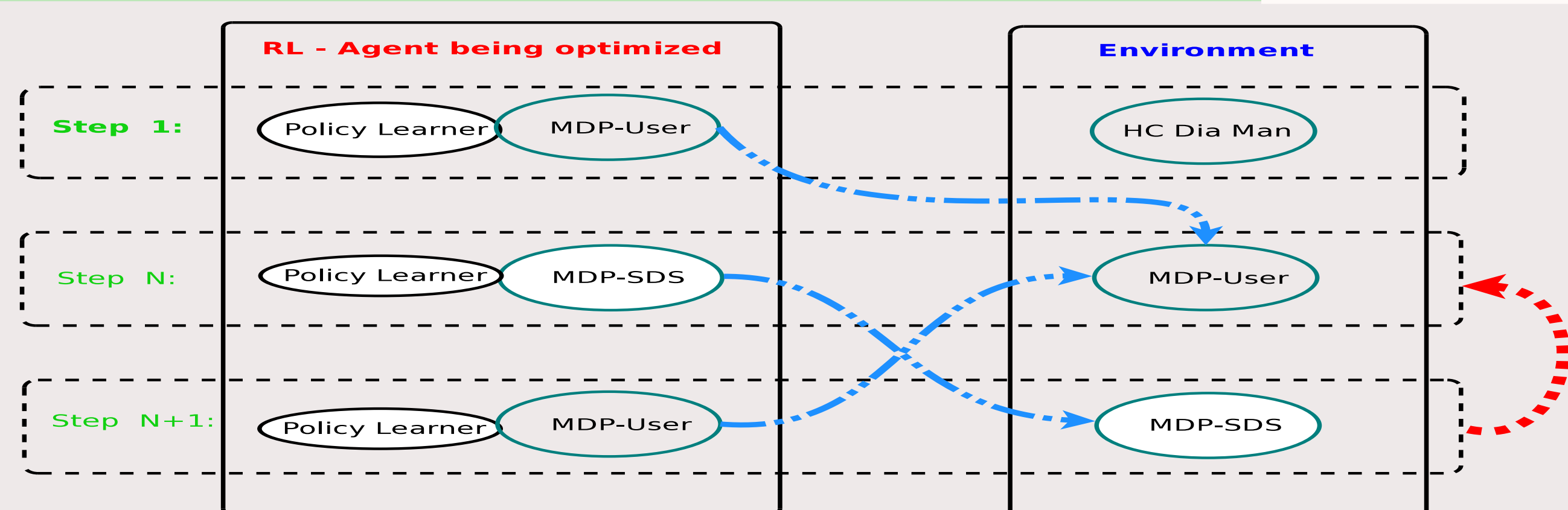
- Dialogue management is a sequential decision making problem
- Dialogue management problem is cast as a MDP [2]
- Transition probabilities i.e., model of the system is not available
- Reinforcement learning is used for dialogue optimization [5]
- **System designer specifies the reward function to be maximized**

User simulation in spoken dialogue systems

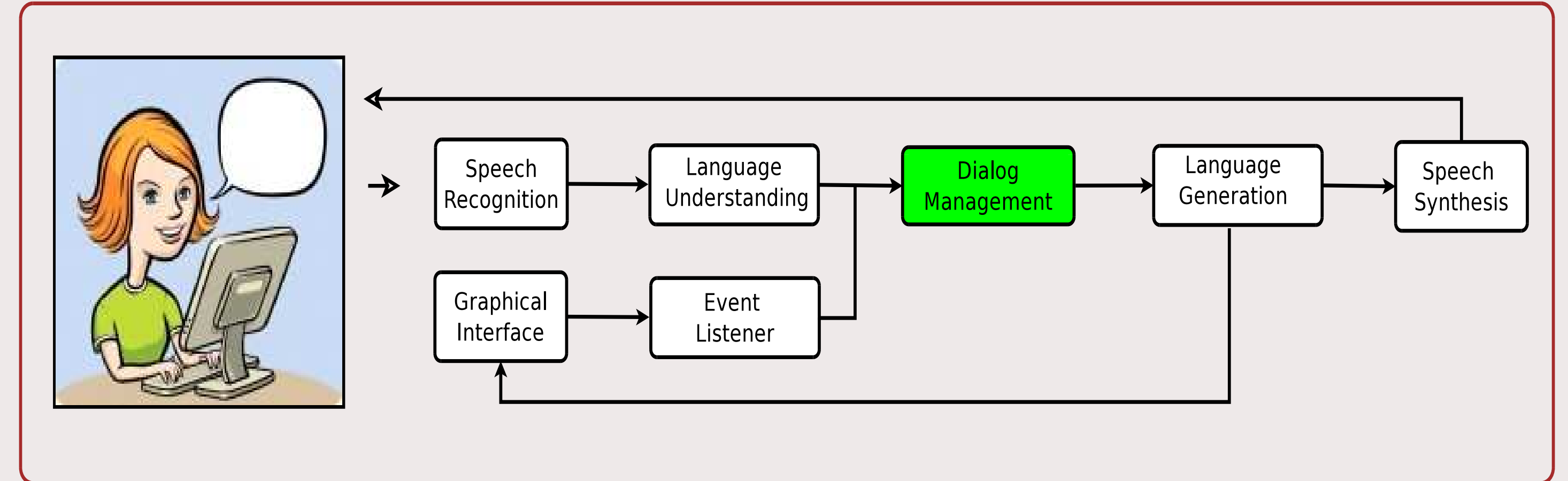
- However, RL needs large amount of dialogue corpora for policy optimization
- Dialogue corpora generation is expensive and time taking process
- User simulators [6] are built from corpora
- Simulators aims at generating synthetic dialogue corpus
- Simulators are used to estimate/evaluate dialogue policies
- **Is it optimal to adapt dialogue manager to a fixed user or corpus?**
- **In real world users not only adapt but also change goal/behavior**
- **It is important to build dynamic and adaptive user simulators**

