

Universität Ulm
Fakultät für Informatik



On Pseudorandomness and
Resource-Bounded Measure

Vikraman Arvind

Institute of Mathematical Sciences Madras

Johannes Köbler

Universität Ulm

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V. Arvind

Institute of Mathematical Sciences

C. I. T. Campus

Madras 600 113, India

Johannes Köbler

Abteilung Theoretische Informatik,

Universität Ulm,

D-89069 Ulm, Germany

Abstract

In this paper we extend a key result of Nisan and Wigderson to the nondeterministic setting: for all $\alpha > 0$ we show that if there is a language in $E = \text{DTIME}(2^{O(n)})$ that is hard to approximate by nondeterministic circuits of size $2^{\alpha n}$, then there is a pseudorandom generator that can be used to derandomize $\text{BP} \cdot \text{NP}$ (in symbols, $\text{BP} \cdot \text{NP} = \text{NP}$). By applying this extension we are able to answer some questions left open by Lutz regarding the derandomization of the classes $\text{BP} \cdot \Sigma_k^P$ and $\text{BP} \cdot \Theta_k^P$ under plausible measure theoretic assumptions:

- For all $k \geq 2$, if $\mu_p(\Delta_k^P) \neq 0$, then $\text{BP} \cdot \Sigma_k^P = \Sigma_k^P$.
- For all $k \geq 2$, if $\mu_p(\Theta_k^P) \neq 0$, then $\text{BP} \cdot \Theta_k^P = \Theta_k^P$.
- If $\mu_p(\text{NP}) \neq 0$, then $\text{BP} \cdot \text{NP} \subseteq \text{NP}/\log$.
- If $\mu_p(\text{NP} \cap \text{coNP}) \neq 0$, then $\text{BP} \cdot \text{NP} = \text{NP}$.

As a consequence, if Θ_2^P does not have p-measure 0, then $\text{AM} \cap \text{coAM}$ is low for Θ_2^P . Thus, in this case, BPP and the graph isomorphism problem are low for Θ_2^P . By using the Nisan-Wigderson design of a pseudorandom generator we unconditionally show the inclusion $\text{MA} \subseteq \text{ZPP}^{\text{NP}}$ and that $\text{MA} \cap \text{coMA}$ is low for ZPP^{NP} .

1 Introduction

In recent years, following the development of resource-bounded measure theory, pioneered by Lutz in [Lut92, Lut93], plausible complexity-theoretic assumptions like $\text{P} \neq \text{NP}$

have been replaced by the stronger, but arguably plausible measure-theoretic assumption $\mu_p(\text{NP}) \neq 0$. With this stronger assumption as hypothesis, a number of interesting complexity-theoretic conclusions have been derived, which are not known to follow from $\text{P} \neq \text{NP}$. Two prominent examples of such results are: there are Turing-complete sets for NP that are not many-one complete [LM94], there are NP problems for which search *does not* polynomial-time reduce to decision [LM94, BG94].

Recently, Lutz [Lut96] has shown that the hypothesis $\mu_p(\text{NP}) \neq 0$ (in fact, the possibly weaker hypothesis $\mu_p(\Delta_k^{\text{P}}) \neq 0$) implies that $\text{BP} \cdot \Delta_k^{\text{P}} = \Delta_k^{\text{P}}$, $k \geq 2$ (in other words, $\text{BP} \cdot \Delta_k^{\text{P}}$ can be derandomized). This has an improved lowness consequence: it follows that if $\mu_p(\Delta_2^{\text{P}}) \neq 0$ then $\text{AM} \cap \text{coAM}$ is low for Δ_2^{P} (i.e., any $\text{AM} \cap \text{coAM}$ language is powerless as oracle to Δ_2^{P} machines). It also follows from $\mu_p(\Delta_2^{\text{P}}) \neq 0$ that if $\text{NP} \subseteq \text{P/poly}$ then $\text{PH} = \Delta_2^{\text{P}}$. Thus the results of Lutz's paper [Lut96] have opened up a study of derandomization of randomized complexity classes and new lowness properties under assumptions about the resource-bounded measure of different complexity classes.

The results of Lutz in [Lut96] (and also a preceding paper [Lut93]) are intimately related to research on derandomizing randomized algorithms based on the idea of trading hardness for randomness [Sha81, Yao82, NW94]. In particular, Lutz makes essential use of the explicit design of a pseudorandom generator that stretches a short random string to a long pseudorandom string that looks random to *deterministic* polynomial-size circuits. More precisely, the Nisan-Wigderson generator is built from a set (assumed to exist) that is in E and, for some $\alpha > 0$, is *hard to approximate* by circuits of size $2^{\alpha n}$. As shown in [NW94], such a pseudorandom generator can be used to derandomize BPP.

In the present paper we extend the just mentioned result of Nisan and Wigderson to the nondeterministic setting. In section 3 we show that their generator can also be used to derandomize the Arthur-Merlin class $\text{AM} = \text{BP} \cdot \text{NP}$, provided it is built from a set in E that is hard to approximate by *nondeterministic* circuits of size $2^{\alpha n}$ for some $\alpha > 0$.

In section 4 we apply this extension to answer some questions left open by Lutz in [Lut96]. We show that for all $k \geq 2$, $\mu_p(\Delta_k^{\text{P}}) \neq 0$ implies $\text{BP} \cdot \Sigma_k^{\text{P}} = \Sigma_k^{\text{P}}$ (see Fig. 2). Furthermore, we show under the possibly weaker assumption $\mu_p(\text{NP}) \neq 0$ that $\text{BP} \cdot \text{NP}$ can be derandomized by using a logarithmic number of advice bits (i.e., $\text{BP} \cdot \text{NP} \subseteq \text{NP}/\log$). Under the stronger hypothesis $\mu_p(\text{NP} \cap \text{coNP}) \neq 0$ we also prove that $\text{BP} \cdot \text{NP} = \text{NP}$ which has some immediate strong implications as, for example, Graph Isomorphism is in $\text{NP} \cap \text{coNP}$.

Relatedly, in section 5 we show that for all $k \geq 2$, $\mu_p(\Theta_k^{\text{P}}) \neq 0$ implies $\text{BP} \cdot \Theta_k^{\text{P}} = \Theta_k^{\text{P}}$, answering an open problem stated in [Lut96]. Thus, $\mu_p(\Theta_2^{\text{P}}) \neq 0$ has the remarkable consequence that $\text{AM} \cap \text{coAM}$ (and hence Graph Isomorphism) is low for Θ_2^{P} .

