

## **Quantum annealing in imaginary time**

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C. De Grandi, A. Polkovnikov, A.W. Sandvik, PRB 84, 224303 (2011)

C.-W. Liu, A. Polkovnikov, A.W. Sandvik, PRB 87, 174302 (2013)

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# Outline

## Introduction

- Quantum annealing for quantum computing/optimization
- Transverse-field Ising model, quantum phase transition

## Quantum dynamics in real and imaginary time

- Exact numerical solutions for small systems
- Dynamical quantum Monte Carlo in imaginary time

## Dynamic scaling at phase transitions

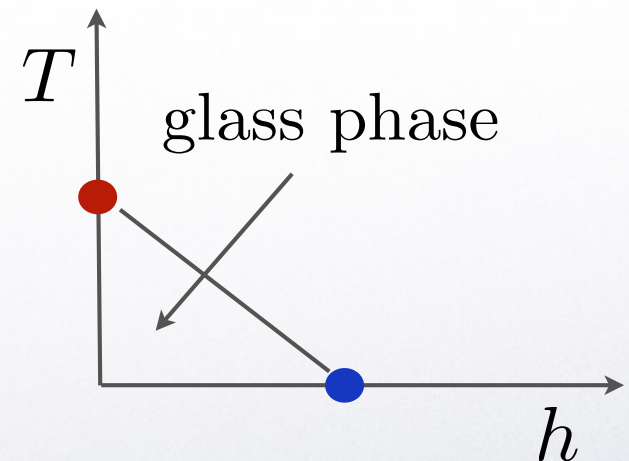
- Kibble-Zurek velocity scaling
- Demonstration for classical Ising model

## Quantum spin glass transition

- Imaginary-time QMC and KZ scaling
- Implications for quantum computing

## [Going all the way to the classical spin-glass ground state]

- Scaling of success probability in real and imaginary time
- Problem hardness in real and imaginary time



# Thermal and Quantum Annealing

## Simulated (Thermal) Annealing

Reduce T as a function of time in a Monte Carlo simulation

- efficient way to equilibrate a simulation
- powerful as optimization algorithm

## Quantum Annealing

Reduce quantum fluctuations as a function of time

- start with simple quantum system  $H_0$  ( $s=0$ ):
- end with a complicated classical potential  $H_1$  ( $s=1$ )

$$H(s) = (1 - s)H_0 + sH_1 \quad [H_0, H_1] \neq 0$$

$$s = s(t) = vt, \quad v = 1/t_{\max}$$

## Adiabatic Theorem:

For small  $v$ , the system stays in the ground state of  $H[s(t)]$

## **Can quantum annealing be more efficient than thermal annealing?**

Kadowaki, Nishimory (PRE 1998), Farhi et a (Science 2002),.....

**Useful paradigm for quantum computing?**

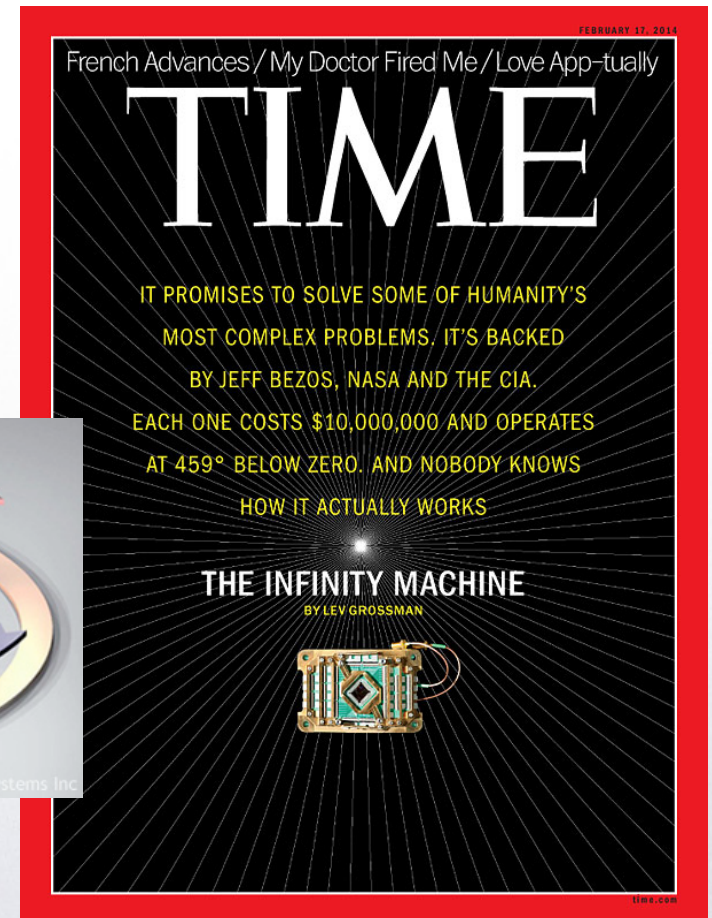
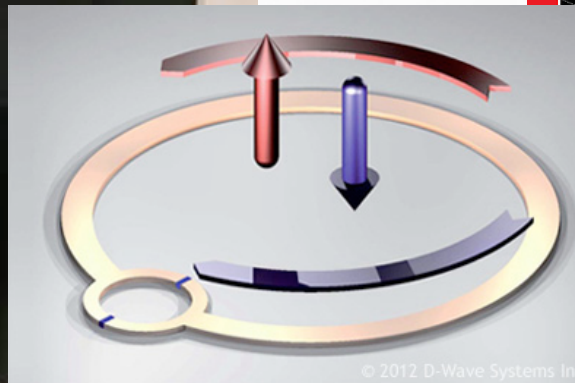
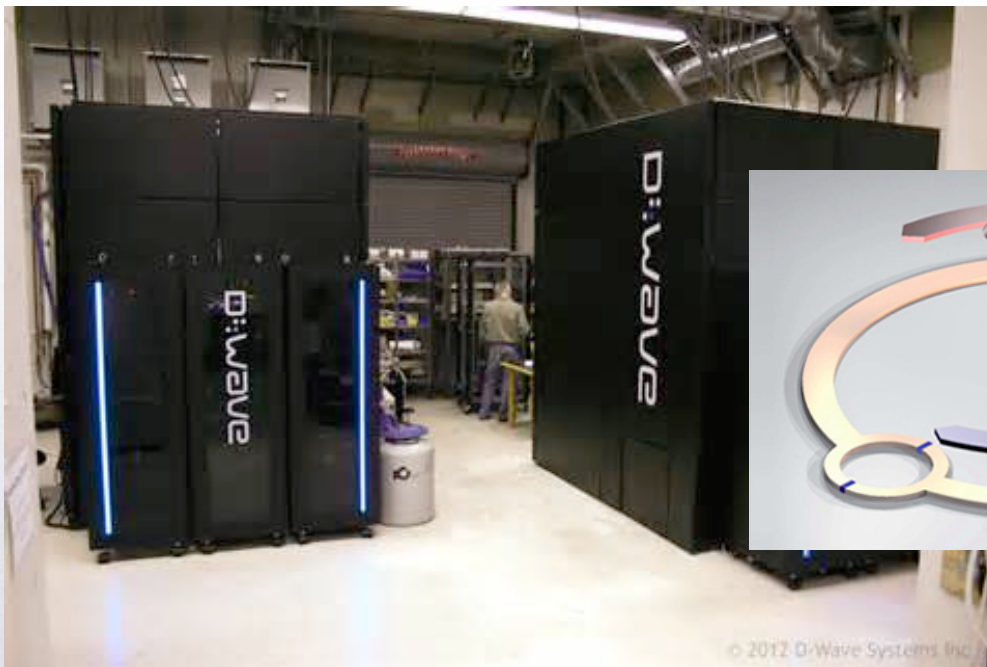
# Quantum Annealing & Quantum Computing

The D-wave “quantum annealer”; ~1000 flux qubits

- Claimed to solve some hard optimization problems

- Is it really doing quantum annealing?

