



**Saarland
University**

**Simulating non-equilibrium quantum relaxation
in closed interacting quantum many body systems**

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Simulating quantum processes and devices

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Quantum thermalization – 2008 (numerics)

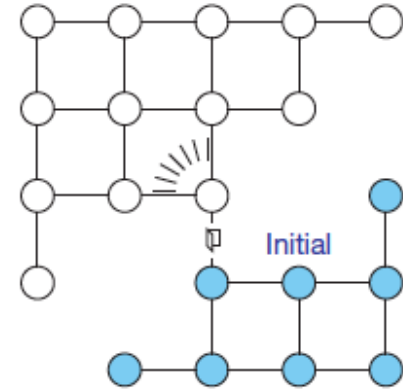
nature

Vol 452|17 April 2008|doi:10.1038/nature06838

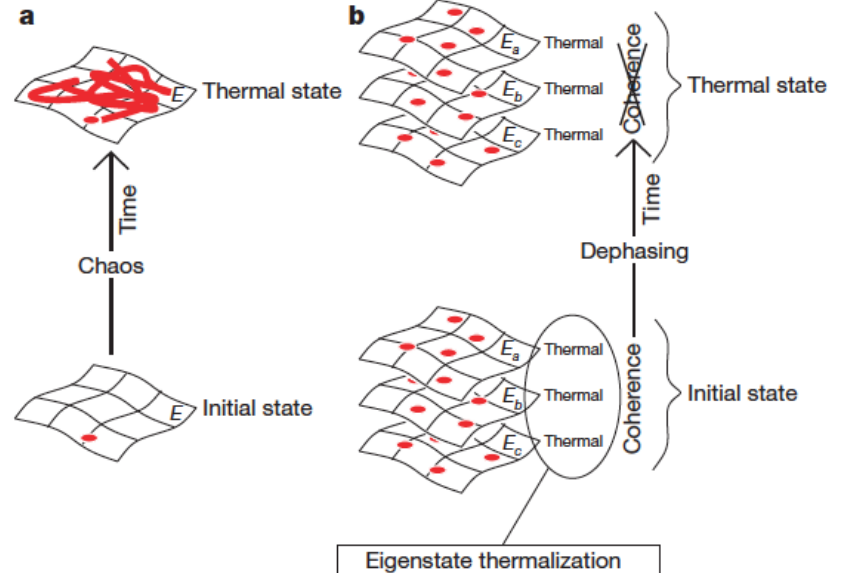
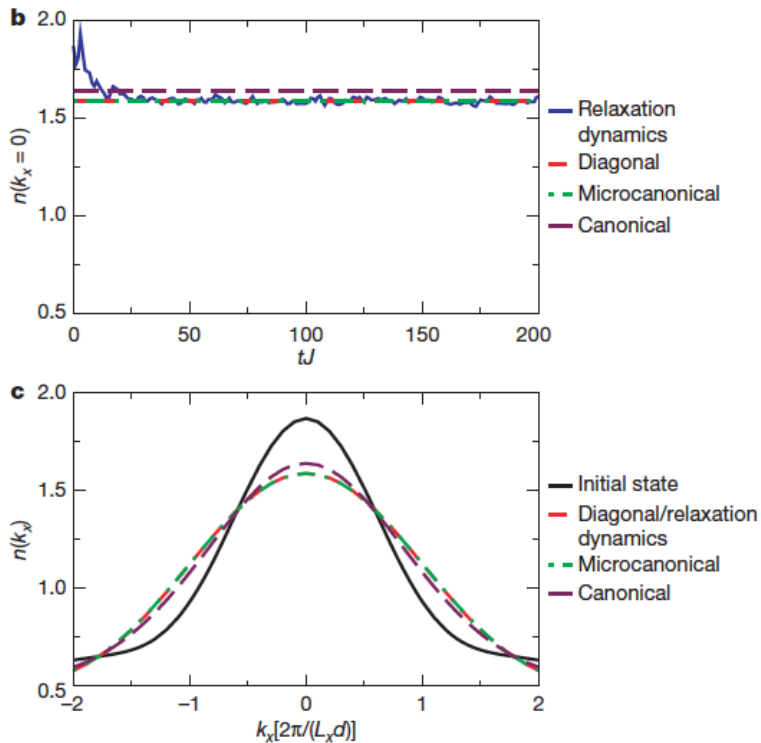
LETTERS

Thermalization and its mechanism for generic isolated quantum systems

Marcos Rigol^{1,2}, Vanja Dunjko^{1,2} & Maxim Olshanii²



$$H = -\sum_{\langle ij \rangle} J_{ij}(a_i^\dagger a_j + a_j^\dagger a_i), \text{ hard core bosons}$$





Quantum Thermalization – 2016 (experimental)

STATISTICAL PHYSICS

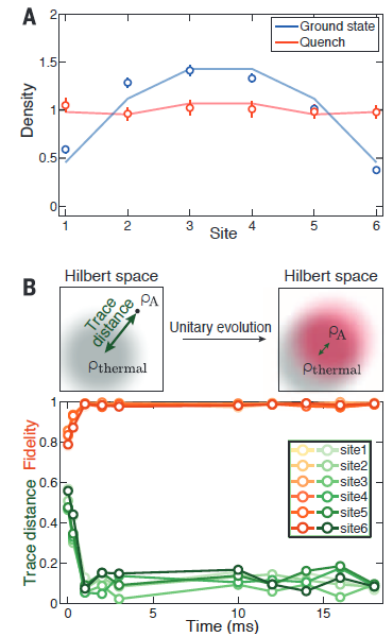
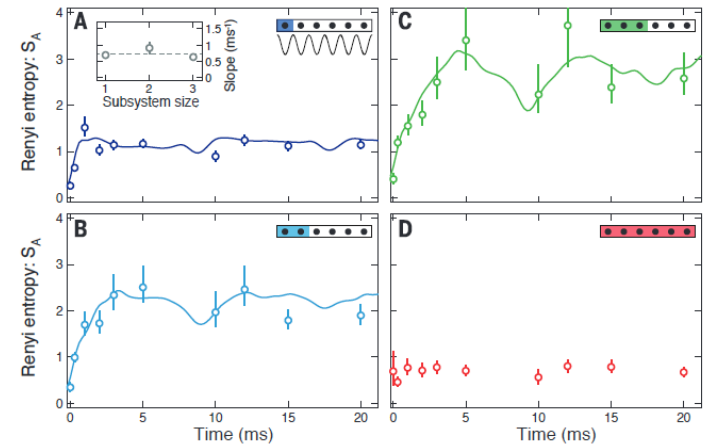
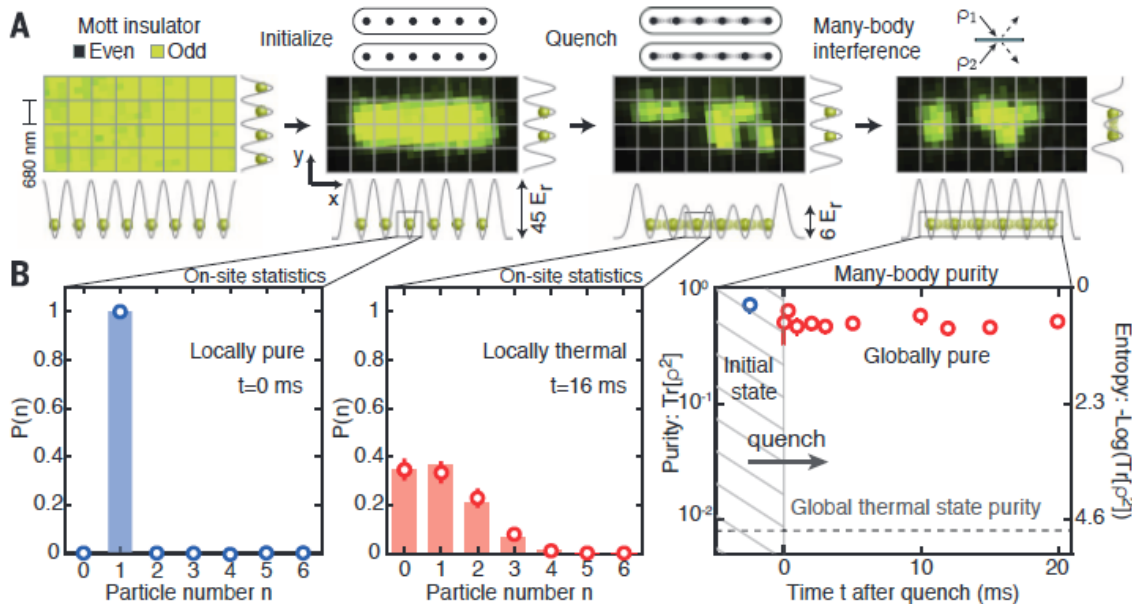
Quantum thermalization through entanglement in an isolated many-body system

Adam M. Kaufman, M. Eric Tai, Alexander Lukin, Matthew Rispoli, Robert Schittko, Philipp M. Preiss, Markus Greiner*