Finally, we show in section 6 that the Arthur-Merlin class MA is contained in ZPP^{NP} and that $\text{MA} \cap \text{coMA}$ is even low for ZPP^{NP} . These results follow easily by using the Nisan-Wigderson generator [NW94].

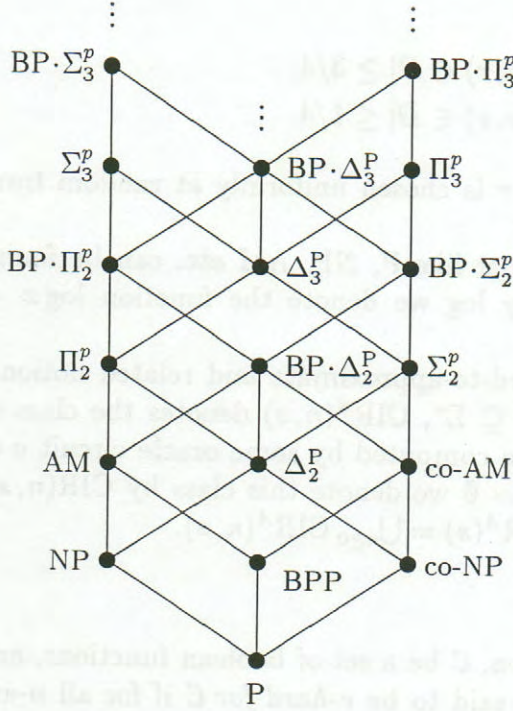


Fig. 1: Known inclusion structure

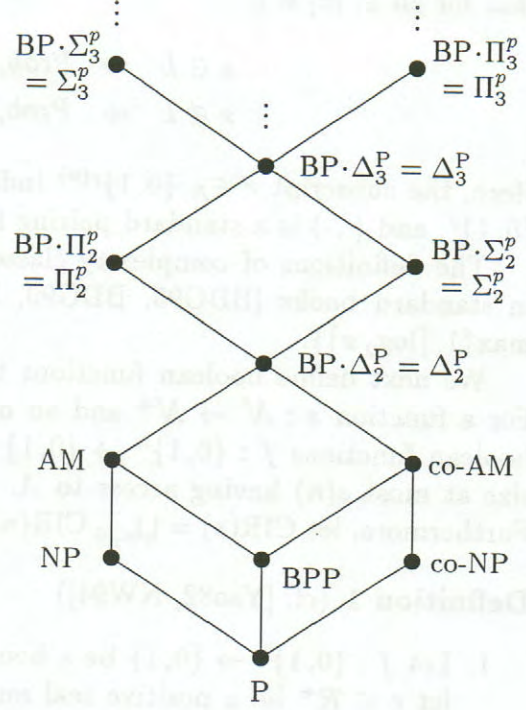


Fig. 2: Inclusion structure if $\mu_p(\Delta_2^P) \neq 0$

2 Preliminaries

In this section we give formal definitions and describe the results of Nisan and Wigderson [NW94] and of Lutz [Lut96] which we generalize in this paper.

Let the finite alphabet be fixed as $\Sigma = \{0, 1\}$. We denote the cardinality of a finite set X by $\|X\|$ and the length of $x \in \Sigma^*$ by $|x|$. The join of two sets A and B , denoted by $A \oplus B$, is defined as $A \oplus B = \{0x \mid x \in A\} \cup \{1x \mid x \in B\}$. The characteristic function of a language $L \subseteq \Sigma^*$ is defined as $L(x) = 1$ if $x \in L$, and $L(x) = 0$ otherwise (by abuse of notation we denote this function also by $L(x)$). The restriction of $L(x)$ to strings of length n can be considered as an n -ary boolean function that we denote by L^n .

The class E is defined as $\bigcup_{c>0} \text{DTIME}(2^{cn})$, and $\text{EXP} = \bigcup_{c>0} \text{DTIME}(2^{n^c})$. For a class \mathcal{C} of sets and a class \mathcal{F} of functions from 1^* to Σ^* , let \mathcal{C}/\mathcal{F} [KL80] be the class of sets A such that there is a set $B \in \mathcal{C}$ and a function $h \in \mathcal{F}$ such that for all $x \in \Sigma^*$,

$$x \in A \Leftrightarrow \langle x, h(1^{|x|}) \rangle \in B.$$

The function h is called an *advice function* for A .

The BP-operator [Sch89] assigns to each complexity class \mathcal{C} a randomized version $\text{BP} \cdot \mathcal{C}$ as follows. A set L belongs to $\text{BP} \cdot \mathcal{C}$ if there exist a polynomial p and a set $D \in \mathcal{C}$ such

that for all x , $|x| = n$

$$\begin{aligned} x \in L &\Rightarrow \text{Prob}_{r \in_R \{0,1\}^{p(n)}}[\langle x, r \rangle \in D] \geq 3/4, \\ x \notin L &\Rightarrow \text{Prob}_{r \in_R \{0,1\}^{p(n)}}[\langle x, r \rangle \in D] \leq 1/4. \end{aligned}$$

Here, the subscript $r \in_R \{0,1\}^{p(n)}$ indicates that r is chosen uniformly at random from $\{0,1\}^p$, and $\langle \cdot, \cdot \rangle$ is a standard pairing function.

The definitions of complexity classes we consider like P, NP, AM etc. can be found in standard books [BDG95, BDG90, Pap94]. By \log we denote the function $\log x = \max\{1, \lceil \log_2 x \rceil\}$.

We next define boolean functions that are hard-to-approximate and related notions. For a function $s : \mathcal{N} \rightarrow \mathcal{N}^+$ and an oracle set $A \subseteq \Sigma^*$, $\text{CIR}^A(n, s)$ denotes the class of boolean functions $f : \{0,1\}^n \rightarrow \{0,1\}$ that can be computed by some oracle circuit c of size at most $s(n)$ having access to A . In case $A = \emptyset$ we denote this class by $\text{CIR}(n, s)$. Furthermore, let $\text{CIR}(s) = \bigcup_{n \geq 0} \text{CIR}(n, s)$ and $\text{CIR}^A(s) = \bigcup_{n \geq 0} \text{CIR}^A(n, s)$.