**- Is quantum annealing really better than simulated annealing (on a classical computer)?**



**Hamiltonian implemented in D-wave quantum annealer...**

# Hamiltonian of the D-Wave Device

Solves optimization problems mapped onto frustrated Ising model

$$H_1 = \sum_{i=1}^N \sum_{j=1}^N J_{ij} \sigma_i^z \sigma_j^z, \quad \sigma_i^z \in \{-1, +1\}$$

Interactions  $J_{ij}$  are programmable  
- restricted to “Chimera lattice”

$$H_0 = - \sum_{i=1}^N \sigma_i^x = - \sum_{i=1}^N (\sigma_i^+ + \sigma_i^-)$$

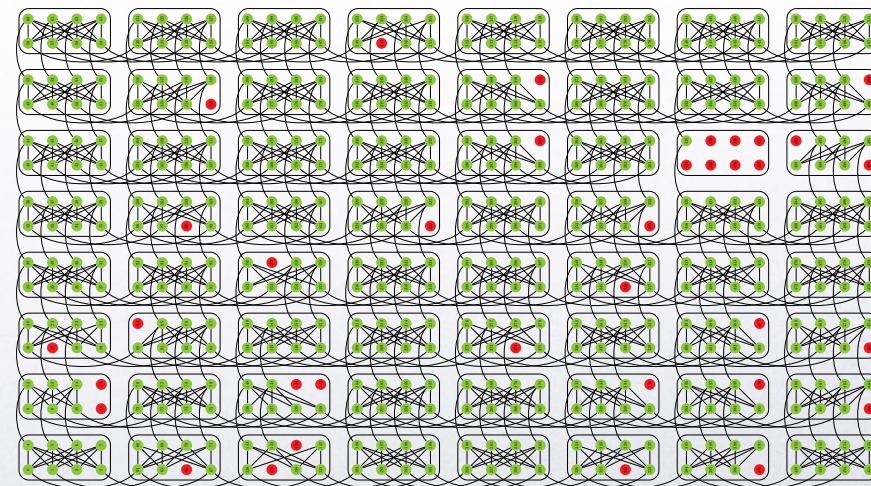
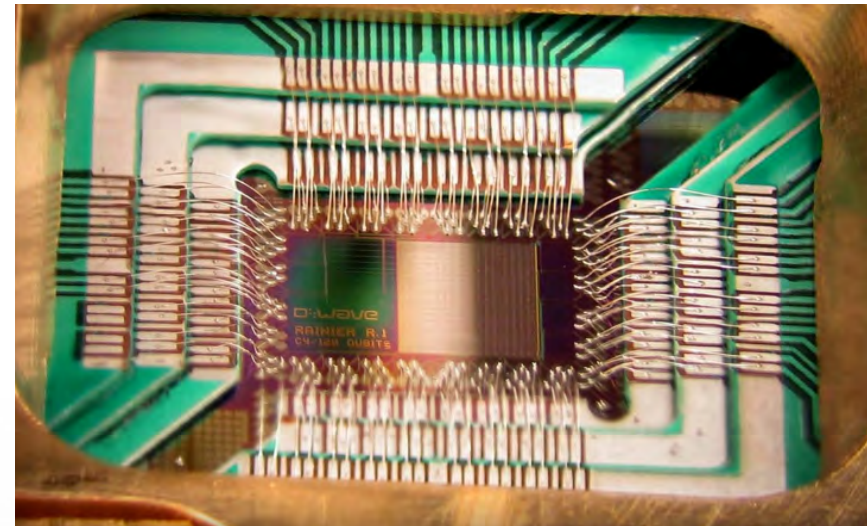
$$[H_0, H_1] \neq 0$$

Tune the strength of the field

$$H(s) = (1 - s)H_0 + sH_1$$

$$s = s(t) = vt, \quad v = 1/t_{\max}$$

adiabatically from  $s=0$  to  $s=1$



D-wave Chimera-lattice setup, picture from Martin-Mayor & Hen, arXiv:1502.02494

→ **Studies of dynamics of transverse-field Ising models**

# Quantum Phase Transition

One can expect a quantum phase transition in the system

$$H(s) = (1 - s)H_0 + sH_1 \quad [H_0, H_1] \neq 0$$

Ground state changes qualitatively as  $s$  changes

- trivial (easy to prepare) for  $s=0$
- complex (solution of hard optimization problem) at  $s=1$

→ **expect a quantum phase transition at some  $s=s_c$**

as in the clean transverse-field Ising ferromagnet

$$H(s) = -s \sum_{\langle ij \rangle} \sigma_i^z \sigma_{i+1}^z - (1 - s) \sum_{i=1}^N \sigma_i^x \quad (N \rightarrow \infty)$$

- trivial x-oriented ferromagnet at  $s=0$  ( $\rightarrow \rightarrow \rightarrow$ )
- z-oriented ( $\uparrow \uparrow \uparrow$  or  $\downarrow \downarrow \downarrow$ , symmetry broken) at  $s=1$
- $s_c=1/2$  in 1D, appr. 0.25 in 2D

**Have to pass through  $s_c$  and beyond adiabatically**

**How long does it take (versus problem size  $N$ )?**

# Quantum Dynamics

Time evolution

$$|\Psi(t)\rangle = U(t, t_0)|\Psi(t_0)\rangle$$

Time evolution operator with time-dependent H

$$U(t, t_0) = T_t \exp \left[ i \int_{t_0}^t dt' H[s(t')] \right]$$

**Difficult to study numerically for a many-body system**

- exact diagonalization of small systems
- DMRG/MPS/TEBD for 1D systems (moderate sizes and times)

**Alternative approach:**

**Schrödinger dynamics in imaginary time  $t=i\tau$**

$$|\Psi(\tau)\rangle = U(\tau, \tau_0)|\Psi(\tau_0)\rangle \quad U(\tau, \tau_0) = T_\tau \exp \left[ - \int_{\tau_0}^{\tau} d\tau' H[s(\tau')] \right]$$

**Can be implemented in Quantum Monte Carlo**

**De Grandi, Polkovnikov, Sandvik, PRB2011**

**What can imaginary time tell us about real-time dynamics?**

# Real and imaginary time quantum dynamics

Example: linear ramp of transverse-field Ising ferromagnet

$$H(s) = -s \sum_{\langle ij \rangle} \sigma_i^z \sigma_j^z - (1-s) \sum_{i=1}^N \sigma_i^x \quad s \in [0, 1], \quad s = vt$$

2D square-lattice system;  $N=L^2$

Start from eigenstate of  $H(s=0)$  at  $t=0$

- Instantaneous ground state:  $|\Psi_0(t)\rangle = |\Psi_0(s[t])\rangle$
- Actual state during evolution:  $|\Psi(t)\rangle$

Distance between these states given by log-fidelity

$$-\ln[F(t)] = -\frac{1}{2} \ln(|\langle \Psi_0(t) | \Psi(t) \rangle|^2)$$

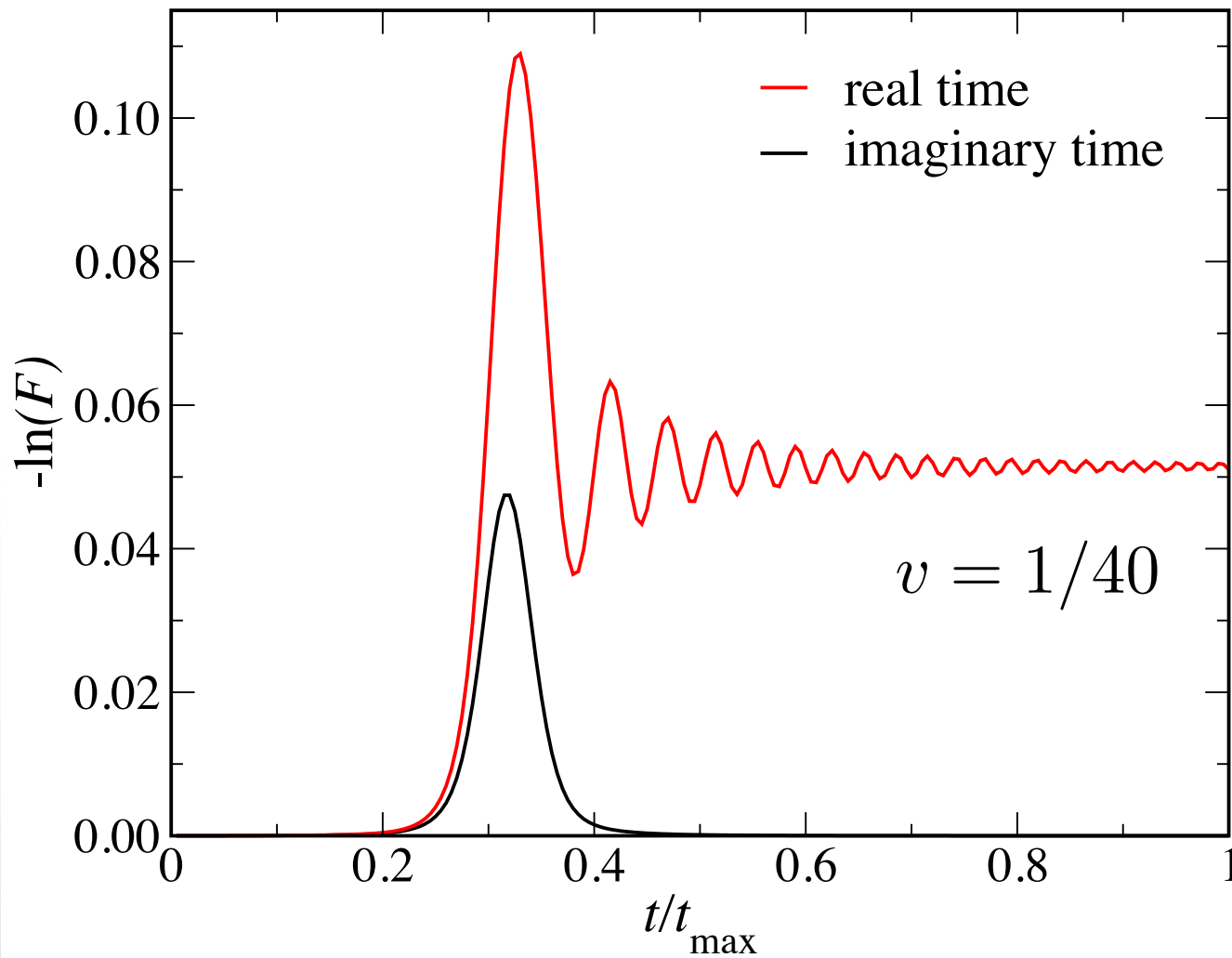
Integrate Schrödinger equation numerically for small  $L$

