

# 1 **Structural and Electronic Properties of Oligo- and Polythiophenes** 2 **Modified by Substituents**

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## 8 **Abstract**

9 Electronic and structural properties of oligo- and polythiophenes that can be used as building  
10 blocks for molecular electronic devices have been studied using periodic density functional theory  
11 calculations. We have in particular focused on the effect of substituents on the electronic struc-  
12 ture of thiophenes. Whereas singly bonded substituents such as methyl, amino or nitro groups  
13 change the electronic properties of thiophene monomers and dimers, they hardly influence the  
14 band gap of polythiophene. In contrast, phenyl-substituted polythiophenes as well as vinyl-bridged  
15 polythiophene-derivatives exhibit drastically modified band gaps. These effects can not be ex-  
16 plained by simple electron removal or addition, as calculations for charged polythiophenes demon-  
17 strate.

## 18 **Keywords**

19 thiophene, substituents, band gaps

## 20 **Introduction**

21 Since the first report about the electrical conductivity of doped polyacetylene (PA) in 1977 [1]  
22 significant efforts have been spent in studying organic polymers as an alternative to common in-

23 organic semi-conducting materials [2], as they can, e.g., form supramolecular architectures on  
24 surfaces [3,4] that can serve as building blocks in molecular electronics or can be used in the future  
25 solar energy technology [5]. Although the electrical conductivity of well prepared PA is nearly  
26 the same as for copper [6], its technical applications are very rare due to its instability towards  
27 air and humidity [7]. Searching more stable compounds, thiophene-based materials turned out  
28 to be promising candidates and thus they have gained considerable attention during the past 20  
29 years [6,8].

30 Like PA, nano-sized polythiophene (PTp) shows a diffuse widespread conjugated  $\pi$ -system [8].  
31 Consequently, removing an electron from the highest occupied polymer orbital or adding an elec-  
32 tron to the lowest unoccupied orbital is relatively easy [9]. In a chemist's terminology one might  
33 call these processes redox-reactions whereas from a physicist's point of view one more likely  
34 will call them *n*- and *p*-doping, respectively, to stress the analogy to the doping processes in tra-  
35 ditional semi-conducting materials like silicon. By that, neutral polymers which usually show  
36 semi-conducting or isolating properties can transform into highly conductive compounds with a  
37 metal-like behavior.

38 The advantages of these *synthetic metals* are obvious. On the one hand they are nearly as conduc-  
39 tive as metals but on the other hand they are as light and durable as plastics [10]. Furthermore,  
40 especially in the case of PTp the doping processes causing the high conductivity of polymers are  
41 highly reversible [9]. This offers the opportunity to switch between conducting and insulating prop-  
42 erties very easily and opens a broad field of application in the area of micro- and opto-electronics,  
43 e.g., as organic transistors, photo resistances oder polymer light-emitting diodes (LEDs) [11]. In  
44 particular, thiophene-based organic solar cells have shown remarkable efficiency [5,8]. Neverthe-  
45 less they are still relatively cheap in production [12].

46 For all these applications, the particular electronic structure of polymers is crucial. In particular, a  
47 directed manipulation of the bandgap to tailor the electronic properties is very desirable. Consid-  
48 ering the significant potential of organic chemistry at synthesizing and manipulating compounds,  
49 there is definitely a demand for a better understanding of how the electronic structure of com-

50 pounds like PTP can be manipulated using these tools. There have been already several studies  
51 addressing the electronic structure of thiophenes with electronic structure methods [13-20]. In  
52 these computational studies, typically oligothiophenes of varying size have been considered based  
53 on density functional theory (DFT), and properties of polythiophenes have been derived using  
54 scaling relations [21].

55 Here, we focus on the modification of the electronic properties of oligo- and polythiophenes by  
56 substituents based on periodic DFT calculations. Hence we are able to address oligo- and poly-  
57 thiophenes within the same computational method so that no scaling relations have to be invoked.  
58 Our aim has particularly been to determine the influence of different substituents on the electronic  
59 structure and especially on the bandgap of thiophene-based polymers, as it is known that there  
60 is a close relationship between the geometrical structure and physical properties of conductive  
61 polymers [22].