Definition 1 (cf. [Yao82, NW94])

1. Let $f : \{0,1\}^n \rightarrow \{0,1\}$ be a boolean function, \mathcal{C} be a set of boolean functions, and let $r \in \mathcal{R}^+$ be a positive real number. f is said to be r -hard for \mathcal{C} if for all n -ary boolean functions g in \mathcal{C} ,

$$2^{n-1}(1 - 1/r) < \|\{x \in \{0,1\}^n \mid f(x) = g(x)\}\| < 2^{n-1}(1 + 1/r).$$

2. Let $r : \mathcal{N} \rightarrow \mathcal{R}^+$ be a function. A language $L \subseteq \Sigma^*$ is said to be r -hard for \mathcal{C} if for all but finitely many n , $L^=n$, considered as an n -ary boolean function, is $r(n)$ -hard for \mathcal{C} .
3. A class \mathcal{D} of languages is called r -hard for \mathcal{C} if some language $L \in \mathcal{D}$ is r -hard for \mathcal{C} .
4. A boolean function f (a language L , or a language class \mathcal{D}) is called $\text{CIR}^A(r)$ -hard if f (resp. L , \mathcal{D}) is r -hard for $\text{CIR}^A(r)$.

The already discussed result of Nisan and Wigderson can be stated in relativized form as follows.

Theorem 2 [NW94] *For all $\alpha > 0$ and all oracles A , if E^A is $\text{CIR}^A(2^{\alpha n})$ -hard, then $P^A = \text{BPP}^A$.*

Resource-bounded measure was introduced in [Lut92]. We briefly recall some basic definitions from [Lut92, Lut96] leading to the definition of a language class having p-measure 0. Intuitively, if a class \mathcal{C} of languages has p-measure 0, then $\mathcal{C} \cap E$ forms a negligibly small subclass of E (see [Lut92, Lut96] for more motivation on this concept).

Definition 3 [Lut92, Lut96]

1. A function $d : \Sigma^* \rightarrow \mathcal{R}^+$ is called a *supermartingale* if for all $w \in \Sigma^*$,

$$d(w) \geq (d(w0) + d(w1))/2.$$

2. The *success set* of a supermartingale d is defined as

$$S^\infty[d] = \{A \mid \limsup_{l \rightarrow \infty} d(A(s_1) \cdots A(s_l)) = \infty\}$$

where $s_1 = \lambda, s_2 = 0, s_3 = 1, s_4 = 00, s_5 = 01, \dots$ is the standard enumeration of Σ^* in lexicographic order. The *unitary success set* of d is

$$S^1[d] = \bigcup_{d(w) \geq 1} C_w$$

where, for $w \in \Sigma^*$, C_w is the class of languages A such that $A(s_1) \dots A(s_{|w|}) = w$.

3. A function $d : \mathcal{N}^i \times \Sigma^* \rightarrow \mathcal{R}$ is said to be *p-computable* if there is a function $f : \mathcal{N}^{i+1} \times \Sigma^* \rightarrow \mathcal{R}$ such that $f(r, k_1, \dots, k_i, w)$ is computable in time polynomial in $r + k_1 + \dots + k_i + |w|$ and $|f(r, k_1, \dots, k_i, w) - d(k_1, \dots, k_i, w)| \leq 2^{-r}$.
4. A class X of languages *has p-measure 0* (in symbols, $\mu_p(X) = 0$) if there is a p-computable supermartingale d such that $X \subseteq S^\infty[d]$.

In the context of resource-bounded measure, it is interesting to ask for the measure of the class of all sets A for which E^A is not $\text{CIR}^A(2^{\alpha n})$ -hard. Building on initial results in [Lut93] it is shown in [AS94] that this class has p-measure 0.

Lemma 4 [AS94] *For all $0 < \alpha < 1/3$, $\mu_p\{A \mid E^A \text{ is not } \text{CIR}^A(2^{\alpha n})\text{-hard}\} = 0$.*

Lutz strengthened this to the following result that is more useful for many applications.

Lemma 5 [Lut96] *For all $0 < \alpha < 1/3$ and all oracles $B \in E$,*

$$\mu_p\{A \mid E^A \text{ is not } \text{CIR}^{A \oplus B}(2^{\alpha n})\text{-hard}\} = 0.$$

As a consequence of the above lemma, Lutz derives the following theorem.

Theorem 6 [Lut96] *For $k \geq 2$, if $\mu_p(\Delta_k^P) \neq 0$ then $\text{BP} \cdot \Delta_k^P \subseteq \Delta_k^P$.*

It is not hard to see that Theorem 6 can be extended to any complexity class $\mathcal{C} \subseteq \text{EXP}$ closed under join and polynomial-time Turing reducibility (see also Corollary 22). For example, if $\oplus P$ does not have p-measure 0, then $\text{BP} \cdot \oplus P \subseteq \oplus P$, implying [Tod91] that the polynomial hierarchy is contained in $\oplus P$.

In sections 4 and 5 we address the questions left open by Lutz in [Lut96], namely whether $\text{BP} \cdot \Sigma_k^P = \Sigma_k^P$ (or $\text{BP} \cdot \Theta_k^P = \Theta_k^P$) can be also derived from $\mu_p(\Delta_k^P) \neq 0$, and whether stronger consequences can be derived from $\mu_p(\text{NP}) \neq 0$ and $\mu_p(\text{NP} \cap \text{coNP}) \neq 0$. The general steps of our proofs follow a pattern that is similar to the proofs in [Lut96].

3 Derandomizing AM in relativized worlds

In this section we show that the Nisan-Wigderson generator can also be used to derandomize the Arthur-Merlin class $AM = BP \cdot NP$ [Bab85]. We first define the counterpart of Definition 1 for nondeterministic circuits and the corresponding notion of hard-to-approximate boolean functions. A *nondeterministic circuit* c has two kinds of input gates: in addition to the actual inputs x_1, \dots, x_n , c has a series of distinguished *guess inputs* y_1, \dots, y_m . The value computed by c on input $x \in \Sigma^n$ is 1 if there exists a $y \in \Sigma^m$ such that $c(xy) = 1$, and 0 otherwise [SV85].

We now define hardness for nondeterministic circuits. $NCIR^A(s)$ denotes the union $\bigcup_{n \geq 0} NCIR^A(n, s)$, where $NCIR^A(n, s)$ contains all boolean functions $f : \{0, 1\}^n \rightarrow \{0, 1\}$ that can be computed by some nondeterministic oracle circuit c of size at most $s(n)$, having access to oracle A .