- compare real and imaginary time

**How different? Which one is more adiabatic?**

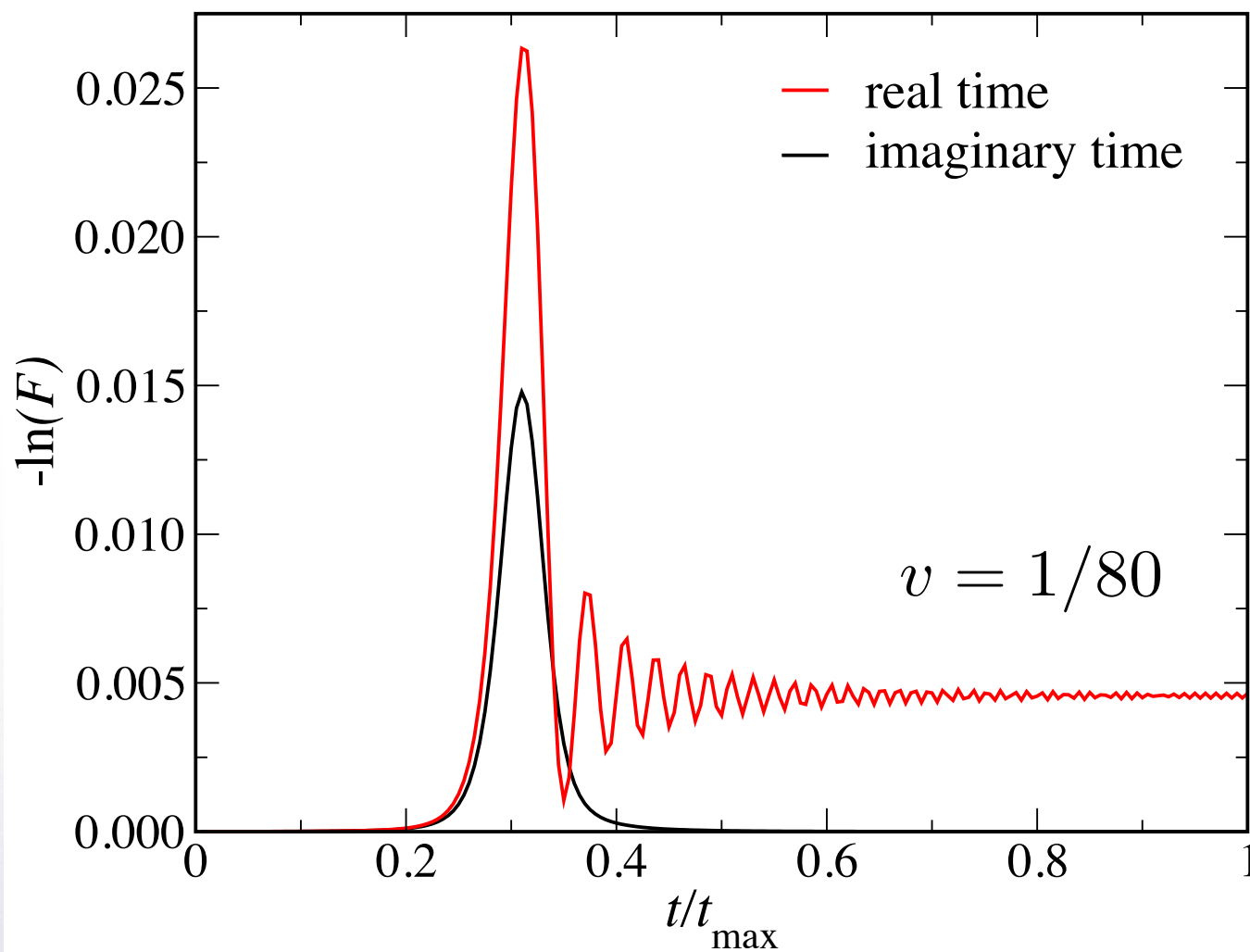


# Example: 4×4 lattice



Main peak  
reflects quantum  
phase transition  
at  $S_c \approx 0.25$

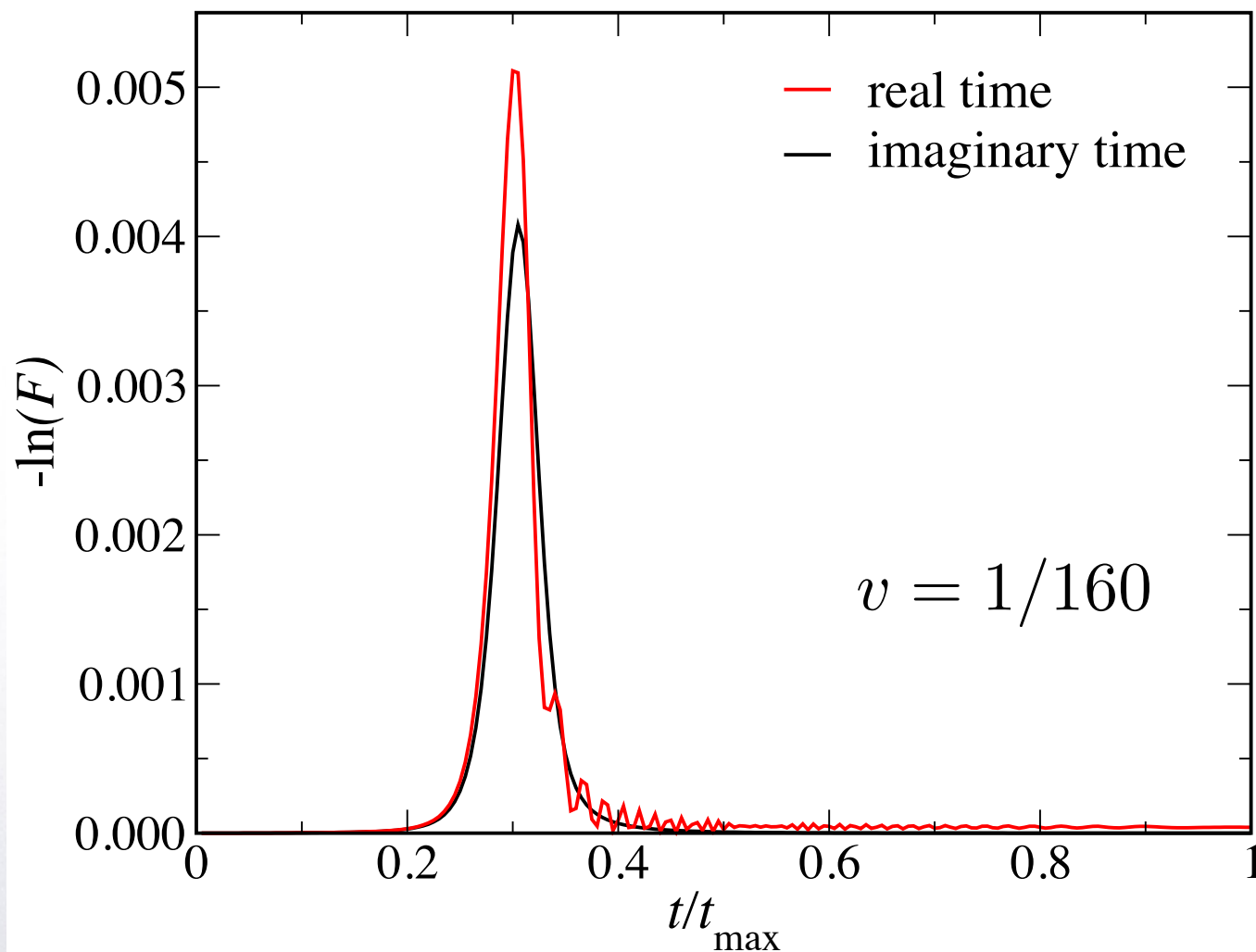
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Imaginary time  
more efficient in  
reaching ground state  
for  $s \rightarrow 1$