62 As a starting point, we have first considered thiophene monomers and dimers, and then compared  
63 their properties to those of infinite chains of thiophene which can also act as a model for macro-  
64 cyclic systems, namely cyclothiophenes [23]. As substituents we considered both singly bonded  
65 substituents such as methyl, amino or nitro groups as well as phenyl-like substituents. In addition,  
66 we have studied vinyl-bridged polythiophene-derivatives. Finally, we have also addressed charged  
67 polythiophenes in order to model doped systems and to check whether the modified electronic  
68 properties can simply be regarded as effects resulting from band-filling or band-emptying.

## 69 **Methods**

70 Our calculations are based on the periodic DFT code implemented in the *Vienna Ab initio Simu-*  
71 *lation Package* (VASP) [24,25]. Exchange and correlation effects have been treated in the gener-  
72 alized gradient approximation (GGA) using the Perdew-Becke-Ernzerhof (PBE) functional [26]  
73 which gives a reliable description of intramolecular properties [27,28]. Dispersion corrections [29]  
74 are not necessary since we are not concerned with intramolecular interaction or adsorption of the  
75 aromatic molecules [30,31]. The ionic cores have been represented by projector augmented wave

76 (PAW) potentials [32] as constructed by Kresse and Joubert [33]. The electronic one-particle wave  
77 functions have been expanded in a plane-wave basis set up to a cutoff energy of 400 eV which has  
78 been checked for convergence.

79 All geometrical optimizations were carried out using the conjugated gradient algorithm imple-  
80 mented in VASP. Molecules were geometrically optimized using a sufficiently large unit cell in  
81 the supercell approach and one  $k$ -point. In contrast, the polymers have been described as one-  
82 dimensional infinite chains with a  $7 \times 1 \times 1$   $k$ -point sampling to replace the integration over the  
83 one-dimensional first Brillouin zone.  $k$ -point convergence was carefully checked. When optimizing  
84 the polymer structure, both the geometric structure within the unit cell as well as the width of the  
85 unit cell were optimized as the latter correlates directly with the intercellular bondlength.

86 For molecules, calculations concerning the density of states (DOS) were carried out at the  $\Gamma$  point  
87 with a Gaussian smearing ( $\sigma = 0.01$  eV). For polymers, in contrast, a grid of  $29 \times 1 \times 1$   $\Gamma$ -centered  
88  $k$ -points and linear tetrahedron smearing with Blöchl corrections [34] were used. Geometrically  
89 optimized structures were taken as a basis for all of these calculations. Polymers of different oxida-  
90 tion states were modeled by changing the number of electrons per unit cell. In order to preserve the  
91 electroneutrality of the cell, a compensating background charge is generated by default.

92 As we are interested in the HOMO-LUMO gap of oligothiophenes and the band gaps of polythio-  
93 phenes, we have to be concerned with the well-known deficiency of DFT using current-day GGA  
94 exchange-correlation functionals to reproduce the correct magnitude of band gaps. The calcu-  
95 lated band structure can be improved by including self-energy corrections. However, including  
96 such corrections basically just affects the distance between valence and conduction band, the shape  
97 and  $k$ -point dependence of valence and conduction bands remain more or less unchanged [35].

98 Furthermore, the more costly time-dependent DFT methods also do not yield necessarily better  
99 results [21]. Furthermore, hybrid functionals which apparently work well for thiophenes [16] still  
100 require a significant computational effort in plane-wave codes such as VASP. As we are mainly  
101 interested in trends in the local density of states depending on the choice of the substituent, GGA-  
102 DFT calculations should be sufficient to reproduce these trends. However, one has to be aware that

103 all absolute values of HOMO-LUMO and band gaps reported in this work are severely underesti-  
104 mated.