Definition 7 A boolean function f (a language L , or a language class \mathcal{D}) is called $NCIR^A(r)$ -hard if f (resp. L, \mathcal{D}) is r -hard for $NCIR^A(r)$.

We continue by recalling some notation from [NW94]. Let p, l, m, k be positive integers. A collection $D = (D_1, \dots, D_p)$ of sets $D_i \subseteq \{1, \dots, l\}$ is called a (p, l, m, k) -design if

- for all $i = 1, \dots, p$, $\|D_i\| = m$ and
- for all $i \neq j$, $\|D_i \cap D_j\| \leq k$.

Using D we get from a boolean function $g : \{0, 1\}^m \rightarrow \{0, 1\}$ a sequence of boolean functions $g_i : \{0, 1\}^l \rightarrow \{0, 1\}$, $i = 1, \dots, p$, defined as

$$g_i(s_1, \dots, s_l) = g(s_{i_1}, \dots, s_{i_m}) \text{ where } D_i = \{i_1, \dots, i_m\}.$$

By concatenating these function values we get a function $g_D : \{0, 1\}^l \rightarrow \{0, 1\}^p$ where $g_D(s) = g_1(s) \dots g_p(s)$. Now we can state the following lemma.

Lemma 8 *Let D be a (p, l, m, k) -design and let $g : \{0, 1\}^m \rightarrow \{0, 1\}$ be an $NCIR^A(p^2 + p^2k)$ -hard function. Then the function g_D has the property that for every p -input nondeterministic oracle circuit c of size at most p^2 ,*

$$\left| \text{Prob}_{y \in_R \{0, 1\}^p} [c^A(y) = 1] - \text{Prob}_{s \in_R \{0, 1\}^l} [c^A(g_D(s)) = 1] \right| \leq 1/p.$$

Proof. The proof follows along similar lines as the one of [NW94, Lemma 2.4]. We show that if there is a nondeterministic oracle circuit c of size at most p^2 such that

$$\left| \text{Prob}_{y \in_R \{0, 1\}^p} [c^A(y) = 1] - \text{Prob}_{s \in_R \{0, 1\}^l} [c^A(g_D(s)) = 1] \right| > 1/p,$$

then g is not $\text{NCIR}^A(p^2 + p2^k)$ -hard. Let S_1, \dots, S_l and Z_1, \dots, Z_p be independently and uniformly distributed random variables over $\{0, 1\}$ and let $S = (S_1, \dots, S_l)$. Then we can restate the inequality above as follows:

$$\left| \text{Prob}[c^A(Z_1, \dots, Z_p) = 1] - \text{Prob}[c^A(g_1(S), \dots, g_p(S)) = 1] \right| > 1/p$$

where $g_i(s)$ denotes the i th bit of $g_D(s)$, $i = 1, \dots, p$. Now consider the random variables

$$X_i = c^A(g_1(S), \dots, g_{i-1}(S), Z_i, \dots, Z_p), \quad i = 1, \dots, p.$$

Since $X_1 = c^A(Z_1, \dots, Z_p)$ and since $X_{p+1} = c^A(g_1(S), \dots, g_p(S))$, we can fix an index $j \in \{1, \dots, p\}$ such that

$$\left| \text{Prob}[X_j = 1] - \text{Prob}[X_{j+1} = 1] \right| > 1/p^2. \quad (1)$$

Consider the boolean function $h : \{0, 1\}^l \times \{0, 1\}^{p-j+1} \rightarrow \{0, 1\}$ defined as

$$h(s, z_j, \dots, z_p) = \begin{cases} z_j, & \text{if } c^A(g_1(s), \dots, g_{j-1}(s), z_j, \dots, z_p) = 1, \\ 1 - z_j, & \text{otherwise.} \end{cases}$$

It is not hard to see that (1) is equivalent to

$$\left| \text{Prob}[h(S, Z_j, \dots, Z_p) = g_j(S)] - 1/2 \right| \geq 1/p^2. \quad (2)$$

Since $g_j(s_1, \dots, s_l)$ only depends on the bits s_i with $i \in D_j$, we can apply an averaging argument to find fixed bits \hat{s}_i , $i \notin D_j$ and fixed bits $\hat{z}_j, \dots, \hat{z}_p$ such that (1) still holds under the condition that $S_i = \hat{s}_i$ for all $i \notin D_j$ and $Z_i = \hat{z}_i$ for all $i = j, \dots, p$. Since $g_j(s_1, \dots, s_l) = g(s_1, \dots, s_m)$ (for notational convenience we assume w.l.o.g. that $D_j = \{1, \dots, m\}$) we thus get

$$\left| \text{Prob}[h(S_1, \dots, S_m, \hat{s}_{m+1}, \dots, \hat{s}_l, \hat{z}_j, \dots, \hat{z}_p) = g(S_1, \dots, S_m)] - 1/2 \right| \geq 1/p^2.$$

Now consider the nondeterministic oracle circuit c' with inputs s_1, \dots, s_m that first computes $g_1(s_1, \dots, s_m, \hat{s}_{m+1}, \dots, \hat{s}_l), \dots, g_{j-1}(s_1, \dots, s_m, \hat{s}_{m+1}, \dots, \hat{s}_l)$ and then simulates c^A to compute $c^A(g_1(s_1, \dots, s_m, \hat{s}_{m+1}, \dots, \hat{s}_l), \dots, g_{j-1}(s_1, \dots, s_m, \hat{s}_{m+1}, \dots, \hat{s}_l), \hat{z}_j, \dots, \hat{z}_p)$. Then either $c'^A(s_1, \dots, s_m)$ computes the function $h(s_1, \dots, s_m, \hat{s}_{m+1}, \dots, \hat{s}_l, \hat{z}_j, \dots, \hat{z}_p)$ or the negation of this function (depending on whether $\hat{z}_j = 1$ or $\hat{z}_j = 0$) and hence it follows that

$$\left| \text{Prob}[c'^A(S_1, \dots, S_m) = g(S_1, \dots, S_m)] - 1/2 \right| \geq 1/p^2.$$

Crucially, observe that each of $g_1(s_1, \dots, s_m, \hat{s}_{m+1}, \dots, \hat{s}_l), \dots, g_{j-1}(s_1, \dots, s_m, \hat{s}_{m+1}, \dots, \hat{s}_l)$ only depends on at most k input bits. Hence, these values can be computed by a deterministic subcircuit of size at most 2^k (namely, the brute-force circuit that evaluates that particular k -ary boolean function). This means that the size of c' is at most $p^2 + p2^k$, implying that g is not $\text{NCIR}^A(p^2 + p2^k)$ -hard. ■

For our extension of Theorem 2 we also need the following lemma.