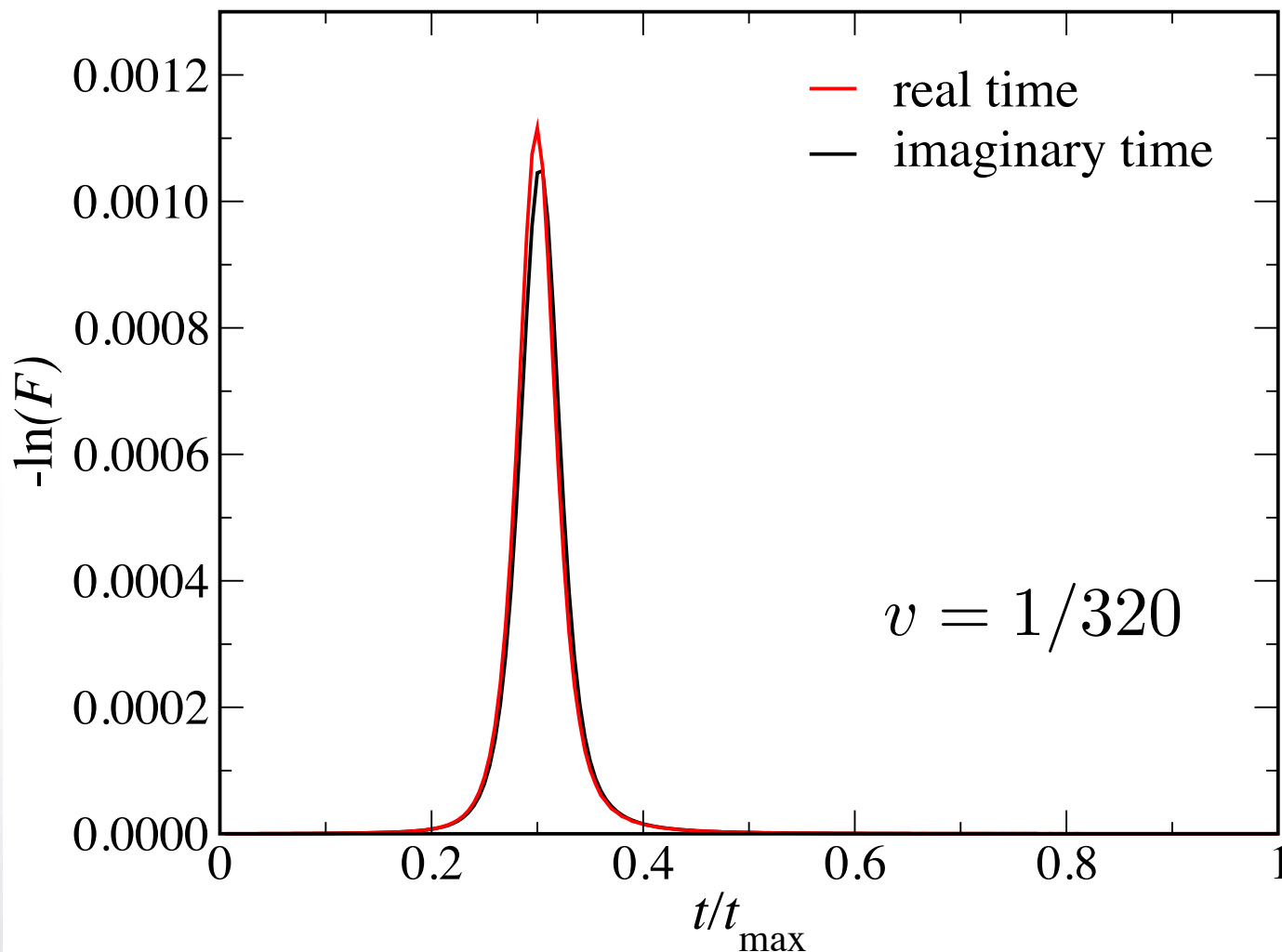
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# Example: 4×4 lattice



Differences between real and imaginary time are of order  $v^2$  or  $v^3$  (depends on observable)

Same dynamic susceptibilities accessed in real and imaginary time

**Dynamic exponent  $z$  is same in real and imaginary time**  
**De Grandi, Polkovnikov, Sandvik, PRB 2011**

**Use imaginary time for large systems**

# Quantum Monte Carlo Algorithm

Schrödinger dynamic in imaginary time  $t=i\tau$

$$|\Psi(\tau)\rangle = U(\tau, \tau_0)|\Psi(\tau_0)\rangle \quad U(\tau, \tau_0) = T_\tau \exp \left[ - \int_{\tau_0}^{\tau} d\tau' H[s(\tau')] \right]$$

Implemented in quantum Monte Carlo as:

$$|\Psi(\tau)\rangle = \sum_{n=0}^{\infty} \int_{\tau_0}^{\tau} d\tau_n \int_{\tau_0}^{\tau_n} d\tau_{n-1} \cdots \int_{\tau_0}^{\tau_2} d\tau_1 [-H(\tau_n)] \cdots [-H(\tau_1)] |\Psi(0)\rangle$$

## **Simpler scheme: evolve with just a H-product**

(Liu, Polkovnikov, Sandvik, PRB 2013)

$$|\Psi(s_M)\rangle = H(s_M) \cdots H(s_2)H(s_1)|\Psi(0)\rangle, \quad s_i = i\Delta_s, \quad \Delta_s = \frac{s_M}{M}$$

Time unit is  $\propto 1/N$ , velocity is  $v \propto N\Delta_s$

**Difference in v-dependence between product evolution and imaginary-time Schrödinger dynamics is  $O(v^2)$**   
**- same critical scaling behavior, dynamic susceptibilities**

**How is this method implemented?**

# QMC Algorithm Illustration

Transverse-field Ising model: 2 types of operators:

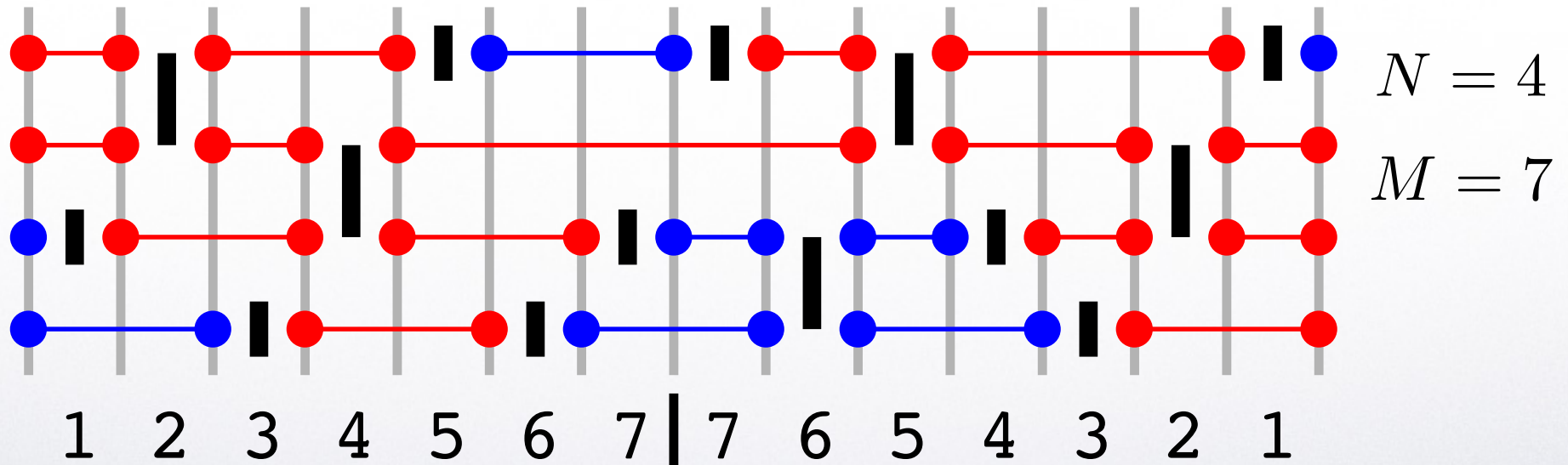
$$H_1(i) = -(1 - s)(\sigma_i^+ + \sigma_i^-)$$