## 105 Results

### 106 Unsubstituted Oligo- and Polythiophenes

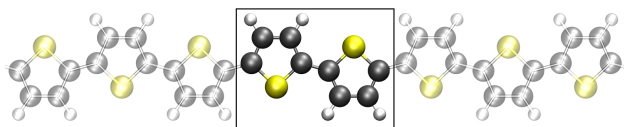
107 As a first step and as a reference, we determined the properties of unsubstituted oligo- and poly-  
108 thiophenes. All oligomers were modeled using a sufficiently large box in 3 dimensions to avoid  
109 intermolecular interaction due to the use of a periodic DFT code. For the unsubstituted monomer  
110 (thiophene, Tp), experimental geometric parameters obtained by Bak *et al.* [36] were reproduced  
111 quite well. Small deviations from experimental values concerning the dihedral angle were observed  
112 modeling the dimer (2,2'-bithiophene, BTp): Calculations predicted a dihedral angle of  $17.5^\circ$  with  
113 a very flat rotational potential for angles from  $0^\circ$  to  $30^\circ$  whereas Almenningen *et al.* obtained an  
114 angle of about  $34^\circ$  using gas-phase electron diffraction [37]. There are known problems using  
115 GGA-DFT to compute rotational barriers especially for conjugated systems [38], but there is defi-  
116 nitely a planarizing effect of a growing chain length as the trimer (2,5-bis(thiophen-2-yl)thiophene,  
117 TTp) was predicted to show a totally flat structure. This should be due to the extended  $\pi$ -system  
118 and hence definitely agrees with expectations.

119 Regarding HOMO-LUMO gaps for the unsubstituted oligomers listed in Tab. 1, the previously  
120 mentioned problem of GGA-DFT when it comes to bandgaps is obvious. The calculated values  
121 are about 1 eV smaller than those measured by Diaz *et al.* [39]. Yet, the trend that the width of the  
122 HOMO-LUMO gap decreases with increasing size of the oligomer is reproduced by the calcula-  
123 tions.

**Table 1:** Calculated HOMO-LUMO gaps for thiophene oligomers (in eV) compared with experi-  
mental values obtained by Diaz *et al.* [39].

	Calculations	Experiment
Monomer (Tp)	4.49	5.37
Dimer (BTp)	2.93	4.12
Trimer (TTp)	2.21	3.52

124 The polymer PTP was modeled as an one-dimensional chain which was separated by sufficiently  
125 large distances from their periodic images perpendicular to the chain in order to avoid any sizable  
126 interaction between them. As shown in Fig. 1 the unit cell contained two thiophene rings. We also  
127 modeled a unit cell that contained 4 rings but neither structural nor electronic parameters differed  
128 from the results for the two-ring-cell.

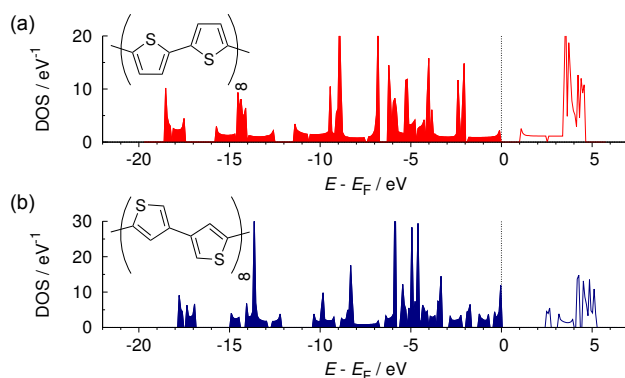


**Figure 1:** Considered structure of polythiophene (PTP). The frame indicates the unit cell used in the calculations that contained two thiophene rings connected via the respective  $\alpha$ -positions.

129 Our calculations predict PTP to form a totally planar structure like it was already calculated for  
130 the trimer. This confirms the already mentioned planarizing effect of a growing chain length also  
131 found in DFT calculations for other large oligomers [27,31]. It also agrees with results of Azumi *et*  
132 *al.* [40] who found a planar structure for the crystalline penta- and heptamer via X-ray diffraction.  
133 The calculated bondlengths are the same as in the middle ring of TTP and fit quite well to the  
134 experimental values for the heptamer [40]. This definitely justifies our ansatz to approach the  
135 polymer via smaller molecules.