19 AUGUST 2016 • VOL 353 ISSUE 6301

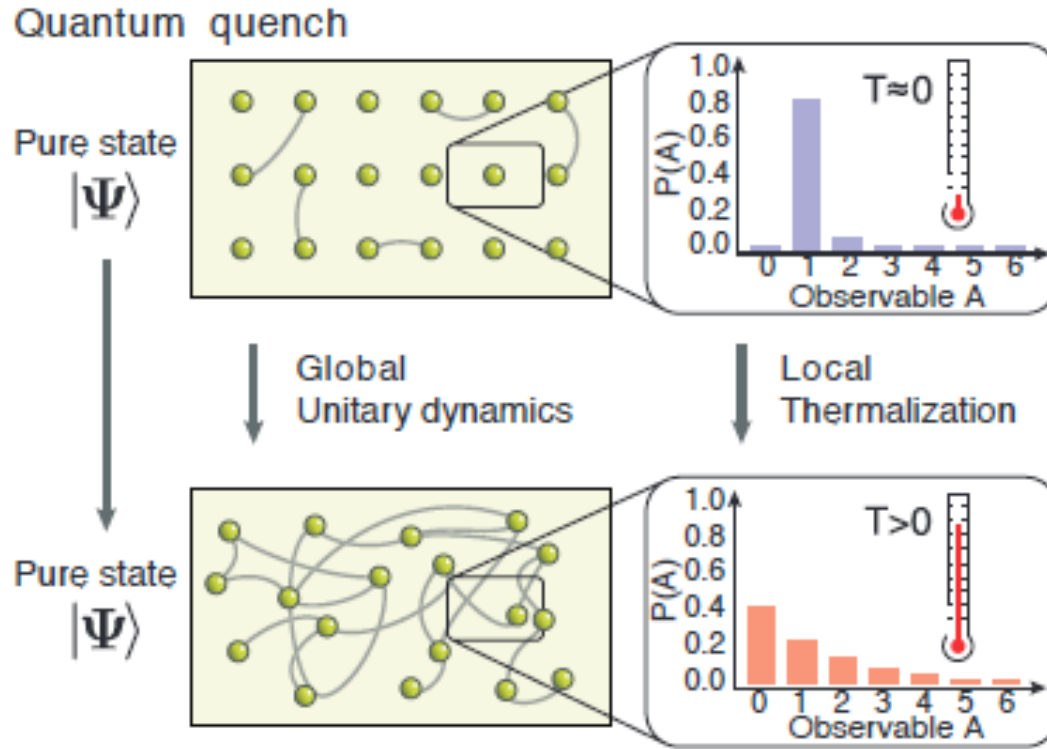
SCIENCE

$$H = -\sum_{\langle ij \rangle} J_{ij}(a_i^\dagger a_j + a_j^\dagger a_i) + U \sum_i n_i(n_i - 1)$$





Thermalization in closed systems





Outline

- Focus on transverse Ising model
- 1d : integrable: Generalized Gibbs ensemble (GGE)
- 2d: non-integrable:
 - numerical studies of thermalization after quantum quenches



The transverse Ising model

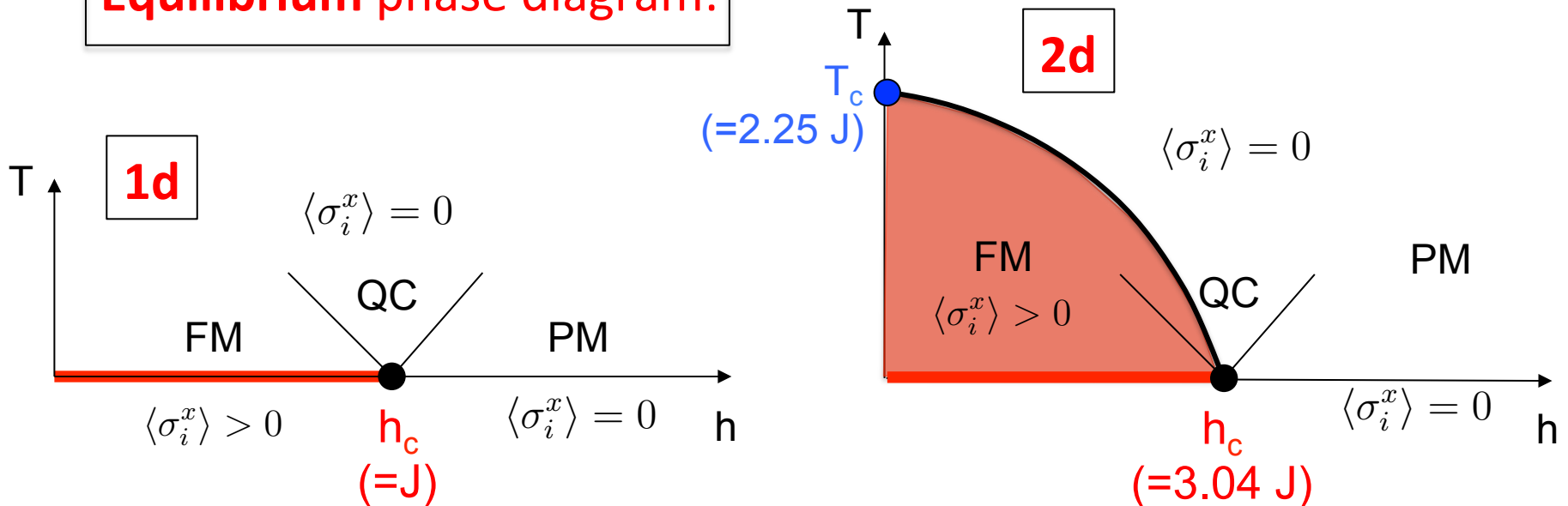
$$H = -J \sum_{(ij) \text{ n.n.}} \sigma_i^x \sigma_j^x - h \sum_i \sigma_i^z$$

σ^x, σ^z Pauli matrices, n.n. = nearest neighbors in d-dimensions, p.b.c.

Order parameter: **Magnetization** (not conserved!)

$$m = \langle \sigma_i^x \rangle$$

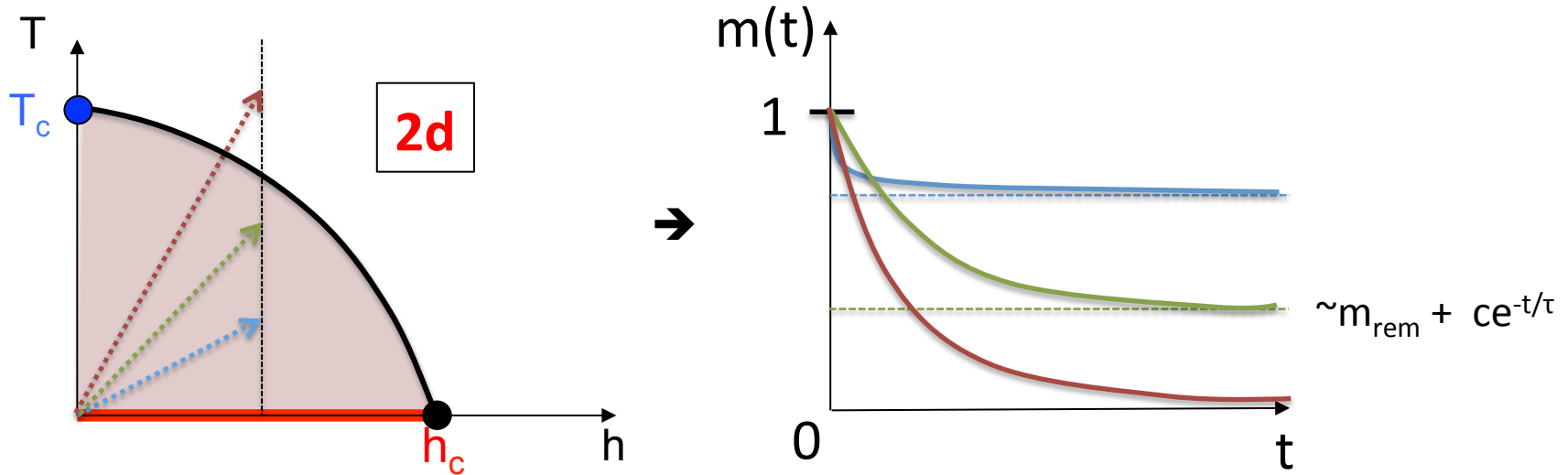
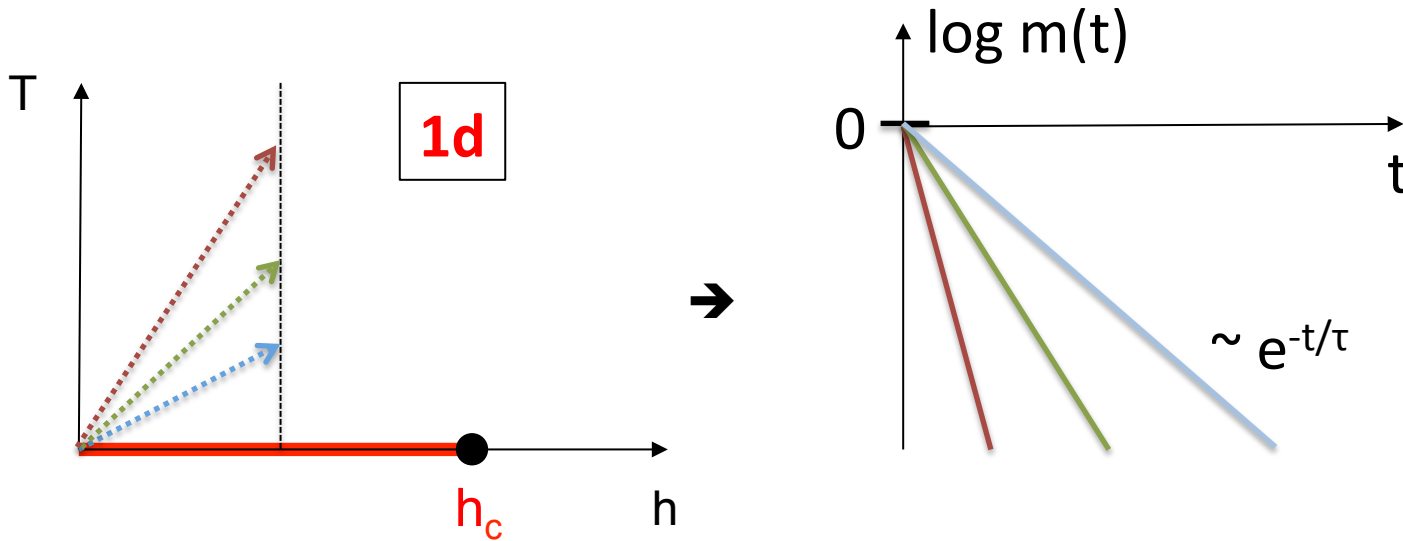
Equilibrium phase diagram:





Non-equilibrium relaxation with heat bath

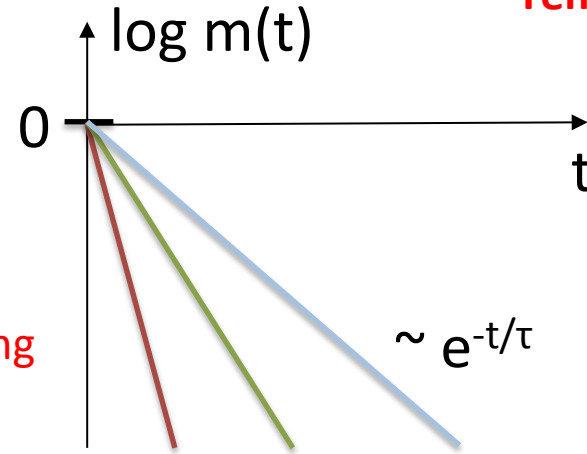
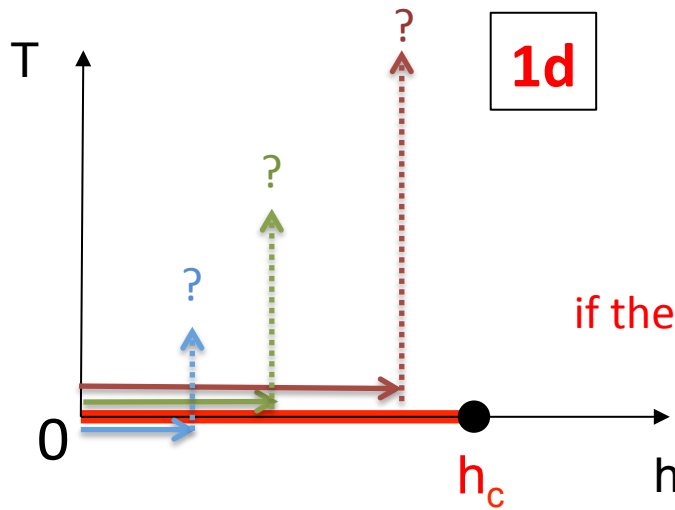
Quench from $T=0, h=0$ to $T>0, h>0$; heat bath dynamics, **thermalization** $\rightarrow \rho \sim e^{-H/T}$



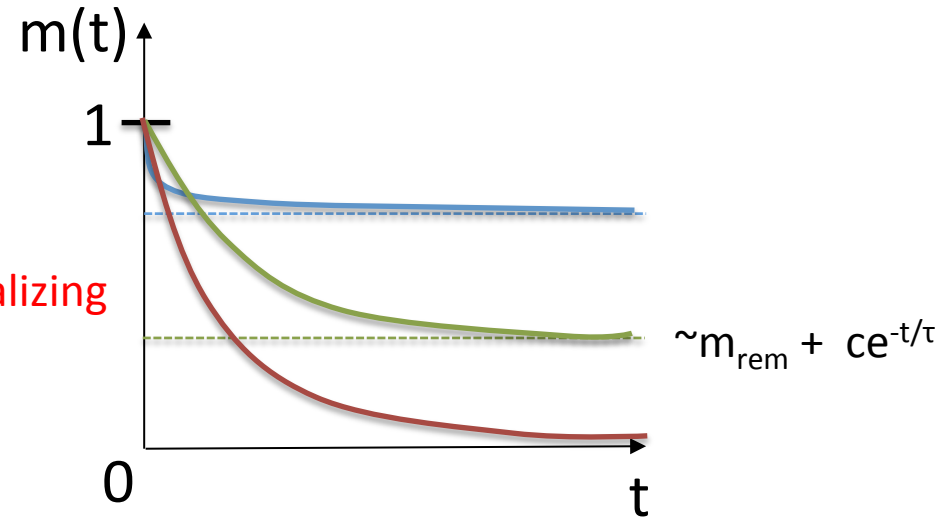
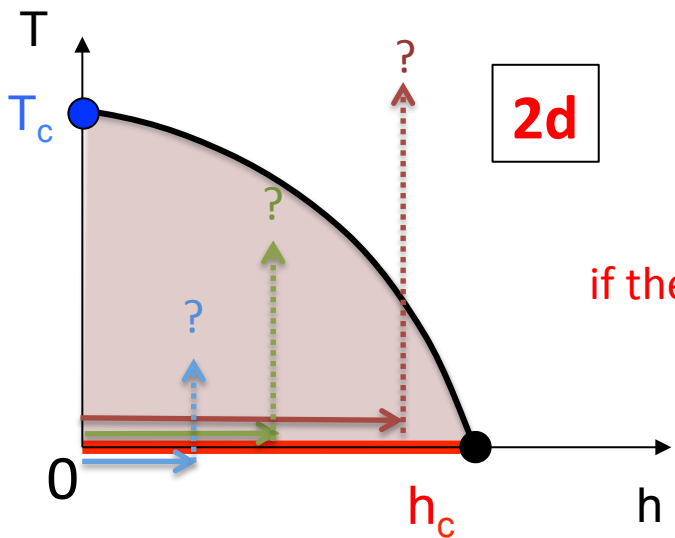


Non-equilibrium dynamics in closed system

Quench from $h=0$ to $h>0$; Schrödinger dynamics (conserved energy E) → **Thermalization ??**
Temperature $T(E)$?



?
→
if thermalizing



?
→
if thermalizing



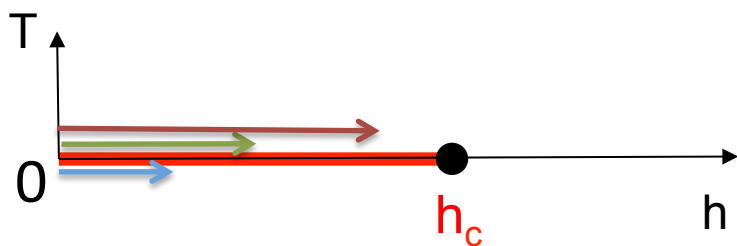
Quantum Quench in the 1d TIM (at T=0)

$$H = - \sum_{i=1}^L (J\sigma_i^x \sigma_{i+1}^x + h\sigma_i^z) = \sum_p \varepsilon_p \eta_p^+ \eta_p \quad \eta_p \text{ Fermion operators}$$

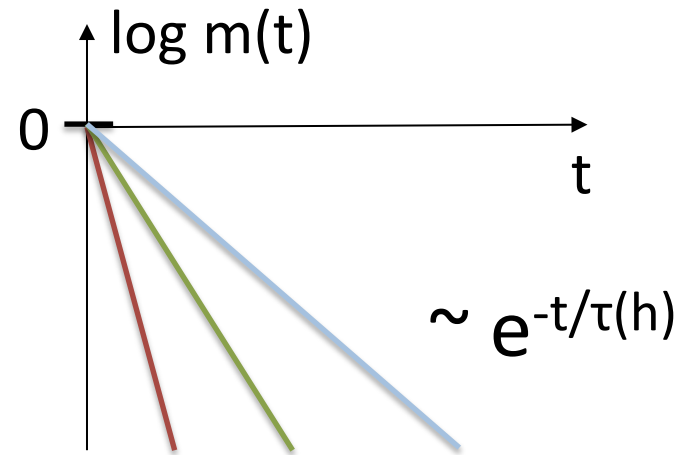
$$|\psi(t)\rangle = e^{-itH} |\psi_0\rangle$$

Quench:

$|\psi(t=0)\rangle$ GS of H with e.g. $h=0$,
dynamics for H with $h>0$ (exactly calculable)



$L=\infty$



$$m_i(t) = \langle \psi(t) | \sigma_i^x | \psi(t) \rangle \propto \exp(-t/\tau(h)) \quad \text{with} \quad 1/\tau(h) = \frac{2}{\pi} \int_0^\pi dp v_p \cdot f_p(h_0, h)$$

[Rieger, Iglói 2011]

[Calabrese, Essler, Fagotti 2012] $f_p(h, h_0) = \langle \psi_0 | \eta_p^+(h) \eta_p(h) | \psi_0 \rangle$ and $v_p = \frac{\partial \varepsilon_p}{\partial p}$

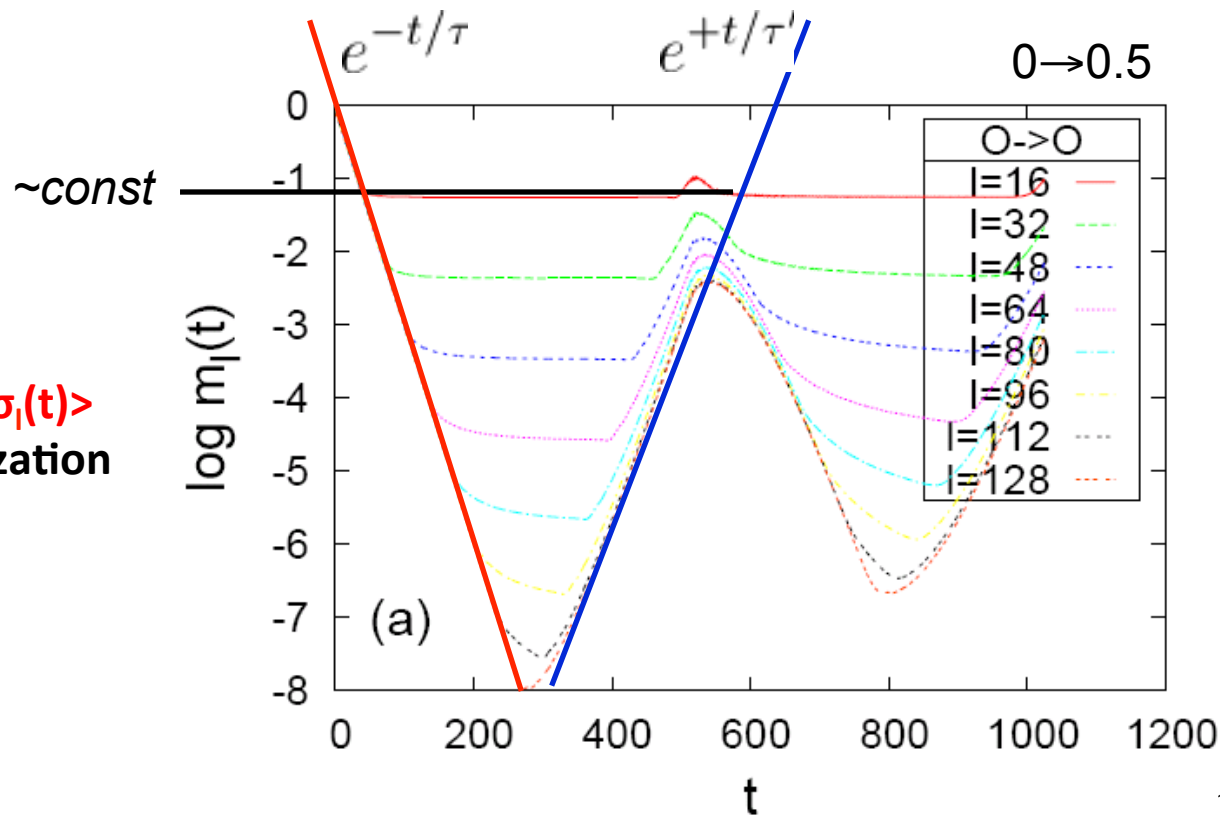
Does the exponential relaxation mean that the system is thermalized?