Represented as “vertices”

$$H_2(i, j) = -s(\sigma_i^z \sigma_j^z + 1)$$



MC sampling of networks of vertices



$N = 4$   
 $M = 7$

$$\langle \Psi(0) | H(s_1) \cdots H(s_7) | H(s_7) \cdots H(s_1) | \Psi(0) \rangle$$

Simple extension of ground-state projector QMC (fixed H)

**Analyze results versus velocity  $v$  and system size**

# Dynamic QMC Illustration

## Test on clean 2D Ising model in transverse field

Using H-product dynamics

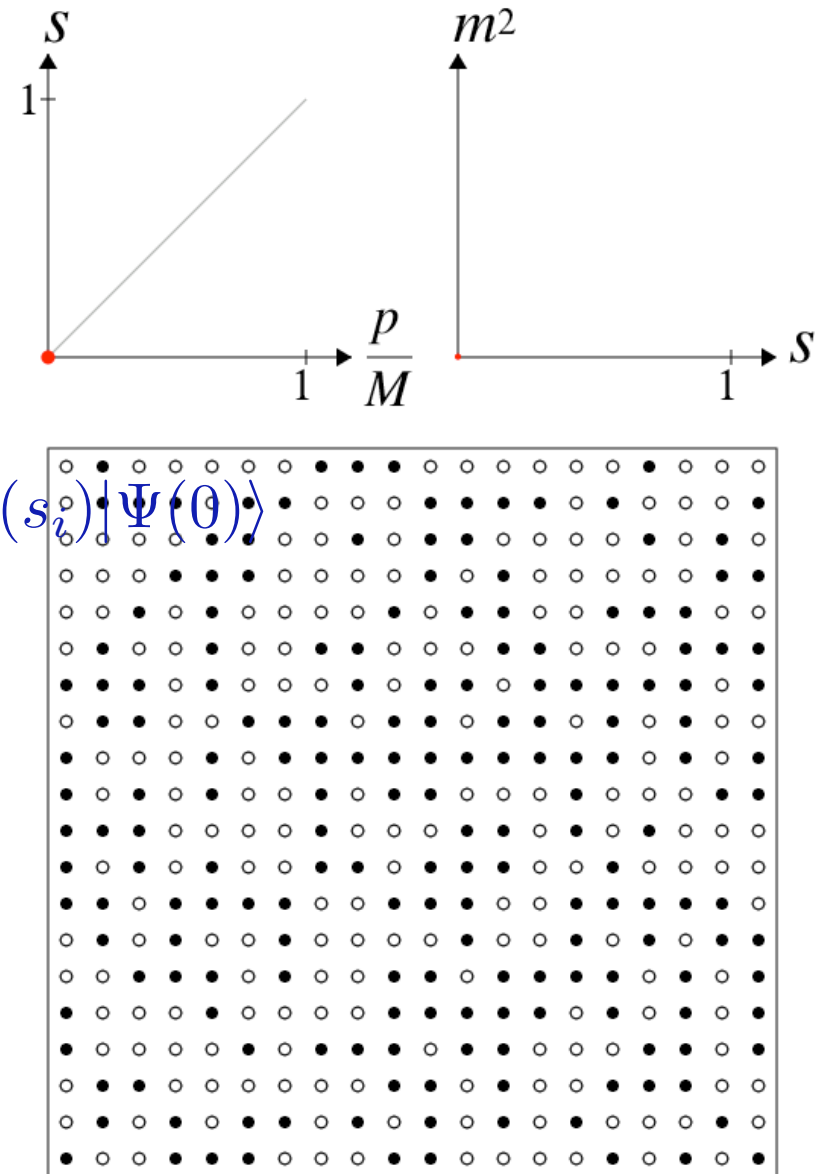
“Asymmetric” expectation values

$$\langle A \rangle_k \equiv \langle \Psi(\Psi) \left( \prod_{i=M}^1 H(s_i) \prod_{i=k}^M H(s_i) \prod_{i=1}^k H(s_i) \right) | \Psi(0) \rangle$$

Same leading-order (in  $v$ ) behavior as conventional expectation values

Computational advantage:  
All  $s$ -values in one simulation!

**Animation of single configuration**



**Collect data, do scaling analysis...**

# Dynamic Critical Exponent and Gap

**Dynamic exponent  $z$**  at a phase transition

- relates time and length scales

**At a continuous transition (classical or quantum):**

- large (divergent) correlation length

$$\xi_r \sim |\delta|^{-\nu}, \quad \xi_t \sim \xi_r^z \sim |\delta|^{-\nu z}$$

$\delta$  = distance from critical point (in T or other param)

Continuous quantum phase transition

- excitation gap at the transition

depends on the system size and  $z$  as

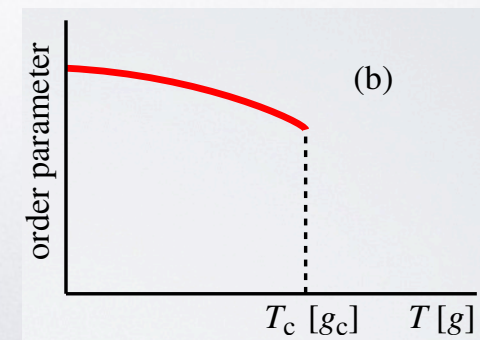
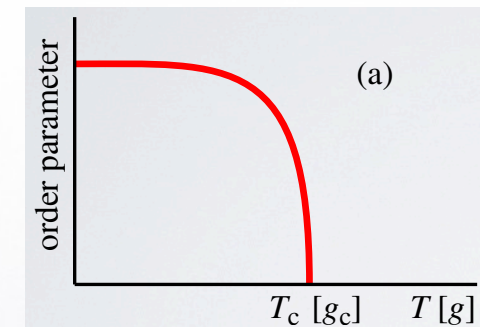
$$\Delta \sim \frac{1}{L^z} = \frac{1}{N^{z/d}}, \quad (N = L^d)$$

Exponentially small gap at a first-order (discontinuous) transition

$$\Delta \sim e^{-aL}$$

**Important issue for quantum annealing!**

P. Young et al. (PRL 2008)



**Exactly how does  $z$  enter in the adiabatic criterion?**



# Kibble-Zurek Velocity and Scaling

The adiabatic criterion for passing through a continuous phase transition involves exponents  $z$  and  $\nu$ :

**Must have  $v < v_{KZ}$ , with**

$$v_{KZ} \sim L^{-(z+1/\nu)}$$

**Same criterion for classical and quantum phase transitions**

- adiabatic (quantum)
- quasi-static (classical)

**Kibble 1978**

- defects in early universe

**Zurek 1981**

- classical phase transitions

**Polkovnikov 2005 + others**

- quantum phase transitions

**Generalized finite-size scaling hypothesis**

$$A(\delta, v, L) = L^{-\kappa/\nu} g(\delta L^{1/\nu}, v L^{z+1/\nu})$$

$\delta$  = distance from critical point (in T or other param)