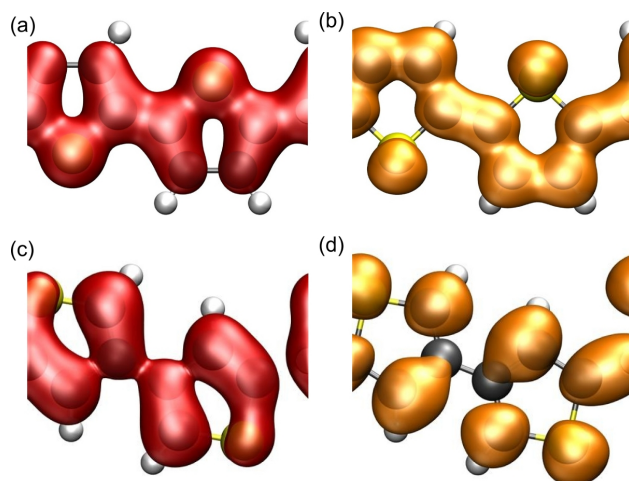
136 Regarding the electronic structure of PTP (see Fig. 2a), we obtained a bandgap of 1.2 eV. Again,  
137 the tendency of DFT to underestimate bandgaps is obvious as the calculated value is about 60% of  
138 the experimental value of 2.0 eV [41]. One might ask whether modeling linear polymers as a planar  
139 chain of infinite length could be an additional source of error in comparison with experimental  
140 values which were obtained for large, but finite and most likely twisted polymers. But as there are  
141 known saturation effects for electronic properties in PTP when it comes to chains consisting of  
142 10–12 rings [42,43], this should not be no source of additional errors.

143 In principle, there is a second possibility to build up a polymer from thiophene monomers. Instead  
144 of connecting the individual rings via their respective  $\alpha$ -positions (2,5-connection) they can be  
145 coupled in an alternating 2,5/3,4-connection. We also modeled such an  $\alpha, \beta$ -PTP system, the cor-  
146 responding structure is illustrated in the inset of Fig. 2b. Note that modeling a polymer consisting



**Figure 2:** Structure and density of states for (a) PTP and (b)  $\alpha,\beta$ -PTP.

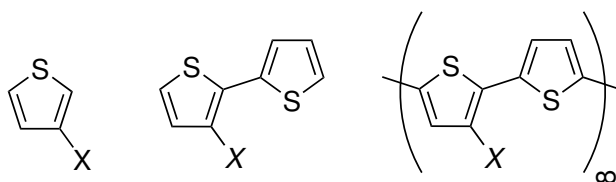
147 of exclusively 3,4-connected thiophene monomers with a two-ring-unit cell is not possible because  
 148 of sterical hindrance. Fig. 2 compares the density of states for PTP and  $\alpha,\beta$ -PTP. Obviously, there  
 149 is a considerable difference in the band gap of both isomers. As already mentioned, for PTP we ob-  
 150 tained a value of 1.2 eV whereas for  $\alpha,\beta$ -PTP the calculated band gap of 2.5 eV is twice as large.  
 151 This difference is most probably due to a less effective conjugation between the single ring-systems  
 152 in  $\alpha,\beta$ -PTP compared to PTP. As shown in Fig. 3, for PTP the highest occupied crystal orbital  
 153 (HOCO) as well as the lowest unoccupied crystal orbital (LUCO) are delocalized over the whole  
 154 polymer chain whereas for  $\alpha,\beta$ -PTP the corresponding orbitals look rather localized. Especially  
 155 in the area of the 2,5-bonds there is nearly no electron density which suggests that this compound  
 156 consists of basically conjugatively isolated dimeric units. This explanation is supported by the  
 157 DOS-plots in Fig. 2. On the one hand, for PTP there are several broad populated areas which in-  
 158 dicate a relatively widespread conjugation over the polymer. But on the other hand, for  $\alpha,\beta$ -PTP  
 159 some small sharp areas of occupied states are visible, especially close to the Fermi-edge. This im-  
 160 plies flat energy bands in this area and is indicative of a relatively weak interaction between the  
 161 unit cells [44]. In contrast, the DOS plot of PTP shows rather broad energy bands and thus a rel-  
 162 atively strong intercellular interaction. The large band gap of  $\alpha,\beta$ -PTP is not very favorable for  
 163 most technical applications, hence we focused on PTP-derivatives in the following.



**Figure 3:** Electronic density isosurfaces ( $\rho(r) = 0.01 e^3$ ) of the highest occupied crystal orbital (HOCO, red) and the lowest unoccupied crystal orbital (LUCO, orange) for PTP (a, b) and  $\alpha, \beta$ -PTP (c, d).