(no, because $f_p \neq e^{-\beta \varepsilon(p)}$)

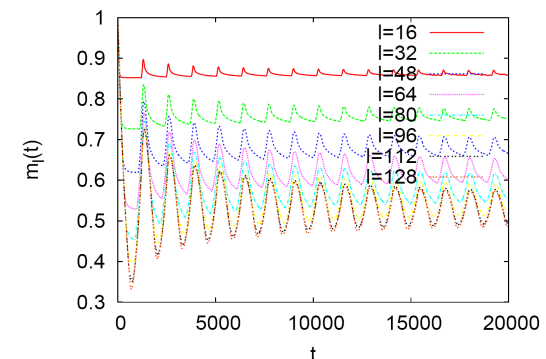


Look at **finite** system – what's going on?

$m_l(t) = \langle \sigma_l(t) \rangle$
magnetization
at site l



- **Exponential relaxation**
- **Quasi-stationary regime**
- **Exponential recovery**





Quasi-particles = kinks (in FM phase: $h < J$)

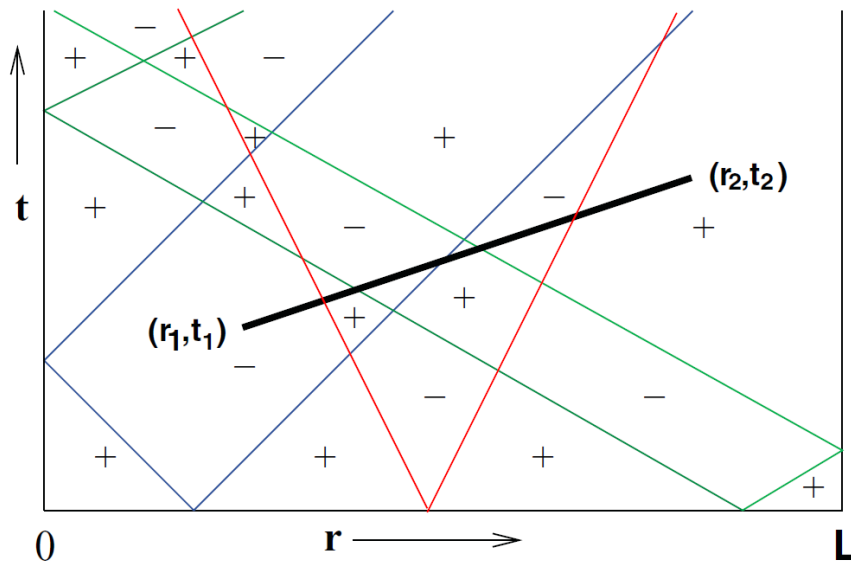
Kinks = **non-interacting** fermions
are created in **pairs** (+p,-p)
move with **velocity** $\pm v_p$

$$\epsilon_p = \sqrt{J^2 + h^2 - 2Jh \cos(p)}$$

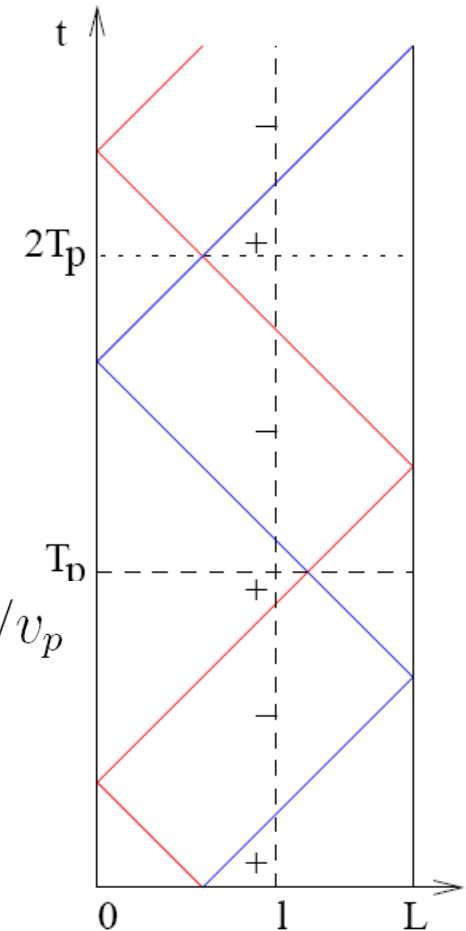
$$v_p = \frac{\partial \epsilon_p}{\partial p} = \frac{Jh \sin(p)}{\epsilon_p}$$

... and will **flip spins** upon arrival!

E.g. $C(r_1, t_1; r_2, t_2) = \langle \sigma_{r_1}(t_1) \sigma_{r_2}(t_2) \rangle$:



Finite system:



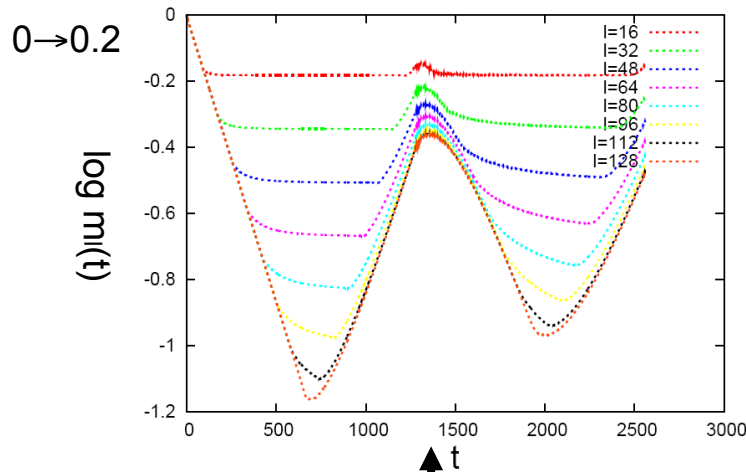
$$T_p = L/v_p$$

Reflection at the
boundaries at $i=0$ and $i=L$!

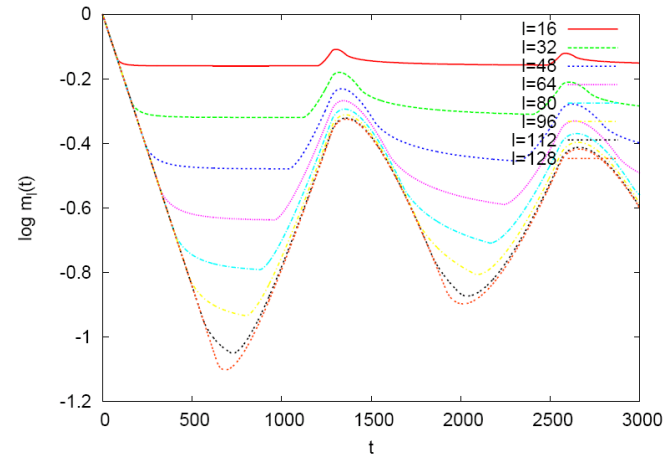


1d TIM does not thermalize

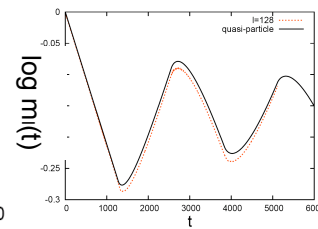
exact



semi classical



0 → 0.1, L=256



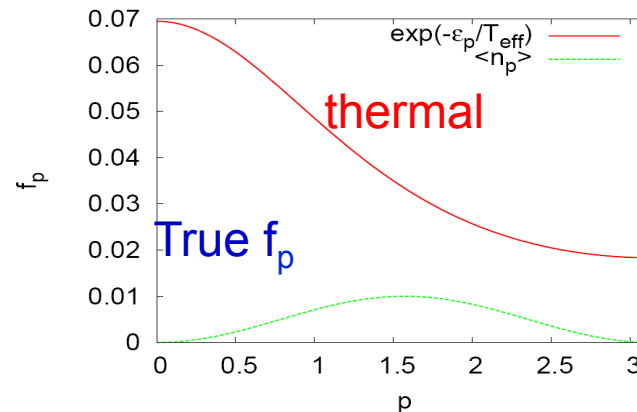
QP occupation probability:

$$f_p(h_0, h) = \langle \psi_0 | \eta_p^+ \eta_p | \psi_0 \rangle = \frac{1}{4} (h - h_0)^2 \sin^2(p)$$

Period:

$$T_{\text{period}} = L/v_{\text{max}} \sim L/h$$

$$v_p = \frac{\partial \epsilon_p}{\partial p} = \frac{Jh \sin(p)}{\epsilon_p}$$



QPs non-interacting,
 → f_p conserved
 → no thermalization
 towards $f_p \sim e^{-\beta \epsilon(p)}$