Lemma 9 [NW94] *Let c be a positive integer and let the integer valued functions l, m, k defined as $l(p) = 2c^2 \log p$, $m(p) = c \log p$, and $k(p) = \log p$. Then there is a polynomial-time algorithm that on input 1^p computes a $(p, l(p), m(p), k(p))$ -design.*

Theorem 10 *Let A and B be oracles and let $\alpha > 0$. If E^A is $\text{NCIR}^B(2^{\alpha n})$ -hard, then $\text{BP} \cdot \text{NP}^B \subseteq \text{NP}^B / \text{FP}^A$. In particular, if E^A is $\text{NCIR}^A(2^{\alpha n})$ -hard, then $\text{BP} \cdot \text{NP}^A = \text{NP}^A$.*

Proof. Let $L \in \text{BP} \cdot \text{NP}^B$. Then there exist a polynomial p and a set $D \in \text{NP}^B$ such that for all x , $|x| = n$

$$\begin{aligned} x \in L &\Rightarrow \text{Prob}_{r \in_R \{0,1\}^{p(n)}}[\langle x, r \rangle \in D] \geq 3/4, \\ x \notin L &\Rightarrow \text{Prob}_{r \in_R \{0,1\}^{p(n)}}[\langle x, r \rangle \in D] \leq 1/4. \end{aligned}$$

For a fixed input x , the decision procedure for D on input x, r can be simulated by some nondeterministic oracle circuit c_x with inputs $r_1, \dots, r_{p(n)}$, implying that

$$\begin{aligned} x \in L &\Rightarrow \text{Prob}_{r \in_R \{0,1\}^{p(n)}}[c_x^B(r) = 1] \geq 3/4, \\ x \notin L &\Rightarrow \text{Prob}_{r \in_R \{0,1\}^{p(n)}}[c_x^B(r) = 1] \leq 1/4 \end{aligned}$$

where w.l.o.g. we can assume that the size of c_x is bounded by $p^2(|x|)$.

Let $\alpha > 0$ and let $C \in E^A$ be an $\text{NCIR}^B(2^{\alpha n})$ -hard language. Then for almost all n , the boolean function $C^=n : \{0,1\}^n \rightarrow \{0,1\}$ is $\text{NCIR}^B(2^{\alpha n})$ -hard. Thus, letting $c = \lceil \alpha^{-1} \rceil$ and $m(n) = 3c \log p(n)$, it follows that for almost all n , $C^=m(n)$ is $\text{NCIR}^B(p(n)^3)$ -hard.

Now let $l(n) = 18c^2 \log p(n)$ and $k(n) = \log p(n)$. Then we can apply Lemmas 9 and 8 to get for almost all n a $(p(n), l(n), m(n), k(n))$ -design D such that the function $C_D^=m(n) : \{0,1\}^{l(n)} \rightarrow \{0,1\}^{p(n)}$ has for every $p(n)$ -input nondeterministic oracle circuit c of size at most $p(n)^2$ the property that

$$\left| \text{Prob}_{y \in_R \{0,1\}^{p(n)}}[c^B(y) = 1] - \text{Prob}_{s \in_R \{0,1\}^{l(n)}}[c^B(C_D^=m(n)(s)) = 1] \right| \leq 1/p(n).$$

Notice that since $m(n) = O(\log n)$ and since $C \in E^A$, it is possible to compute the advice function $h(1^n) = C(0^{m(n)}) \dots C(1^{m(n)})$ in FP^A . Hence, the following procedure witnesses $B \in \text{NP}^B / \text{FP}^A$:

input x , $|x| = n$, and the sequence $h(1^n) = C(0^{m(n)}) \dots C(1^{m(n)})$;
compute a $(p(n), l(n), m(n), k(n))$ -design D and let $r_1, \dots, r_{2^{l(n)}}$ be the pseudo-random strings produced by $C_D^=m(n)$ on all seeds from $\{0,1\}^{l(n)}$;
if the number of r_i for which $c_x^B(r_i) = 1$ is at least $2^{l(n)-1}$ **then**
accept else reject

■

4 Derandomizing $\text{BP} \cdot \Sigma_k^{\text{P}}$ if Δ_k^{P} is not small

In this section we apply the relativized derandomization of the previous section to extend Lutz's Theorem 6 to the Σ_k^{P} levels of the polynomial hierarchy. A crucial result used in the proof of Lutz's Lemma 5 is the fact that there are many n -ary boolean functions that are $\text{CIR}(2^{\alpha n})$ -hard.

Lemma 11 [Lut93] *For each α such that $0 < \alpha < 1/3$, there is a constant m_0 such that for all $m \geq m_0$ the number of boolean functions $f : \{0,1\}^m \rightarrow \{0,1\}$ that are not $\text{CIR}(2^{\alpha m})$ -hard is at most $2^{2^m} \cdot e^{-2^{m/4}}$.*

In the next lemma we establish the same bound on the number of m -ary boolean functions that are not $\text{NCIR}(2^{\alpha m})$ -hard.

Lemma 12 *For each α such that $0 < \alpha < 1/3$, there is a constant m_0 such that for all $m \geq m_0$ the number of m -ary boolean functions that are not $\text{NCIR}(2^{\alpha m})$ -hard is at most $2^{2^m} \cdot e^{-2^{m/4}}$.*

Proof. The proof follows an essentially similar counting argument as in the deterministic case (see [Lut93]). We sketch the counting argument below to point out how nondeterministic circuits are also handled in the same way.

In the sequel, let $q = 2^{\alpha m}$ and let $\text{NCIR}_j(m, q)$ denote the class of m -ary boolean functions computed by nondeterministic circuits of size q with exactly j guess inputs. Notice that $\text{NCIR}(m, q) = \bigcup_{j=0}^q \text{NCIR}_j(m, q)$, implying that $\|\text{NCIR}(m, q)\| \leq \sum_{j=0}^q \|\text{NCIR}_j(m, q)\|$.