### 164 Influence of substituents

165 The main goal of this study is to determine how substituents affect the electronic properties of  
 166 oligothiophenes and whether the underlying effects can be transferred to the respective polymers.  
 167 First, we have taken into account *classical* substituents, namely methyl ( $\text{CH}_3$ ), amino ( $\text{NH}_2$ ) and  
 168 nitro groups ( $\text{NO}_2$ ) and the chlorine atom (Cl). We have chosen these substituents because they  
 169 exemplify the basic electronic effects on the electronic charge distribution of conjugated systems  
 170 known from organic chemistry. The considered substitution patterns for singly bonded substituents  
 171 are illustrated in Fig. 4. Furthermore, we have considered an annulated phenyl-ring as a kind of  
 172 special-substituent to see how an explicitly extended  $\pi$ -system influences the respective systems.



**Figure 4:** Illustration of the substitution patterns for singly bonded substituents of oligo- and polythiophenes considered in this study.

173 Structural effects concerning bond lengths in the monomers and dimers compared to the unsub-  
 174 stituted Tp and BTP turned out to be negligibly small. Nevertheless, the dihedral angle between



175 the two aromatic ring-systems in the substituted dimers differs from BTP. Except for the chlorine-  
 176 substituted dimer (ClBTP), all BTP derivatives show dihedral angles of about 22° to 24°. ClBTP  
 177 itself is predicted to appear in a totally flat structure, probably caused by the intramolecular dipole-  
 178 dipole interaction. The already mentioned planarizing effect of a growing chain length again be-  
 179 comes observable as the dihedral angles of the substituted polymers are about 12° for NO<sub>2</sub>PTp  
 180 and NH<sub>2</sub>PTp, and the methyl- and chlorine-substituted polymers, like PTP, turn out to be both  
 181 completely flat.

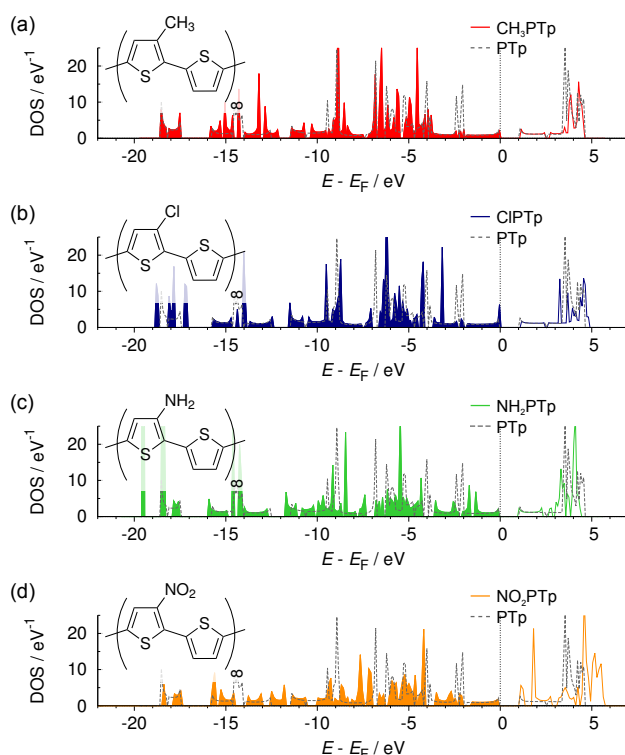
182 The substituents lead to recognizable effects in the electronic structure of the oligothiophenes. As  
 183 shown in Tab. 2, except for the methyl-substituted dimer all substituted molecules reveal a lowered  
 184 HOMO-LUMO gap. The nitro group definitely causes the largest effect among the considered  
 185 substituents, lowering the gap by about 1.3 eV for the monomer and by about 0.7 eV for the dimer,  
 186 respectively, which we tentatively assign to the strong negative mesomeric effect of the nitro group.  
 187 The influence of all other considered substituents on the electronic structure is rather minor. Re-  
 188 garding the chlorine-substituted bithiophene, one should take its planar structure into account.  
 189 Hence, the gap-lowering effect can not solely be accredited to the direct electronic influence of  
 190 chlorine. In addition, the sterical effect has to be considered as flat structures generally tend to  
 191 form more stable conjugated systems and therefore smaller HOMO-LUMO gaps.

**Table 2:** Calculated HOMO-LUMO gaps  $E_g$  (in eV) for substituted oligothiophenes compared to the unsubstituted ones.