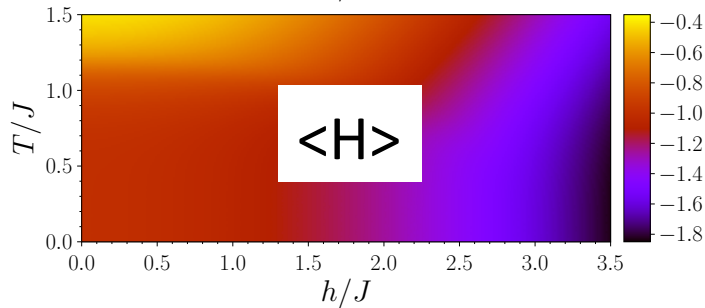
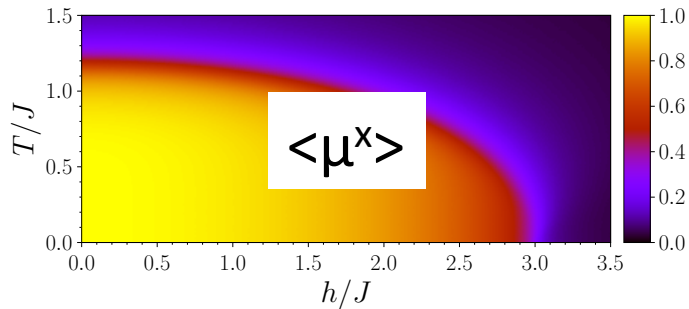


The 2d transverse Ising model: equil. & quenches

$$\hat{H} = -\frac{J}{2} \sum_{\langle \mathbf{R}, \mathbf{R}' \rangle} \hat{\sigma}_{\mathbf{R}}^x \hat{\sigma}_{\mathbf{R}'}^x - \frac{h}{2} \sum_{\mathbf{R}} \hat{\sigma}_{\mathbf{R}}^z$$

$$\hat{\mu}^x = \frac{1}{N} \sum_{\mathbf{R}} \hat{\sigma}_{\mathbf{R}}^x .$$

Equilibrium phase diagram



Quenches: $(J_i; h_i) \rightarrow (J_f; h_f)$

Final energy: $E_f = \sum_{\lambda} E_{f,\lambda} |\langle \Psi_{i,0} | \Psi_{f,\lambda} \rangle|^2$

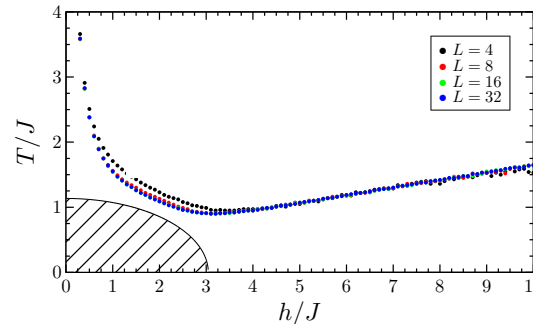
Excess energy: $E_{\text{exc}} = E_f - E_{f,0}$

Effective temperature:

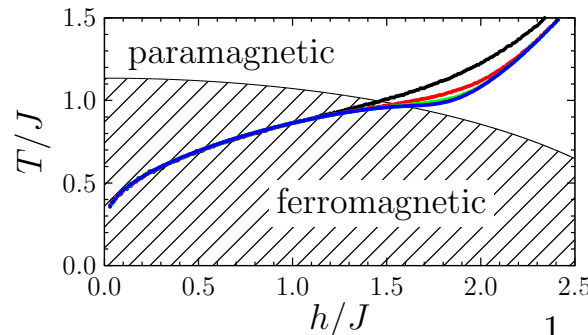
$$\langle \hat{H}_f \rangle_{\text{CGE}}^{T_{\text{eff}}} = E_f$$

$$|\Psi_{i,0}\rangle = |\uparrow\uparrow \dots \uparrow\uparrow\rangle_z = \frac{1}{\sqrt{2^N}} \sum_{\mathbf{x}} |\mathbf{x}\rangle$$

Interaction quenches:
(0, h) \rightarrow (J, h)



field quenches:
(J, 0) \rightarrow (J, h)



$$|\Psi_{i,0}\rangle = \frac{1}{\sqrt{2}} \left\{ |\uparrow\uparrow \dots \uparrow\uparrow\rangle_x + |\downarrow\downarrow \dots \downarrow\downarrow\rangle_x \right\}$$



Time evolution

$$|\Psi(t=0)\rangle = |\Psi_{i,0}\rangle$$

$$|\Psi_{i,0}\rangle = |\uparrow\uparrow \dots \uparrow\uparrow\rangle_z = \frac{1}{\sqrt{2^N}} \sum_{\mathbf{x}} |\mathbf{x}\rangle$$

$$|\Psi_{i,0}\rangle = \frac{1}{\sqrt{2}} \left\{ |\uparrow\uparrow \dots \uparrow\uparrow\rangle_x + |\downarrow\downarrow \dots \downarrow\downarrow\rangle_x \right\}$$

$$|\Psi(t)\rangle = e^{-i\hat{H}_f t} |\Psi(t=0)\rangle$$

$$\langle \hat{O} \rangle_t = \sum_{\lambda} |c_{f,\lambda}|^2 \mathcal{O}_{\lambda\lambda} + \sum_{\lambda \neq \lambda'} c_{f,\lambda}^* c_{f,\lambda'} e^{i(E_{f,\lambda} - E_{f,\lambda'})t} \mathcal{O}_{\lambda\lambda'}$$

$$\lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T dt \langle \hat{O} \rangle_t = \sum_{\lambda} |c_{f,\lambda}|^2 \mathcal{O}_{\lambda\lambda}$$

$$\langle \hat{O} \rangle_{\text{diag}} = \text{Tr}[\hat{O} \hat{\rho}_{\text{diag}}] \quad \hat{\rho}_{\text{diag}} = \sum_{\lambda} p_{f,\lambda} |\Psi_{f,\lambda}\rangle \langle \Psi_{f,\lambda}|$$

$$p_{f,\lambda} = |c_{f,\lambda}|^2.$$



Techniques for non-integrable systems

$$\hat{H} = -\frac{J}{2} \sum_{\langle \mathbf{R}, \mathbf{R}' \rangle} \hat{\sigma}_{\mathbf{R}}^x \hat{\sigma}_{\mathbf{R}'}^x - \frac{h}{2} \sum_{\mathbf{R}} \hat{\sigma}_{\mathbf{R}}^z$$

L x L square lattice,
p.b.c.

2d TFIM Non-integrable (in particular not a free fermion model as in 1d) !

What can one do to study the (n.eq.) dynamics ? $|\psi(t)\rangle = e^{-itH} |\psi_0\rangle$

- 1) Mean field theory (or truncated hierarchy of correlations)
- 2) Exact diagonalization of small systems (up L=4 or 5)
- 3) Time series expansion
- 4) Perturbation theory (e.g. in h)
- 5) Time dependent variational calculations
- 6) Real time Quantum Monte Carlo
- 7) Quantum Boltzmann equation (?)
- 8) ...



Real Time Dependent Variational Ansatz

Principle:

- Choose set of „physically relevant“ subspace $V = \text{span}\{|\Phi_1\rangle, \dots, |\Phi_M\rangle\}$, $M \ll 2^N$
- Initial state $|\psi_0\rangle = \sum_{k=1}^M \alpha_k |\phi_k\rangle$, Ansatz: $|\psi(t)\rangle = \sum_{k=1}^M \alpha_k(t) |\phi_k\rangle$
- At each time t **minimize distance** between $\mathcal{D}(t) = \sum_{\mathbf{x}} |\dot{\Psi}_{\text{exact}}(\mathbf{x}, t) - \dot{\Psi}_{\text{var}}(\mathbf{x}, t)|^2$
 $i \frac{\partial}{\partial t} \sum_k \alpha_k(t) |\phi_k\rangle$ and $H \sum_k \alpha_k(t) |\phi_k\rangle$
- Resulting differential equation for variational parameters $\alpha_k(t)$:
$$i \frac{\partial}{\partial t} \alpha_k(t) = \sum_{k'} \alpha_{k'}(t) \langle \phi_k | H | \phi_{k'} \rangle$$
- Solve and calculate observables



Interaction quenches (paramagnetic phase)

Jastrow Ansatz
as variational functions

$$|\Psi(t)\rangle = \exp\left(\sum_{\mathbf{r}} \alpha_{\mathbf{r}}(t) \hat{C}_{\mathbf{r}}^{xx}\right) |\uparrow\uparrow \dots \uparrow\uparrow\rangle_z$$

c.f. Carleo et al
PRA 2014

$$\hat{C}_{\mathbf{r}}^{xx} = \frac{1}{N_{\mathbf{r}}} \sum_{\mathbf{R}} \hat{\sigma}_{\mathbf{R}}^x \hat{\sigma}_{\mathbf{R}+\mathbf{r}}^x$$

Equations of
Motion for $\alpha_{\mathbf{r}}$

$$\sum_{\mathbf{r}'} \langle \delta \hat{C}_{\mathbf{r}}^{xx} \delta \hat{C}_{\mathbf{r}'}^{xx} \rangle_t \dot{\alpha}_{\mathbf{r}'}(t) = -i \langle E_f^{\text{local}}(t) \delta \hat{C}_{\mathbf{r}}^{xx} \rangle_t$$

$$\delta \hat{O} = \hat{O} - \langle \hat{O} \rangle_t$$

$$E_f^{\text{local}}(\mathbf{x}, t) = \langle \mathbf{x} | \hat{H}_f | \Psi(t) \rangle / \langle \mathbf{x} | \Psi(t) \rangle$$

Initial condition $\alpha_{\mathbf{r}}(t=0) = 0$

Expectation values $\langle \hat{O} \rangle_t = \frac{\sum_{\mathbf{x}} |\Psi(\mathbf{x}, t)|^2 \mathcal{O}(\mathbf{x})}{\sum_{\mathbf{x}} |\Psi(\mathbf{x}, t)|^2}$