It is shown in [Sch86] by a standard counting argument that

$$\|\text{CIR}(m, q)\| \leq q[(16e(m+q)^2)/q]^q.$$

Since each function in $\text{NCIR}_j(m, q)$ is uniquely determined by a deterministic circuit of size q with $m+j$ inputs, it follows that

$$\|\text{NCIR}_j(m, q)\| \leq \|\text{CIR}(m+j, q)\| \leq q[(16e(m+j+q)^2)/q]^q \leq q[(16e(3q)^2)/q]^q = q(144eq)^q.$$

Thus it follows that $\|\text{NCIR}(m, q)\| \leq \sum_{j=0}^q q(144eq)^q = q(q+1)(144eq)^q$.

We now place a bound on the number of m -ary boolean functions that are not $\text{NCIR}(q)$ -hard. Let $\overline{\text{NCIR}}(m, q) = \{g \mid \neg g \in \text{NCIR}(m, q)\}$. Furthermore, as defined in [Lut96], let $\text{Delta}(m, q) = \{D \subseteq \Sigma^m \mid \|D\| \leq 2^{m-1}(1-1/q)\}$. Now, by applying standard Chernoff bounds, as shown in [Lut93], it can be seen that $\|\text{Delta}(m, q)\| \leq 2^{2^m} 2^{-c2^{(1-2\alpha)m}}$, where $c > 0$ is a small constant.

From the notion of $\text{NCIR}(q)$ -hard functions (Definition 7) it is easy to see that there are at most

$$\|\text{NCIR}(m, q) \cup \overline{\text{NCIR}}(m, q)\| \cdot \|\text{Delta}(m, q)\| \leq 2q(q+1)(144eq)^q \cdot 2^{2^m} 2^{-c2^{(1-2\alpha)m}}$$

distinct m -ary boolean functions that are not NCIR(q)-hard. Hence, using the fact that $0 < \alpha < 1/3$ we can easily find a constant m_0 such that for $m \geq m_0$ the above number is bounded above by $2^{2^m} e^{-m/4}$ as required. ■

We further need the important Borel-Cantelli-Lutz Lemma [Lut92]. A series $\sum_{k=0}^{\infty} a_k$ of nonnegative reals is said to be *p-convergent* if there is a polynomial q such that for all $r \in \mathcal{N}$, $\sum_{k=q(r)}^{\infty} a_k \leq 2^{-r}$.

Theorem 13 [Lut92] *Assume that $d : \mathcal{N} \times \Sigma^* \rightarrow \mathcal{R}^+$ is a function with the following properties*

1. d is p-computable.
2. For each $k \in \mathcal{N}$, the function d_k , defined by $d_k(w) = d(k, w)$ is a supermartingale.
3. The series $\sum_{k=0}^{\infty} d_k(\lambda)$ is p-convergent.

Then $\mu_p(\bigcap_{j=0}^{\infty} \bigcup_{k=j}^{\infty} S^1[d_k]) = 0$.

Now we are ready to extend Lutz's Lemma 5 to the case of nondeterministic circuits.

Lemma 14 *For all $0 < \alpha < 1/3$ and all oracles $B \in \mathbb{E}$,*

$$\mu_p\{A \mid E^A \text{ is not NCIR}^{A \oplus B}(2^{\alpha n})\text{-hard}\} = 0.$$

Proof. Let $0 < \alpha < 1/3$ and $B \in \mathbb{E}$. For each language A define the test language¹

$$C(A) = \{x \mid x10^{2^{|x|}} \in A\},$$

and let $\mathcal{X} = \{A \mid C(A) \text{ is not NCIR}^{A \oplus B}(2^{\alpha n})\text{-hard}\}$. Notice that since $C(A) \in E^A$, the theorem follows from the following claim.

Claim. $\mu_p(\mathcal{X}) = 0$.

Proof of Claim. The proof follows exactly the same lines as in [Lut96, Theorem 3.2] except for minor changes to take care of the fact that we are dealing with nondeterministic circuits. Let $q = 2^{\alpha m}$ and recall from the proof of Lemma 12 that for all $m > m_0$,

$$\|\text{NCIR}(m, q)\| \cdot \|\text{Delta}(m, q)\| \leq 2^{2^m} e^{-2^{m/4}}.$$

Let $2^m = k$ and $2^{m_0} = k_0$. For each nondeterministic m -ary circuit γ of size q and each $D \in \text{Delta}(m, q)$, define the class

$$\mathcal{Y}_{\gamma, D} = \{A \mid L(\gamma^{A \oplus B}) \Delta D = C(A)^{=m}\}.$$

¹This test language was originally defined by [AS94] and later used in [Lut96].

For each $k > 0$, let

$$\mathcal{X}_k = \begin{cases} \bigcup_{\gamma, D} \mathcal{Y}_{\gamma, D}, & \text{if } k = 2^m \text{ for some } m, \\ \emptyset, & \text{otherwise} \end{cases}$$

where the union is taken over all nondeterministic circuits γ of size q and $D \in \text{Delta}(m, q)$. It follows immediately that

$$\mathcal{X} = \bigcap_{j \geq 0} \bigcup_{k \geq j} \mathcal{X}_k.$$

We will show that $\mu_p(\mathcal{X}) = 0$ by applying the Borel-Cantelli-Lutz Lemma (Theorem 13). In order to apply it we define (exactly as in [Lut96]) $d : \mathcal{N} \times \Sigma^* \rightarrow \mathcal{R}^+$ as follows:

1. If $k < k_0$ or k is not a power of 2 then $d_k(w) = 0$.
2. If $k = 2^m \geq k_0$ and $|w| < 2^{k+1}$ then $d_k(w) = e^{-k^{1/4}}$
3. If $k = 2^n > k_0$ and $|w| \geq 2^{k+1}$ then $d_k(w) = \sum_{\gamma, D} \text{Pr}[\mathcal{Y}_{\gamma, D} | C_w]$.

Now, it can be proved exactly as in [Lut96] that:

1. d is p-computable;
2. For each $k > 0$, d_k is a supermartingale with $d_k(\lambda) \leq e^{-k^{1/4}}$;
3. For all $k \geq k_0$, $\mathcal{X}_k \subseteq S^1[d_k]$;
4. $\mathcal{X} \subseteq \bigcup_{j \geq 0} \bigcap_{k \geq j} S^1[d_k]$.