Substituent	Monomer	Dimer
H	4.49	2.93
CH <sub>3</sub>	4.44	2.97
Cl	4.22	2.87
NH <sub>2</sub>	4.46	2.75
NO <sub>2</sub>	3.21	2.22

192 In Fig. 5, the resulting DOS of the substituted polymers is compared with the DOS of the unsub-  
 193 stituted PTP. Interestingly enough, although there are some changes in the band structure, there is  
 194 only a minor effect of the substituents on the band gap. The band gap of 1.19 eV for the unsubsti-  
 195 tuted polythiophene is changed to 1.19 eV (CH<sub>3</sub>PTp), 1.22 eV (ClPTp), 1.14 eV (NH<sub>2</sub>PTp), and

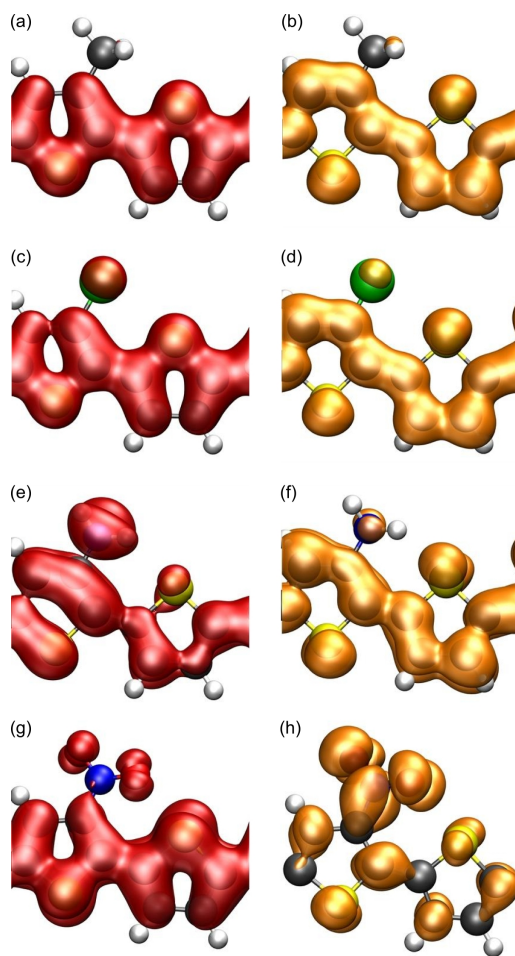
196 1.27 eV (NO<sub>2</sub>PTp), respectively. The nitro group, which caused the largest reduction in the HOMO-  
197 LUMO gap for the monomer and dimer, now even leads to an increase of the band gap.



**Figure 5:** Density of states of substituted polymers: (a) CH<sub>3</sub>PTp, (b) ClPTp, (c) NH<sub>2</sub>PTp and (d) NO<sub>2</sub>PTp. As a comparison, in each panel the DOS of the unsubstituted PTP is indicated by the dashed lines.

198 Hence the influence of the substituents on the electronic structure is significantly reduced upon  
199 the transition from oligo- to polymer. This agrees with results obtained by Salzner who reported  
200 similarly small effects of hydroxyl- and cyano-substituents [15]. These groups lower the bandgap  
201 of polymers by about only 0.1 eV whereas they reduce the HOMO-LUMO gap of monomers by  
202 more than 1 eV.

203 One might assume that the small changes in the band gaps are a consequence of the fact that the  
204 substituents hardly affect the HOCO and LUCO. But this assumption can be rejected regarding  
205 Fig. 6. There, the electronic density isosurfaces of the HOCO and the LUCO for the substituted  
206 polymers are shown which should be compared to the corresponding plot of unsubstituted polymer  
207 in Fig. 3. In particular the nitro and amino groups lead to significant changes in both the HOCO  
208 and the LUCO. It is more reasonable to assume a similar energetic shift of both orbitals resulting

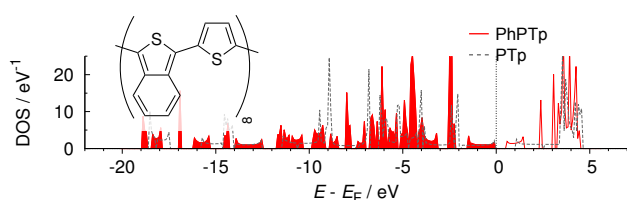


**Figure 6:** Electronic density isosurfaces ( $\rho(r) = 0.01 e/$ ) of the HOCO (red) and LUCO (orange) for (a), (b) CH<sub>3</sub>PTp; (c), (d) ClPTp; (e), (f) NH<sub>2</sub>PTp; (g), (h) NO<sub>2</sub>PTp.