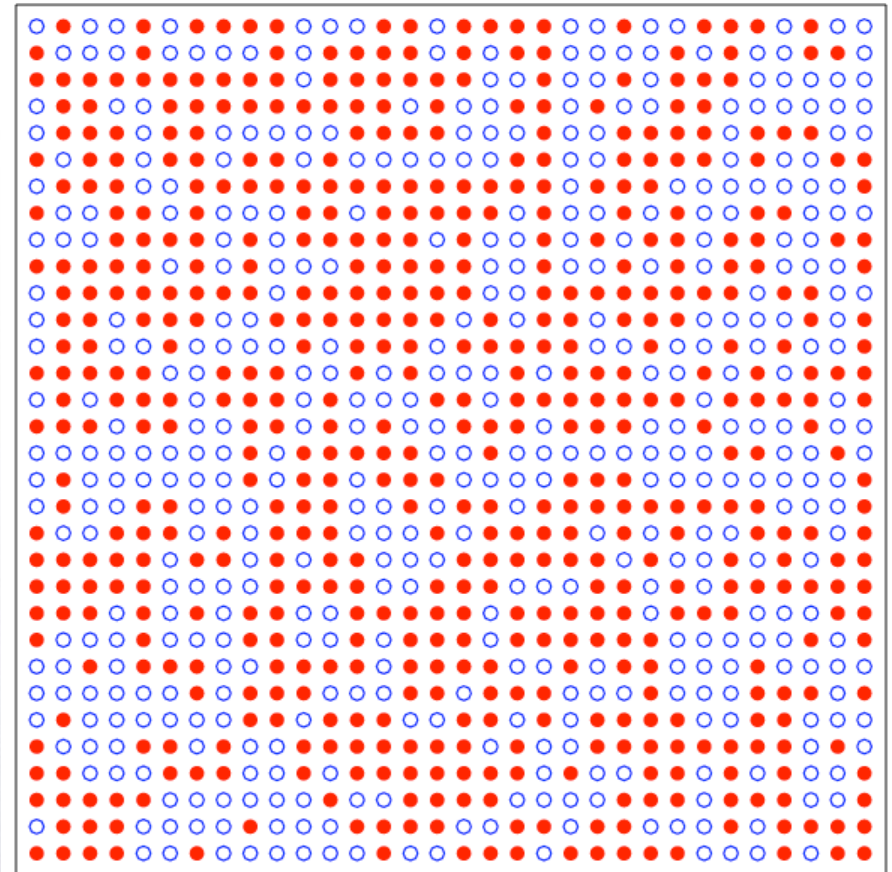
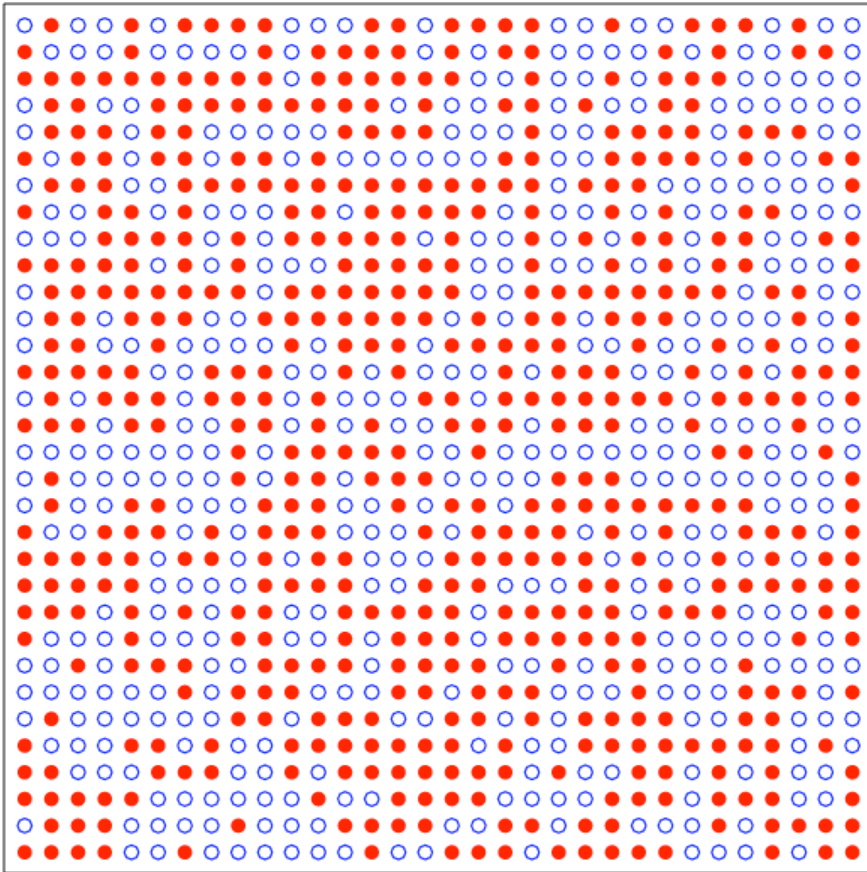
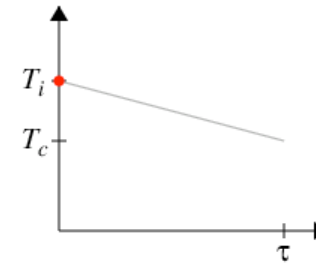
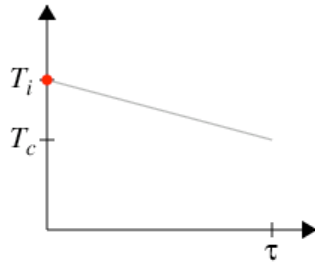
$$A(\delta, v, N) = N^{-\kappa/\nu'} g(\delta N^{1/\nu'}, v N^{z'+1/\nu'}), \quad \nu' = d\nu, \quad z = z/d$$

**Will use for spin glasses of interest in quantum computing**

**Apply to well-understood classical system first...**

# Classical simulated annealing

## 2D classical Ising Metropolis MC simulations

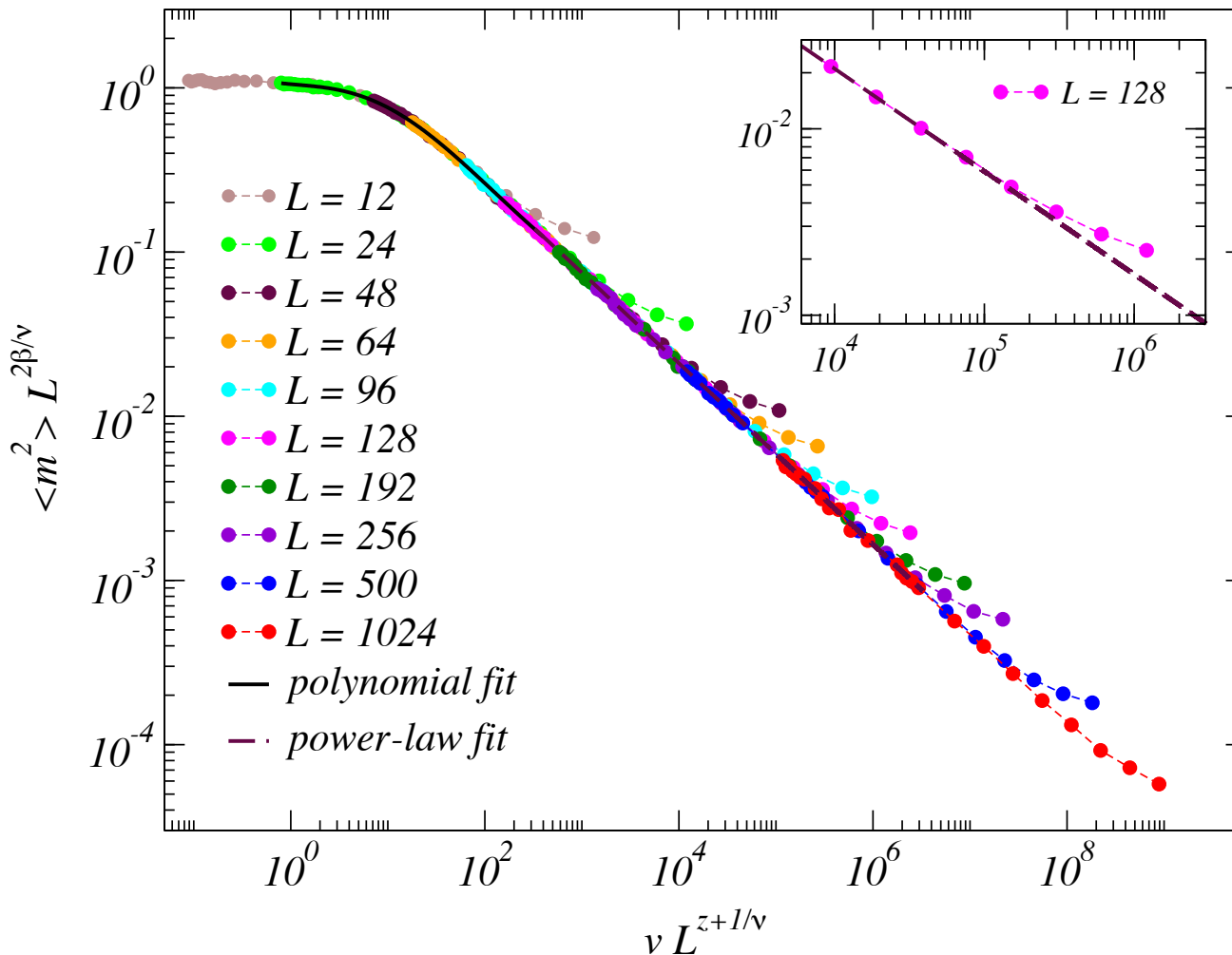


Repeat many times, collect averages, analyze,....