Calculation via **Monte Carlo**

$$A(\mathbf{x} \rightarrow \mathbf{x}', t) = \min [1, Q(\mathbf{x} \rightarrow \mathbf{x}', t)]$$

$$Q(\mathbf{x} \rightarrow \mathbf{x}', t) = \exp \left\{ 2 \sum_{\mathbf{r}} \alpha_{\mathbf{r}}^R(t) (C_{\mathbf{r}}^{xx}(\mathbf{x}') - C_{\mathbf{r}}^{xx}(\mathbf{x})) \right\}$$



Field quenches (ferromagnetic phase)

$$|\Psi(t)\rangle = \sum_{m,n} \alpha_{m,n}(t) |\Psi_{m,n}\rangle$$

$$|\Psi_{m,n}\rangle = \frac{1}{\sqrt{N_{m,n}}} \sum_{k=1}^{N_{m,n}} |\Psi_{m,n}^k\rangle$$

Symmetric superposition of all states with n spins up and m kinks

L	4	8	12	16
number of $\alpha_{m,n}$	45	848	4551	14834

Eq. Of motion:

$$i\dot{\alpha}_{m,n}(t) = -J(N-n)\alpha_{m,n}(t) - \frac{\hbar}{2} \sum_{m',n'} t_{m',n';m,n} \alpha_{m',n'}(t)$$

$$t_{m',n';m,n} = T_{m',n';m,n} / \sqrt{N_{m',n'} N_{m,n}}$$

Initial value:

$$\alpha_{m,n}(t=0) = \begin{cases} \frac{1}{\sqrt{2}} & \text{if } (m,n) = (0,0) \text{ or } (N,0) \\ 0 & \text{else} \end{cases}$$

$T_{m,n;m',n'}$ = number of transitions between $H_{n,m}$ and $H_{n',m'}$ via 1 spin flip.

Calculation with rare event sampling **Monte Carlo**



Observables

$$\overline{\langle \hat{O} \rangle}_t = \frac{1}{\Delta t} \int_{t_0}^{t_0 + \Delta t} dt \text{Tr}[\hat{O} \hat{\rho}(t)] \quad \hat{\rho}(t) = |\Psi(t)\rangle\langle\Psi(t)|$$

Time average:

$$\overline{p_t(\mathcal{O}_j)} = \frac{1}{\Delta t} \int_{t_0}^{t_0 + \Delta t} dt \text{Tr}[\delta(\mathcal{O}_j - \hat{O}) \hat{\rho}(t)]$$

$$\langle \hat{O} \rangle_{\text{CGE}}^{T_{\text{eff}}} = \frac{1}{Z_{\text{CGE}}^{T_{\text{eff}}}} \text{Tr}[\hat{O} e^{-\hat{H}/T_{\text{eff}}}]$$

Thermal average:

$$p_{\text{CGE}}^{T_{\text{eff}}}(\mathcal{O}_j) = \frac{1}{Z_{\text{CGE}}^{T_{\text{eff}}}} \text{Tr}[\delta(\mathcal{O}_j - \hat{O}) e^{-\hat{H}/T_{\text{eff}}}]$$

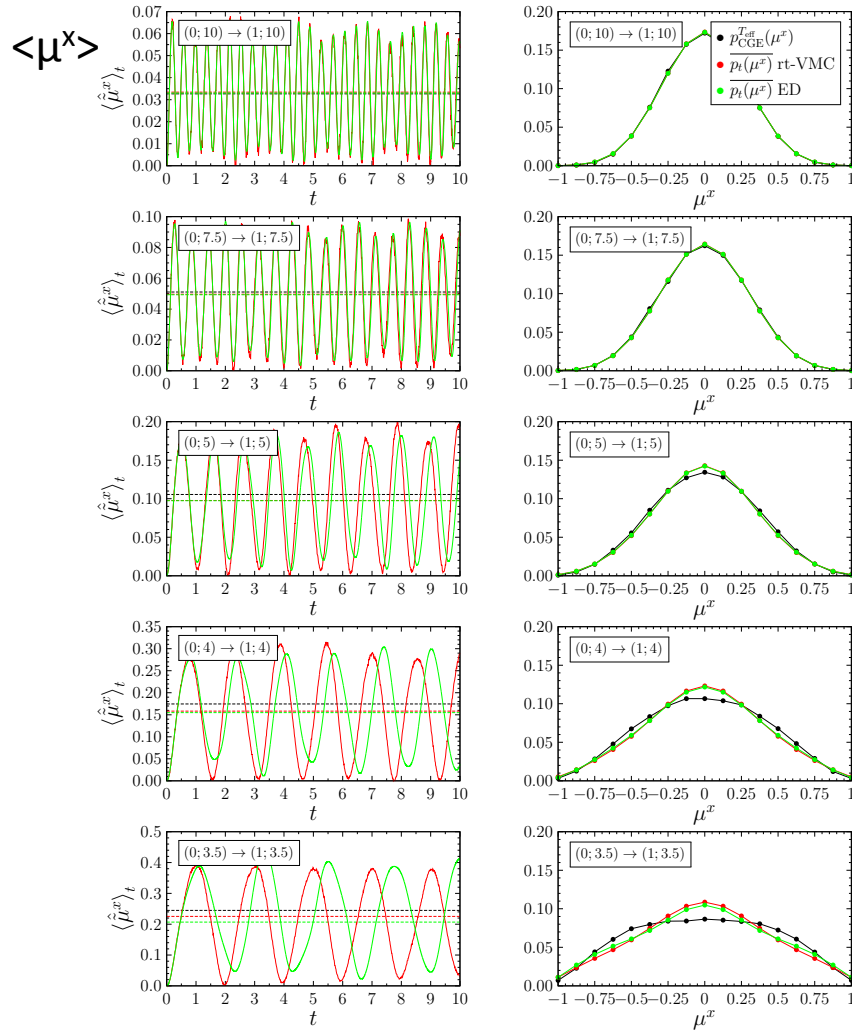
Calculated with continuous imaginary time cluster Monte Carlo



Comparison (4x4): thermal, **rt-VMC**, **exact**

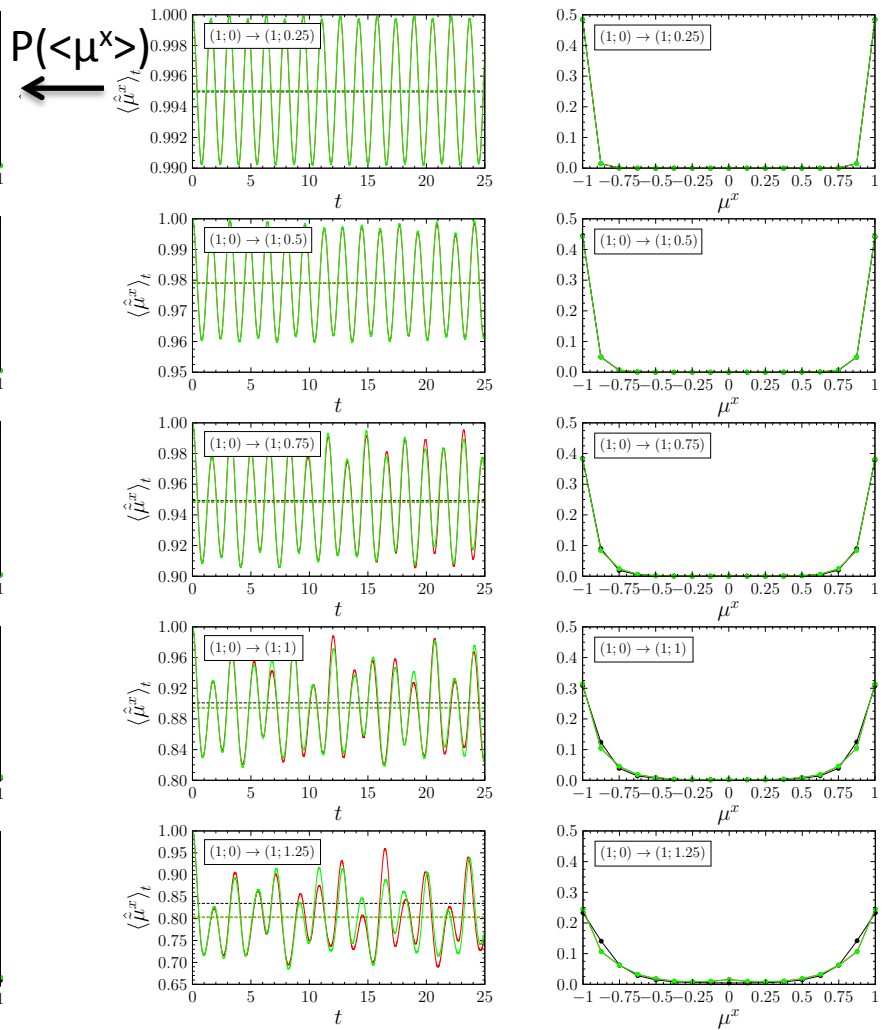
Interaction quench (PM)

$$(0; h) \rightarrow (J; h)$$



Field quench (FM)