209 in nearly unaltered values for the respective bandgaps. This has already been discussed [45] and  
 210 seems to work quite well for  $\pi$ -donating/accepting substituents. Thus one arrives at the conclusion  
 211 that although the singly bonded substituents have some effect on the electronic structure of both  
 212 oligomers and polymers, they hardly affect the band gap of the corresponding polymers.  
 213 Until now we focused our investigation on classic substituents which are all basically singly  
 214 bonded to the aromatic ring-system of the thiophene-backbone. In order to extend our study, we  
 215 considered a phenyl ring as a substituent thus obtaining benzo[c]thiophen (PhTp), 1-(thiophen-  
 216 3-yl)-benzo[c]thiophen (PhBTp) and the corresponding polymer (PhPTp, see inset of Fig. 7 for  
 217 an illustration). Since this  $\pi$ -extending substituent differs significantly from those previously re-

218 garded as it is bonded to two different carbon-atoms of the thiophene-backbone, we discuss it here  
 219 separately.

220 Our calculations yielded a dihedral angle of about  $34^\circ$  for PhBTp and  $21^\circ$  for the corresponding  
 221 polymer, respectively. Note that this is about twice the dihedral angle of NH<sub>2</sub>PTp and NO<sub>2</sub>PTp  
 222 due to the sterical demand of the annulated phenyl ring. Still, the previously observed planarizing  
 223 effect upon growing chain lengths also holds for this system. The HOMO-LUMO gap for PhTp is  
 224 predicted to be 2.71 eV which is far below the other substituted monomers discussed so far. This is  
 225 reasonable because the annulated phenyl ring extends the conjugated  $\pi$ -system quite considerably.  
 226 For the dimer, the calculated HOMO-LUMO gap is further reduced to 2.19 eV which is rather  
 227 close to the corresponding nitro-substituted analog. However, in contrast to the polymers with  
 228 single-bonded substituents, the PhPTp polymer exhibits a band gap of 0.7 eV that is also signif-  
 229 icantly reduced with respect to the unsubstituted polymer PTP, as Fig. 7 shows. Apparently, the  
 230 larger  $\pi$ -system of the phenyl-substituted polythiophene affects the electronic structure of poly-  
 231 thiophene to a larger extend and leads to a smaller band gap. Hence, annulated systems might be  
 232 promising candidates for the manipulation the bandgap of polythiophene. Note that Hong *et al.*  
 233 found an increased band gap for an annulated cyclobutene ring [46]. This suggests that it is possi-  
 234 ble to both increase and decrease the bandgap with the choice of a suitable annulated substituent.

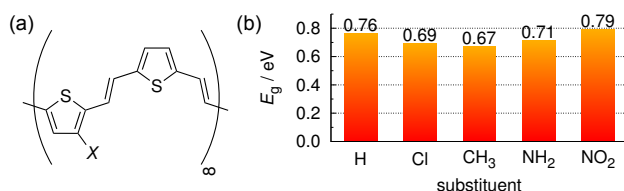


**Figure 7:** Calculated DOS of PhPTp compared to PTP. The inset illustrates the structure of PhPTp.

## 235 Vinyl-bridged Polythiophene-Derivatives

236 In the discussion about the singly bonded substituents we mentioned that the sterical repulsion be-  
 237 tween the substituents also influences the geometric and electronic structure of the polythiophenes.  
 238 In order to minimize this sterical repulsion between the substituents, we considered polymers in

239 which the thiophene rings in the backbone of the polymers are separated by a vinyl bridge (see  
240 Fig. 8a). This results in entirely flat structures, independent of the respective substituent. Thus,  
241 geometrical effects such as deviations in the dihedral angle of the polymer should not influence the  
242 band structure.



**Figure 8:** Considered vinyl-bridged polythiophene-derivatives. (a) Structural formula, (b) bandgaps  $E_g$  of the corresponding polymers.