Modelling user simulation as a Markov Decision Process

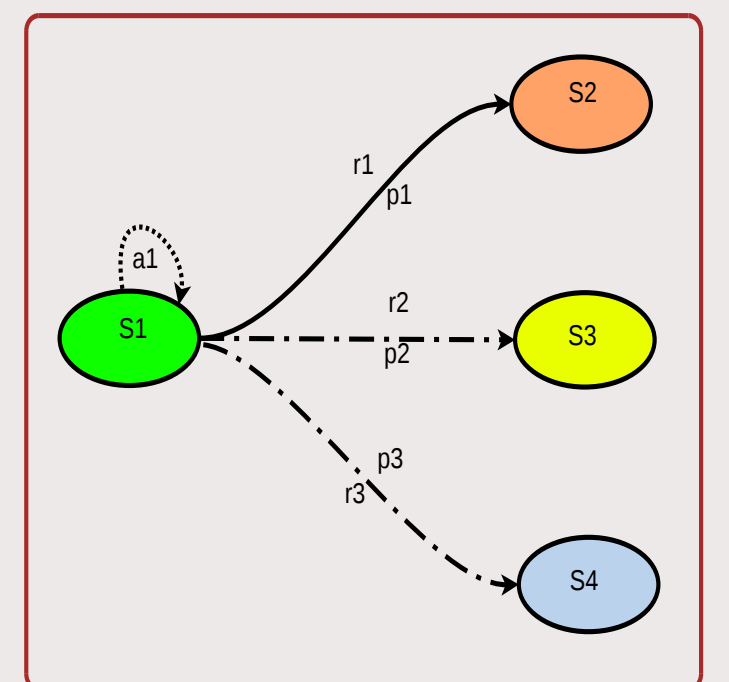
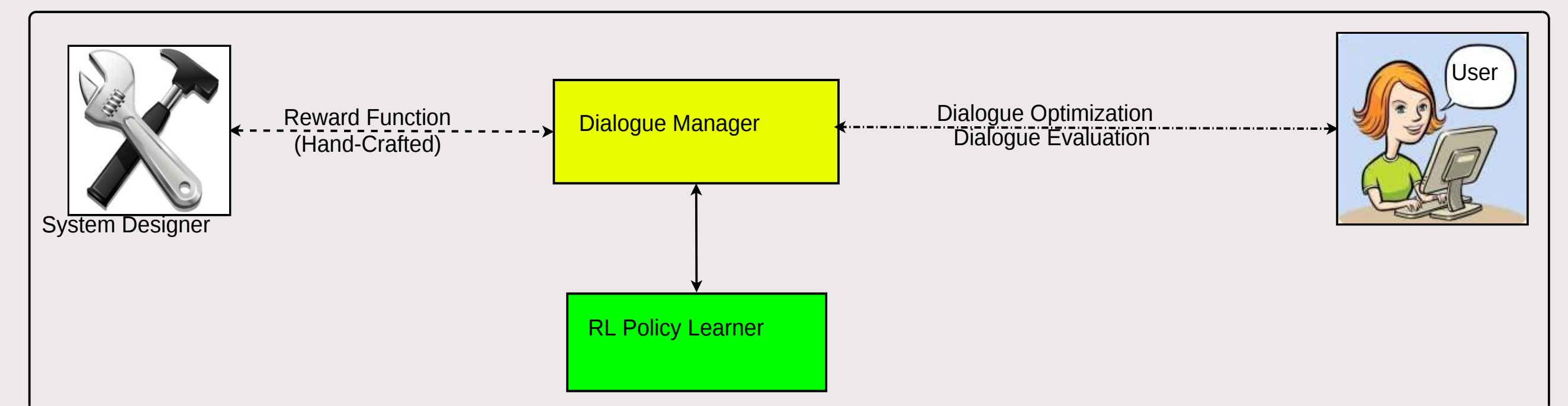
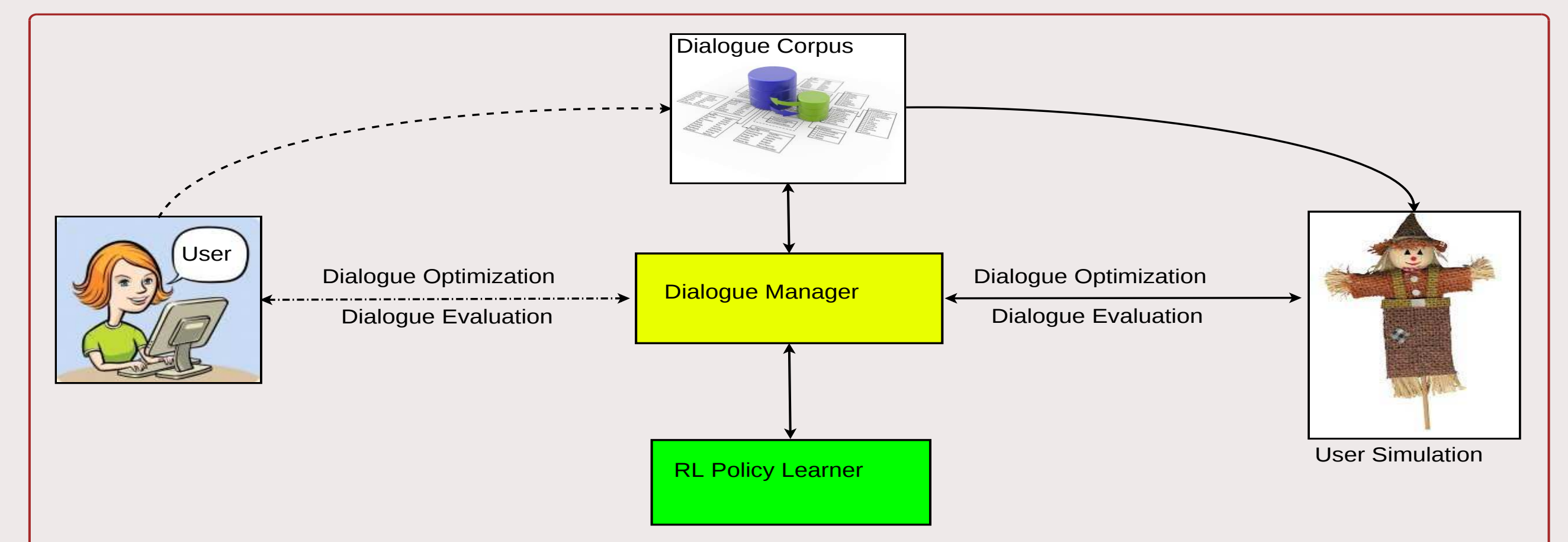
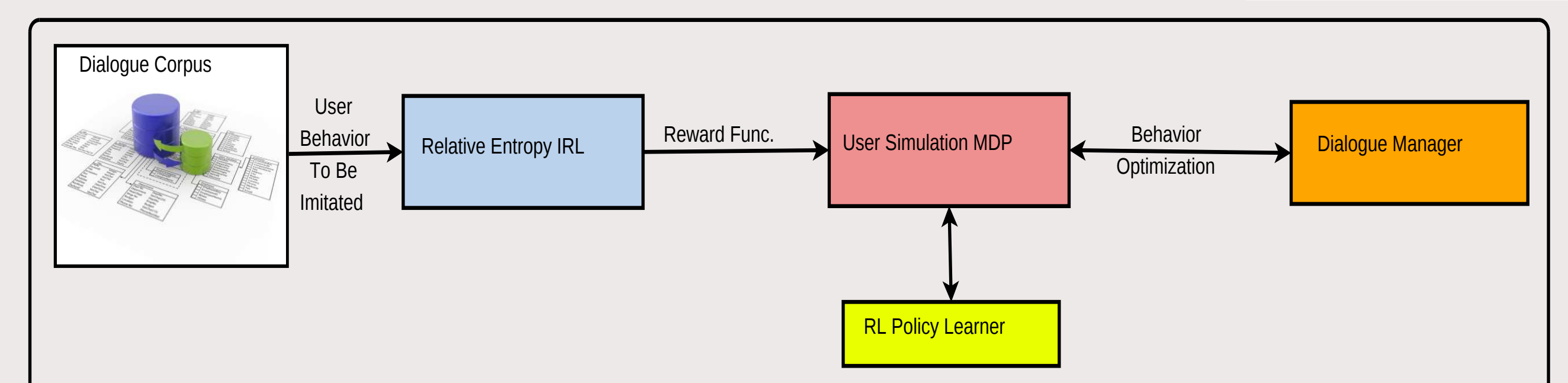
- Dialogue system end users tend to behave in goal oriented manner
- User behavior can be perceived as sequence of decisions
- Sequential decision making users can be modelled as an MDP
- **Reward function required for RL optimization must be learned from corpus**
- Relative Entropy IRL was employed to learn the reward function [3]
- User model is casted as an MDP and behavior is imitated using IRL and RL