# Velocity Scaling, 2D Ising Model

Repeat process many times, average data for  $T=T_c$

$$\langle m^2(\delta = 0, v, L) \rangle = L^{-2\beta/\nu} f(vL^{z+1/\nu})$$



Used known 2D Ising exponents  $\beta=1/8, \nu=1$

Adjusted  $z$  for optimal scaling collapse

**Result:**

$$z \approx 2.1767(5)$$

consistent with values obtained in other ways

**Liu, Polkovnikov, Sandvik, PRB 2014**

Can also be done for quantum systems in imaginary time

# 2D Transverse-Ising, Scaling Example

$$A(\delta, \nu, L) = L^{-\kappa/\nu} g(\delta L^{1/\nu}, \nu L^{z+1/\nu}) \quad z = 1, \nu \approx 0.70$$

If  $z, \nu$  known,  $s_c$  not: use

$$\nu L^{z+1/\nu} = \text{constant}$$

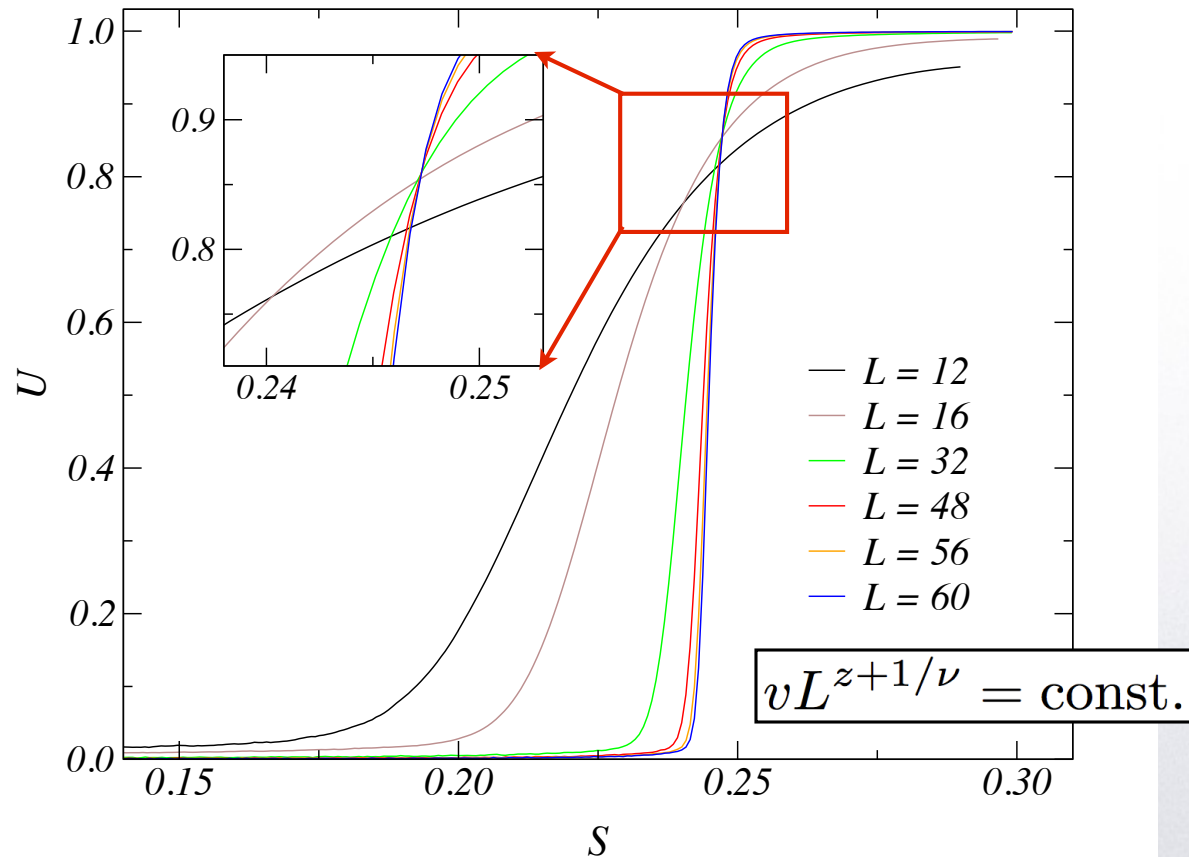
for 1-parameter scaling

Example: Binder cumulant

$$U = \frac{3}{2} \left( 1 - \frac{1}{3} \frac{\langle m_z^4 \rangle}{\langle m_z^2 \rangle^2} \right)$$

Step function should form,  
jump from  $U=0$  to 1 at  $s_c$   
- crossing points for  
finite system size

$$U(s, L, \nu) = U((s - s_c)L^{1/\nu}, \nu L^{z+1/\nu})$$

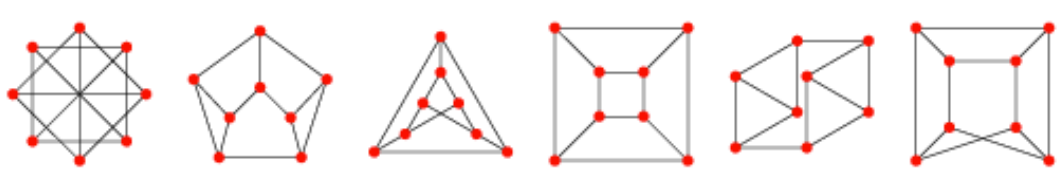


**Do similar studies for quantum spin glasses**

# 3-regular graphs with anti-ferro couplings

**N spins, randomly connected, coordination-number 3**

N=8



Classical model has mean-field glass transition  
-  $T_c$  known exactly (Krazakala et al.)

The quantum model was studied by

**Farhi, Gosset, Hen, Sandvik, Shor, Young, Zamponi, PRA 2012**

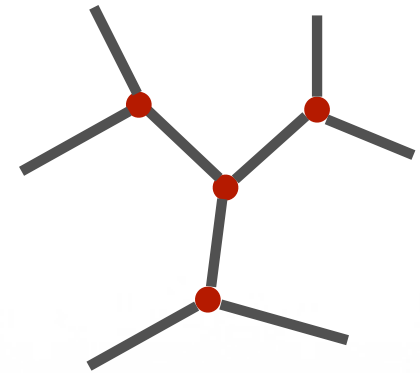
- $s_c \approx 0.37$  from quantum cavity approximation
- QMC consistent with this  $s_c$ , power-law gaps at  $s_c$

**More detailed studies with quantum annealing**

Edwards-Anderson spin-glass order parameter

$$q = \frac{1}{N} \sum_{i=1}^N \sigma_i^z(1) \sigma_i^z(2)$$

(1) and (2) are independent simulations (replicas)