The only point where a different argument is required is in showing that d is p-computable because the circuits γ used to define $\mathcal{Y}_{\gamma, D}$ are nondeterministic. Nevertheless, notice that the only nontrivial case to be handled in the definition of d_k is when $k = 2^m > k_0$ and $|w| \geq 2^{k+1}$. In this case, the size of the considered circuit γ is bounded by $2^{\alpha m} \leq k$. Therefore, in time polynomial in $|w|$ the nondeterministic circuit γ for each length m input can be evaluated by exhaustive search. \blacksquare

It is now easy to derandomize $\text{BP} \cdot \Sigma_k^P$ under the assumption that Δ_k^P has non-zero p-measure.

Theorem 15 *For all $k \geq 2$, if $\mu_p(\Delta_k^P) \neq 0$, then $\text{BP} \cdot \Sigma_k^P = \Sigma_k^P$.*

Proof. Assume the hypothesis and let B be a fixed Σ_{k-1}^P -complete set. We know from Lemma 14 that for $\alpha = 1/4$, $\mu_p\{A \mid E^A \text{ is not NCIR}^{A \oplus B}(2^{\alpha n})\text{-hard}\} = 0$. On the other hand, $\mu_p(\Delta_k^P) \neq 0$. Hence, there is a set $A \in \Delta_k^P$ such that E^A (and thus also $E^{A \oplus B}$) is $\text{NCIR}^{A \oplus B}(2^{\alpha n})$ -hard. Applying Theorem 10 we get

$$\Sigma_k^P = \text{NP}^{A \oplus B} = \text{BP} \cdot \text{NP}^{A \oplus B} = \text{BP} \cdot \Sigma_k^P,$$

which completes the proof. ■

Furthermore, we obtain the following two interesting consequences.

Corollary 16 If $\mu_p(\text{NP} \cap \text{coNP}) \neq 0$, then $\text{BP} \cdot \text{NP} = \text{NP}$.

Proof. Assuming that $\mu_p(\text{NP} \cap \text{coNP}) \neq 0$, similar to the proof of Theorem 15 it follows that there is a set $A \in \text{NP} \cap \text{coNP}$ such that $\text{NP}^A = \text{BP} \cdot \text{NP}^A$. From the fact that $\text{NP}^{\text{NP} \cap \text{coNP}} = \text{NP}$, we immediately get that $\text{NP} = \text{BP} \cdot \text{NP}$. ■

Corollary 17 If $\mu_p(\text{NP}) \neq 0$, then $\text{BP} \cdot \text{NP} \subseteq \text{NP}/\log$.

Proof. If $\mu_p(\text{NP}) \neq 0$, then from Theorems 10 and 14 it follows that there is a set $A \in \text{NP}$ such that $\text{BP} \cdot \text{NP} \subseteq \text{NP}/\text{FP}^A$. Actually, from the proof of Lemma 14 we know something stronger. Namely, we know that the test language

$$C(A) = \{x \mid x10^{2^{|x|}} \in A\}$$

is in E^A and is $\text{NCIR}(2^{\alpha n})$ -hard. Hence, we can assume that A is sparse and therefore we get $\text{BP} \cdot \text{NP} \subseteq \text{NP}/\log$, by using a census argument [Kad89] (see also [KT94]). ■

5 Derandomizing $\text{BP} \cdot \Theta_k^P$ if Θ_k^P is not small

In [Lut96] it was an open question whether $\text{BP} \cdot \Theta_2^P = \Theta_2^P$ can be proven as a consequence of $\mu_p(\text{NP}) \neq 0$. We answer this question by proving the same consequence from a possibly weaker assumption. For a complexity class $\mathcal{K} \in \{P, \text{BPP}, E\}$ and oracle A , let $\mathcal{K}_{\parallel}^A$ denote the respective relativized class where the machine for \mathcal{K} makes only *parallel queries* to A .

Definition 18 Let $A \subseteq \Sigma^*$ be an oracle set. Let $\text{CIR}_{\parallel}^A(n, s)$ denote the class of boolean functions $f : \{0, 1\}^n \rightarrow \{0, 1\}$ that can be computed by some oracle circuit c of size at most $s(n)$ that makes only *parallel queries* to oracle A . Furthermore, let $\text{CIR}_{\parallel}^A(s) = \bigcup_{n \geq 0} \text{CIR}_{\parallel}^A(n, s)$.

It is not hard to verify that Nisan and Wigderson's result (Theorem 2) also holds in the parallel setting.

Theorem 19 For all $\alpha > 0$ and all oracles A , if E_{\parallel}^A is $\text{CIR}_{\parallel}^A(2^{\alpha n})$ -hard, then $P_{\parallel}^A = \text{BPP}_{\parallel}^A$.

Corollary 20 For all $k \geq 2$, if $\mu_p(\Theta_k^P) \neq 0$, then $\text{BP} \cdot \Theta_k^P = \Theta_k^P$.

Proof. Assume the hypothesis and let B be a fixed Σ_{k-1}^P -complete set. Observe that if $\mu_p(\Theta_k^P) \neq 0$, it follows from the proof of Lemma 5 (as given in [Lut96]) that for $\alpha = 1/4$ there is a set $A \in \Theta_k^P$ such that $C(A)$ is $\text{CIR}^{A \oplus B}(2^{\alpha n})$ -hard. Since $C(A) \in E_{\parallel}^A \subseteq E_{\parallel}^{A \oplus B}$ and since $\text{CIR}_{\parallel}^{A \oplus B}(2^{\alpha n}) \subseteq \text{CIR}^{A \oplus B}(2^{\alpha n})$, it follows that $E_{\parallel}^{A \oplus B}$ is $\text{CIR}_{\parallel}^{A \oplus B}(2^{\alpha n})$ -hard, implying that

$$\Theta_k^P = P_{\parallel}^{A \oplus B} = \text{BPP}_{\parallel}^{A \oplus B} = \text{BP} \cdot \Theta_k^P,$$

where the second equality follows by Theorem 19. \blacksquare

Corollary 20 has the following immediate lowness consequence.

Corollary 21 If $\mu_p(\Theta_2^P) \neq 0$ then $\text{AM} \cap \text{coAM}$ (and hence the graph isomorphism problem) is low for Θ_2^P .

Corollary 20 can easily be extended to further complexity classes.