Consequence of casting both dialogue manager and user as MDPs**Co-adaptation framework in spoken dialogue systems****References**

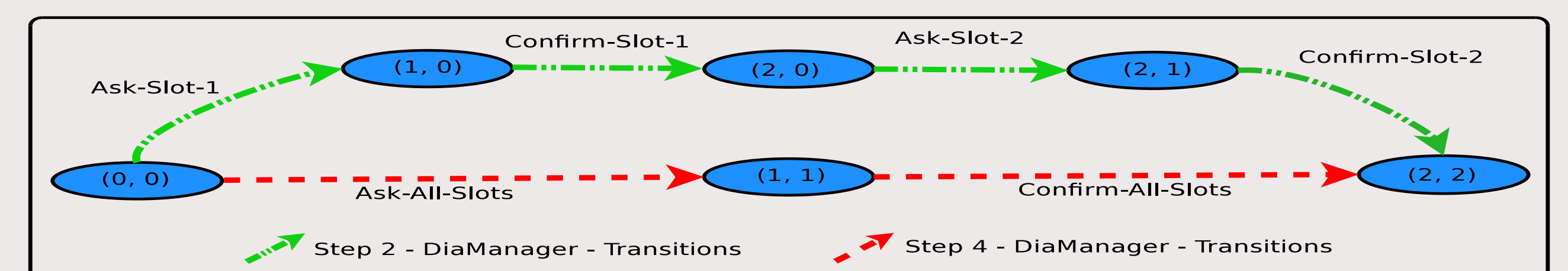
- [1] R. Bellman, *Dynamic Programming*, Dover Publications, sixth edition, 1957.
- [2] R. Bellman, A markovian decision process. *Journal of Mathematics and Mechanics*, vol. 6, pp. 679–684, 1957.
- [3] A. Boularias, J. Kober, and J. Peters. Relative entropy inverse reinforcement learning. *Journal of Machine Learning Research - Proceedings Track*, 15:182–189, 2011.
- [4] M. Gasic, F. Jurcicek, B. Thomson, K. Yu, and S. Young, On-line policy optimisation of spoken dialogue systems via live interaction with human subjects". In *Proc. of ASRU 2011, Hawaii (USA)*, 2011.
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- [6] J. Schatzmann, K. Weilhammer, M. Stuttle, and S. Young. A survey of statistical user simulation techniques for reinforcement-learning of dialogue management strategies. *Knowledge Engineering Review*, vol. 21(2), pp. 97–126, 2006.
- [7] R. Sutton and A. Barto, *Reinforcement Learning: An Introduction*. The MIT Press, 3rd edition, March 1998.

Architecture of spoken dialogue systems**Markov Decision Processes (MDP)**

- Solve sequential decision making problems
- Markov Decision Process: $\{S, A, R, P, \gamma\}$ [2]
- Rewarded state transitions $\{s, a, r, s'\}$
- Solution of an MDP is an optimal policy
- Schemes to solve MDPs:
 - Dynamic programming [1] (model based)
 - Reinforcement learning [7] (model free)

**Dialogue optimization using reinforcement learning****Role of user simulation in dialogue optimization****Revisiting spoken dialogue optimization problem)****Revisiting spoken dialogue optimization problem)**

- Naturalness observed in human-human communication is result of
 - (1) Dialogue initiation stage (**focal point of current dialogue research**)
 - (2) Dialogue evolution or co-alignment stage
- Online dialogue optimization may seem to facilitate co-alignment, however
 - (1) Users can better adapt to SDS than vice-versa
 - (2) Introduces some degree of over-confidence during adaptation [4]

Experiment: Co-adaptation in restaurant information SDS

- Dialogue and user policies evolve and improve over time
- Similar to human-human dialogue, co-adaptation is subjective to ASR errors
- **Co-adaptation is a step towards building self evolving dialogue systems**