**Analyze  $\langle q^2 \rangle$  using QMC and velocity scaling**

# Extracting Quantum-glass transition

## Using Binder cumulant

$$U(s, v, N) = U[(s - s_c)N^{1/\nu'}, vN^{z'+1/\nu'}]$$

But now we don't know the exponents. Use

$$v \propto N^{-\alpha}, \quad \alpha > z' + 1/\nu'$$

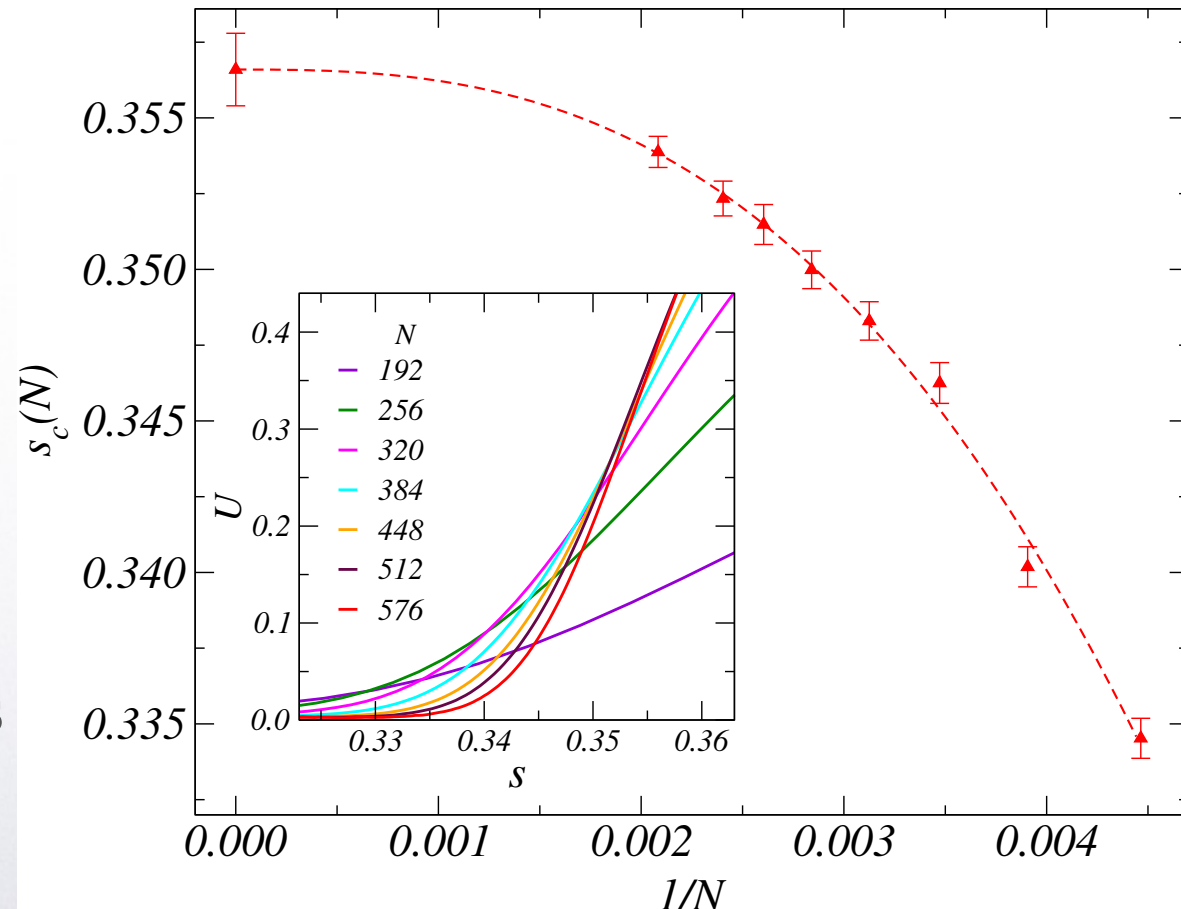
- do several  $\alpha$
- check for consistency

**Best result for  $\alpha=17/12$**

$$s_c = 0.3565 \pm 0.0012$$

**Consistent with previous work, but smaller errors**

$$\alpha = 17/12$$



**Next, critical exponents...**

# Velocity Scaling at the Glass Transition

Study evolution to  $s_c$

- several system sizes  $N$

- several velocities

$$\beta/\nu' \approx 0.43(2)$$

$$z'+1/\nu' \approx 1.3(2)$$

Differ from values

expected for  $d=\infty$ :

(Read, Sachdev, Ye, 1995)

$$\beta/\nu' = 1/2$$

$$z'+1/\nu' = 3/4$$

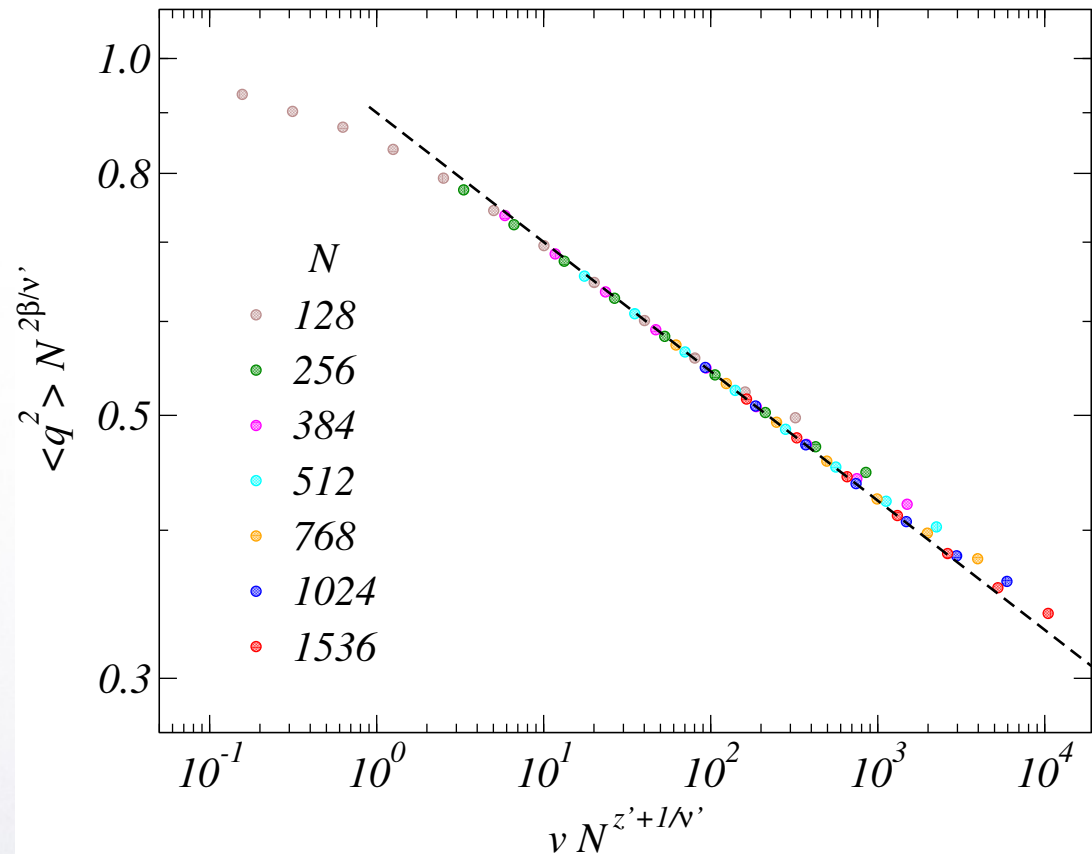
Fully connected model (SK)

- velocity scaling gives

$$\beta/\nu' \approx 0.47(3)$$

$$z'+1/\nu' \approx 0.8(1)$$

$$\langle q^2(s_c) \rangle \propto N^{-2\beta/\nu'} f(vN^{z'+1/\nu'})$$



**Why is 3-regular model different?**

**Significance of the exponents?**

# Relevance to Quantum Computing

The time needed to stay adiabatic up to  $s_c$  scales as

$$t \sim N^{z'+1/\nu} \quad z' + 1/\nu' \approx 1.3$$

Reaching  $s_c$ , the degree of ordering scales as

$$\sqrt{\langle q^2 \rangle} \sim N^{-\beta/\nu'} \quad \beta/\nu' \approx 0.43$$

Let's compare with the known classical exponents  
(finite-temperature transition of 3-regular random graphs)

## Classical

$$\beta/\nu' = 1/3$$

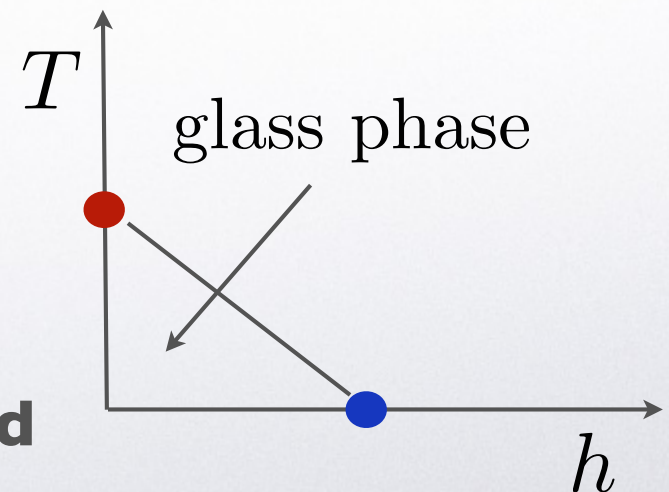
$$z'+1/\nu' = 1$$

## Quantum

$$\beta/\nu' \approx 0.43$$

$$z'+1/\nu' \approx 1.3$$

- It takes longer for quantum annealing to reach its critical point
- And the state is further from ordered (further from the optimal solution)



**Proposal: Do velocity scaling with the D-wave machine!**