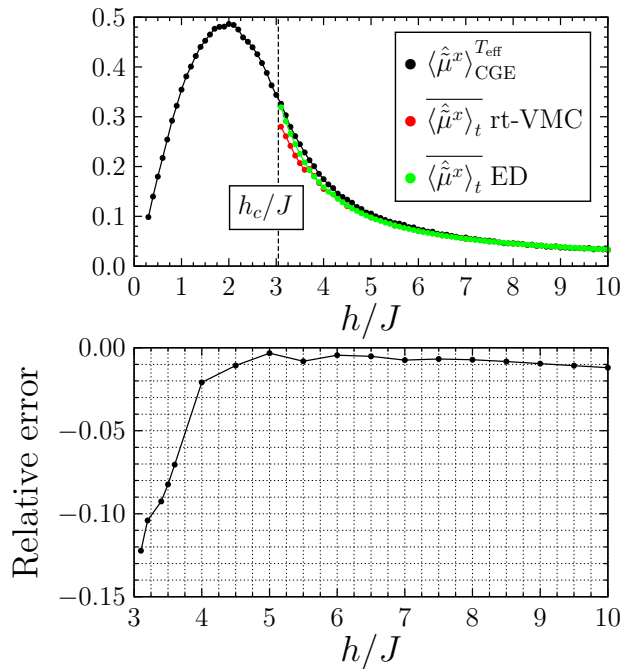
$$(J; 0) \rightarrow (J; h)$$



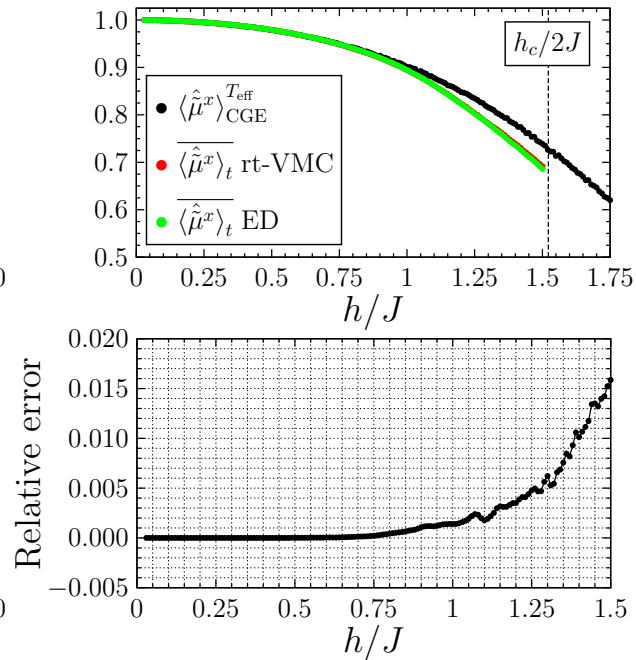


Comparison (4x4) - magnetization

Interaction quenches
 $(0; h) \rightarrow (J; h)$



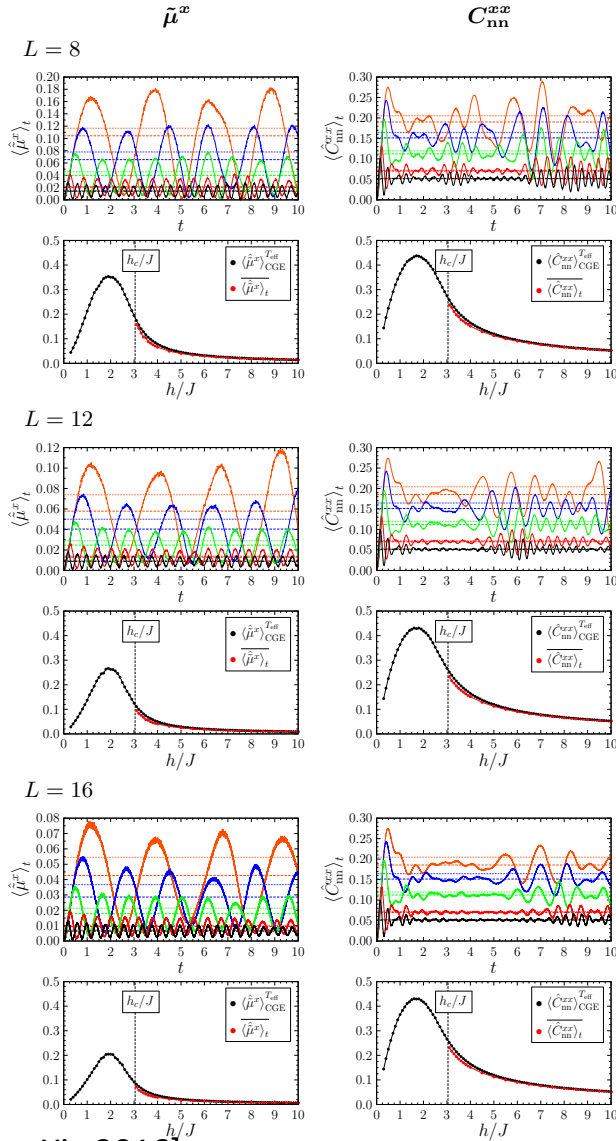
Field quenches
 $(J; 0) \rightarrow (J; h)$



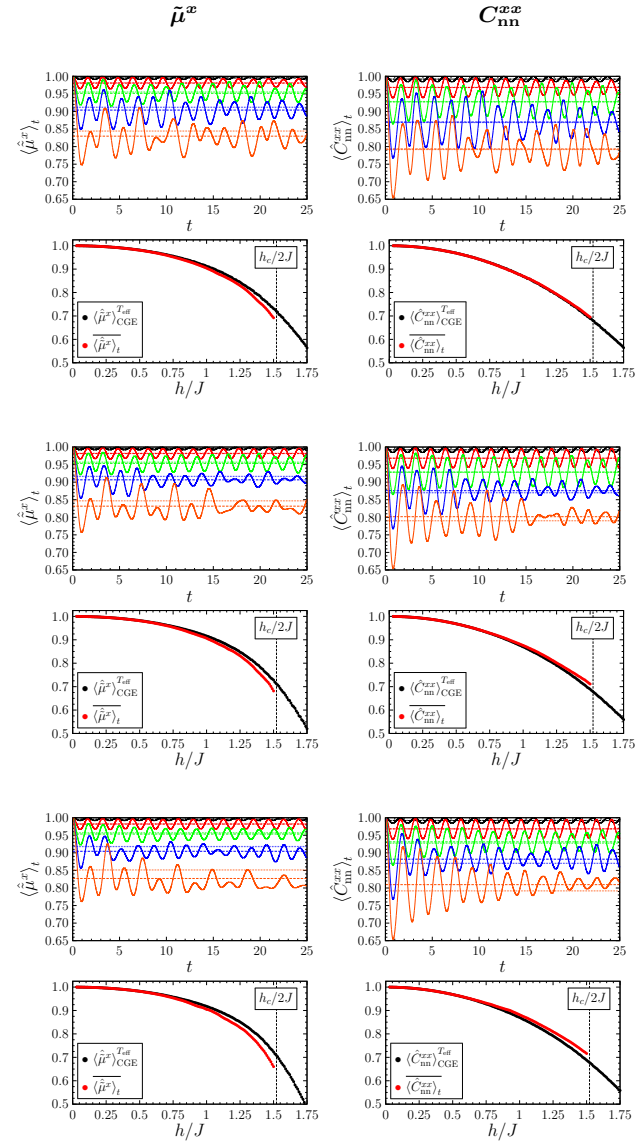


Comparison **time (rt-VMC)** / thermal average (L=8,12,16)

Interaction quench (PM)



Field quench (FM)

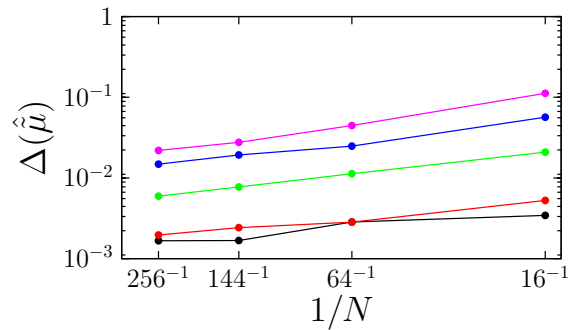




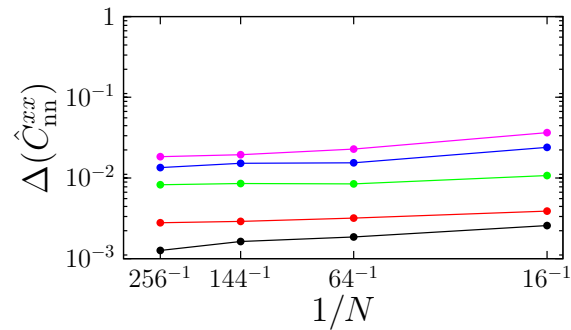
Deviations time - thermal: finite size effects