Corollary 22 For any complexity class $\mathcal{C} \subseteq \text{EXP}$ closed under join and polynomial-time truth-table reducibility, $\mu_p(\mathcal{C}) \neq 0$ implies that $\text{BP} \cdot \mathcal{C} \subseteq \mathcal{C}$.

Proof. Assume the hypothesis and let L be a set in $\text{BP} \cdot \mathcal{C}$, witnessed by some set $B \in \mathcal{C}$. Since \mathcal{C} is closed under many-one reducibility we can define a suitably padded version \hat{B} of B in $\mathcal{C} \cap \text{E}$ such that L belongs to $\text{BP} \cdot \{\hat{B}\}$. Now, exactly as in the proof of Corollary 20 we can argue that there is a set $A \in \mathcal{C}$ with the property that $E_{\parallel}^{A \oplus \hat{B}}$ is $\text{CIR}_{\parallel}^{A \oplus \hat{B}}(2^{\alpha n})$ -hard. Hence, by Theorem 19 it follows that

$$L \in \text{BP} \cdot \{\hat{B}\} \subseteq \text{BPP}_{\parallel}^{A \oplus \hat{B}} = P_{\parallel}^{A \oplus \hat{B}} \subseteq \mathcal{C}.$$

For example, using the fact that PP is closed under polynomial-time truth-table reducibility [FR96], it follows that if $\mu_p(\text{PP}) \neq 0$, then $\text{BP} \cdot \text{PP} = \text{PP}$.

6 MA is contained in ZPP^{NP}

In this section we apply the Nisan-Wigderson generator to show that MA is contained in ZPP^{NP} and, as a consequence, that $\text{MA} \cap \text{coMA}$ is low for ZPP^{NP} . This improves on a result of [ZF87] where a quantifier simulation technique is used to show that NP^{BPP} (a subclass of MA) is contained in ZPP^{NP} . The proof of the next theorem also makes use of the fact that there are many n -ary boolean functions that are $\text{CIR}(2^{\alpha n})$ -hard (Lemma 11).

Theorem 23 *MA is contained in ZPP^{NP} .*

Proof. Let L be a set in MA. Then there exist a polynomial p and a set $B \in \mathcal{P}$ such that for all x , $|x| = n$,

$$\begin{aligned} x \in A &\Rightarrow \exists y, |y| = p(n) : \text{Prob}_{r \in_R \{0,1\}^{p(n)}}[\langle x, y, r \rangle \in B] \geq 3/4, \\ x \notin A &\Rightarrow \forall y, |y| = p(n) : \text{Prob}_{r \in_R \{0,1\}^{p(n)}}[\langle x, y, r \rangle \in B] \leq 1/4. \end{aligned}$$

For fixed strings x and y , the decision procedure for B on input x, y, r can be simulated by some circuit $c_{x,y}$ with inputs $r_1, \dots, r_{p(n)}$, implying that

$$\begin{aligned} x \in A &\Rightarrow \exists y, |y| = p(n) : \text{Prob}_{r \in_R \{0,1\}^{p(n)}}[c_{x,y}(r) = 1] \geq 3/4, \\ x \notin A &\Rightarrow \forall y, |y| = p(n) : \text{Prob}_{r \in_R \{0,1\}^{p(n)}}[c_{x,y}(r) = 1] \leq 1/4 \end{aligned}$$

where w.l.o.g. we can assume that the size of $c_{x,y}$ is bounded by $p^2(|x|)$. It follows by the deterministic version of Lemma 8 that for any (p, l, m, k) -design D and any CIR($p^2 + p2^k$)-hard boolean function $g : \{0, 1\}^m \rightarrow \{0, 1\}$,

$$\left| \text{Prob}_{y \in_R \{0,1\}^p}[c(y) = 1] - \text{Prob}_{s \in_R \{0,1\}^l}[c(g_D(s)) = 1] \right| \leq 1/p$$

holds for every p -input circuit c of size at most p^2 . Now let $m(n) = 12 \log p(n)$, $l(n) = 2 \cdot 12^2 \log p(n)$, and $k(n) = \log p(n)$. Furthermore, by Lemma 11 we know that for all sufficiently large n , a randomly chosen boolean function $g : \{0, 1\}^{m(n)} \rightarrow \{0, 1\}$ is CIR($2^{m(n)/4}$)-hard (and thus CIR($p(n)^2 + p(n)2^{k(n)}$)-hard) with probability at least $1 - e^{-2^{m(n)/4}}$. Hence, the following algorithm together with the NP oracle set

$$B = \{ \langle x, r_1, \dots, r_k \rangle \mid \exists y \in \Sigma^{p(|x|)} : \|\{1 \leq i \leq k \mid c_{x,y}(r_i) = 1\}\| \geq k/2 \}$$

witnesses $L \in \text{ZPP}^{\text{NP}}$:

input x , $|x| = n$;
 compute a $(p(n), l(n), m(n), k(n))$ -design D ;
choose randomly $g : \{0, 1\}^{m(n)} \rightarrow \{0, 1\}$;
if g is CIR($2^{m(n)/4}$)-hard **then** {this can be found out by asking an NP oracle}
 let $r_1, \dots, r_{2^{l(n)}}$ be the pseudorandom strings produced by g_D on all seeds;
 if $\langle x, r_1, \dots, r_{2^{l(n)}} \rangle \in B$ **then accept else reject**
else output ?

We note that Theorem 23 cannot be further improved to $\text{AM} \subseteq \text{ZPP}^{\text{NP}}$ by relativizing techniques since there is an oracle relative to which AM is not contained in $\Sigma_2^{\mathcal{P}}$ [San89].

From the closure properties of MA (namely that MA is closed under conjunctive truth-table reductions) it easily follows that $\text{NP}^{\text{MA} \cap \text{coMA}} \subseteq \text{MA}$. From Theorem 23 we have $\text{MA} \subseteq \text{ZPP}^{\text{NP}}$. Hence, $\text{NP}^{\text{MA} \cap \text{coMA}} \subseteq \text{ZPP}^{\text{NP}}$, implying that $\text{ZPP}^{\text{NP}^{\text{MA} \cap \text{coMA}}} \subseteq \text{ZPP}^{\text{ZPP}^{\text{NP}}} = \text{ZPP}^{\text{NP}}$. We have proved the following corollary.

Corollary 24 $\text{MA} \cap \text{coMA}$ is low for ZPP^{NP} and, consequently, BPP is low for ZPP^{NP} .

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