(a) **Interaction quenches** $(0, h) \rightarrow (J, h)$

i. Magnetization

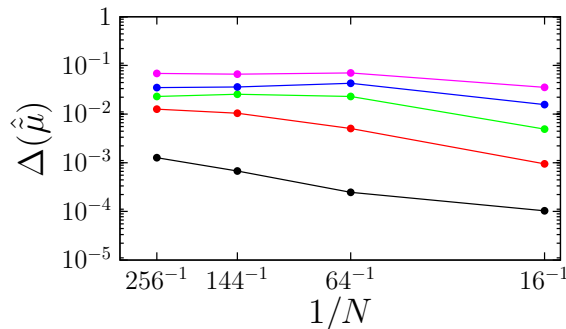


ii. Correlation function

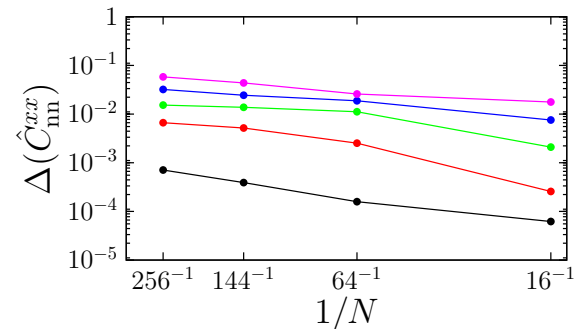


(b) **Field quenches** $(J, 0) \rightarrow (J, h)$

i. Magnetization



ii. Correlation function

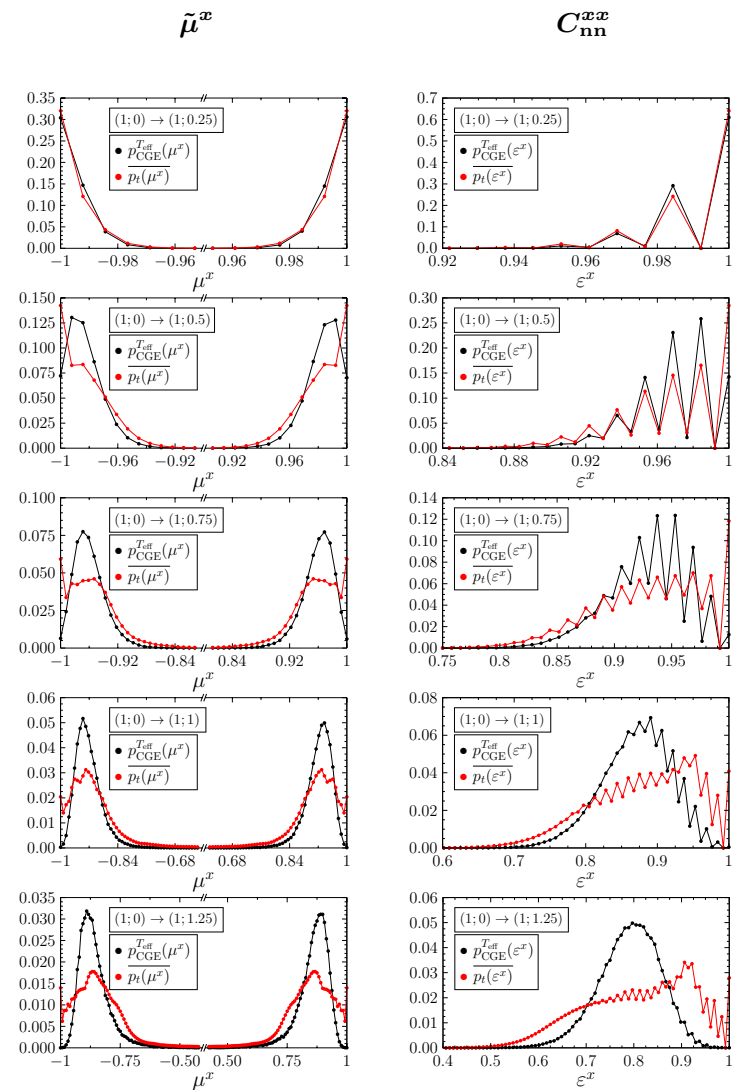
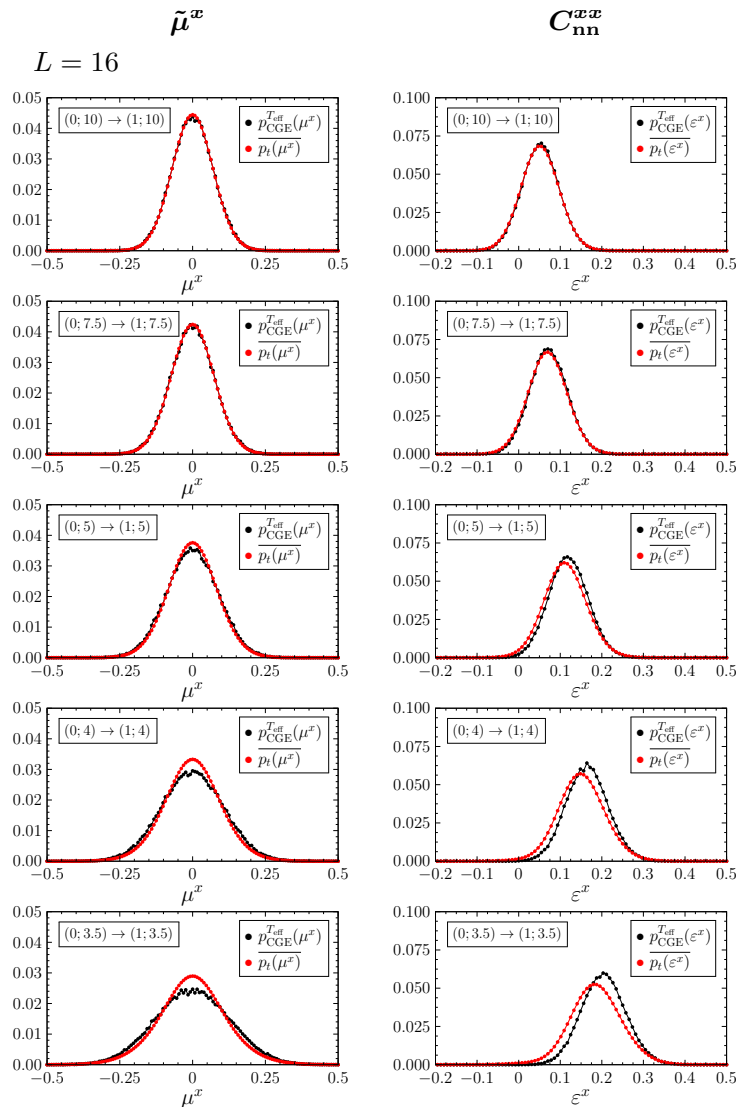




Time / thermal – increasing quench strength (L=16)

Interaction quench (PM)

Field quench (FM)

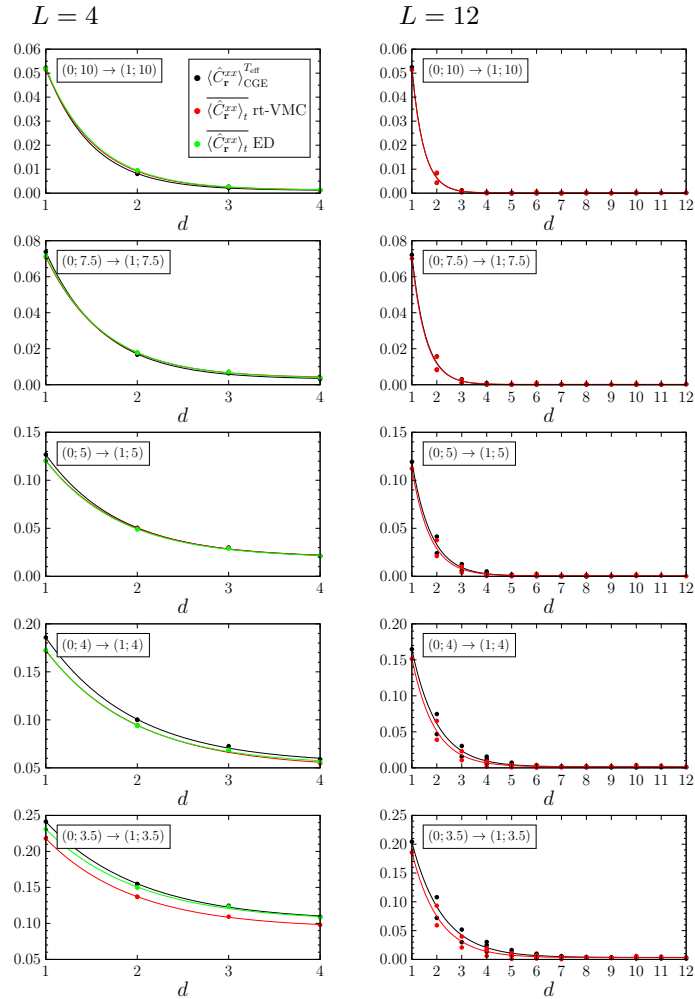




Correlation functions

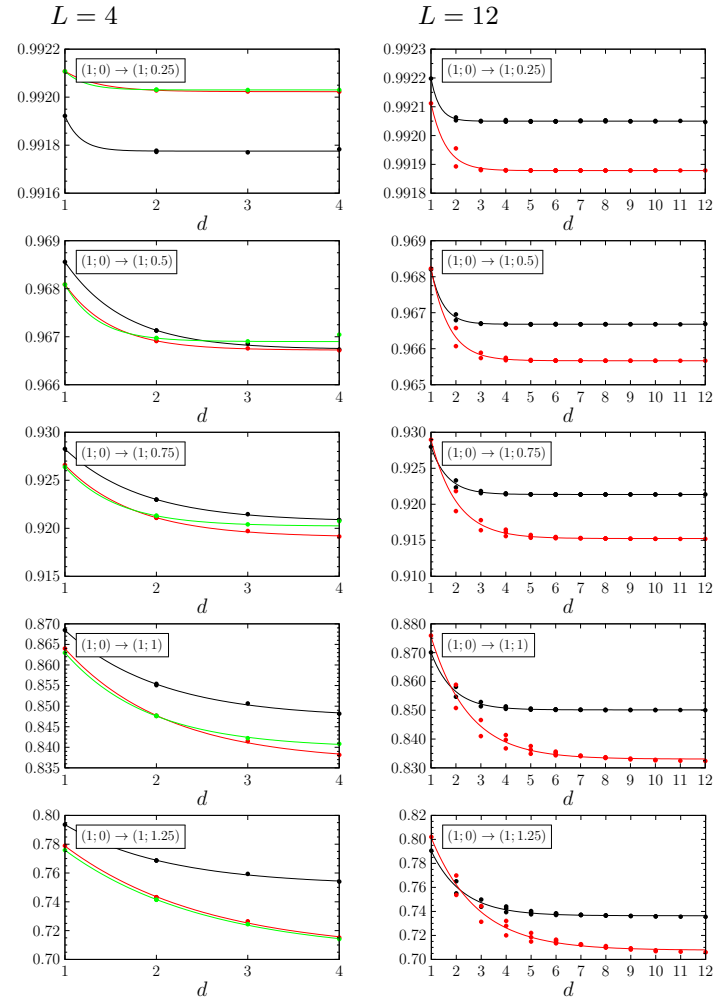
Interaction quenches

$$(0; h) \rightarrow (J; h)$$



Field quenches

$$(J; 0) \rightarrow (J; h)$$





Conclusion

- Quantum relaxation after field quench in 1d TIM:
- No thermalization, quasi particles (kinks) do not interact,
- f_p conserved, reconstruction of magnetization in finite systems

- Quantum relaxation after quenches in **2d TIM**
- Time dependent variational calculation (**rt-VMC**):
- Comparison of **time averages** with **thermal expectation** values
- Good agreement for **interaction quenches** (in the PM phase)
- Absence of thermalization for **field quenches** (in the FM phase)
- Magnetic correlations do not decay in the FM phase
- Note: FM phase is **gapless** (-> lack of **clustering** property?)