243 As Fig. 8b demonstrates, the inclusion of a vinyl bridge also reduces the bandgaps significantly  
244 from 1.2 eV for PTP to about 0.7 eV for the vinyl-bridged polymers. Apparently, the vinyl bridges  
245 reduce the aromaticity of the polymers, leading to reduced band gaps as the band gap of conjugated  
246 polymers depends (among other factors) on the degree of a quinoid or aromatic character of the  
247 backbone [20].

248 The trends among the substituents are similar as for the substituted polythiophenes. Again, the  
249 nitro-substituted polymer reveals the largest bandgap among the polymers. Note that the band gap  
250 of the vinyl-bridged polymer with an annulated phenyl-ring is even further decreased to 0.25 eV.  
251 Obviously, the effects of adding  $\pi$ -extending substituents and including vinyl bridges are roughly  
252 additive and can be combined in order to tailor the band gap.

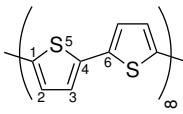
## 253 Influence of doping on the electronic structure

254 The electrical conductivity of a large class of polymers, in particular of polythiophene, can be  
255 highly increased when they are doped. The doping process itself corresponds basically to a manipu-  
256 lation of the number of valence electrons of the polymers, often in an electrochemical environment  
257 induced by adding counter ions. In order to model these doped compounds we varied the number  
258 of valence electrons per unit cell. Counter ions were not explicitly considered but modeled through  
259 a homogeneous charge background. Because polythiophene is known to be a good conductor in the

260 *p*-doped state [47], we limited our study to oxidized states. Note that the exact nature of the charge  
 261 carriers in doped polythiophenes is still debated, i.e., it is discussed whether the conductivity is  
 262 caused by bipolarons or polaron pairs [18,19]. Since our unit cell only contains two aromatic rings,  
 263 we can not address polarons which are supposed to extend over five thiophene rings [18]. Still, our  
 264 results might be helpful to understand trends in the band gap engineering. Furthermore, note that  
 265 it has been shown that changing the oxidation state through electrochemical potential control can  
 266 have a decisive influence on the conductivity of molecular junctions [48].  
 267 Tab. 3 lists calculated bond lengths for PTP in different oxidized states. When the polymer is neu-  
 268 tral, a unit cell consisting of two thiophene-rings contains 48 valence electrons. Obviously there  
 269 are some bonds that lengthen and some bonds that contract when PTP is oxidized. A closer inspec-  
 270 tion reveals that the formerly short bonds lengthen *et vice versa*. All in all this results in a change  
 271 into a quinoid-like structure that becomes more distinct the more the polymer is oxidized. This  
 272 quasi-shift of the double-bond goes along with a loss of aromaticity and thus should be energeti-  
 273 cally unfavorable at first glance. Of course the aromatic structure is more stable in the ground state,  
 274 which is confirmed computationally [49], but the quinoid-like structure has a smaller ionization  
 275 potential and a bigger electron affinity and thus the structural change caused by oxidation can be  
 276 explained with the overall higher affinity of the quinoid-like structure towards charges [13].

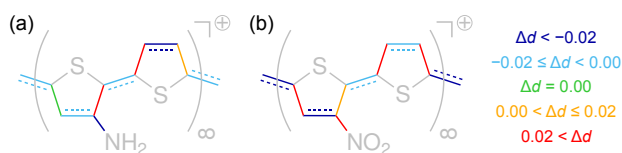
**Table 3:** Calculated bond lengths for PTP (in Å) as a function of the charge state per unit cell in units of the elementary charge  $|e|$ .

	Charge State / Unit Cell					
	2.0	1.0	0.8	0.5	0.3	0.0
C <sup>1</sup> -C <sup>2</sup>	1.45	1.43	1.42	1.41	1.40	1.39
C <sup>2</sup> -C <sup>3</sup>	1.37	1.38	1.38	1.39	1.39	1.41
C <sup>4</sup> -C <sup>6</sup>	1.42	1.41	1.42	1.42	1.42	1.44
C <sup>1</sup> -S	1.75	1.73	1.74	1.74	1.74	1.74



277 Concerning substituted polymers we have limited our investigation in this case to NO<sub>2</sub>PTp and  
 278 NH<sub>2</sub>PTp as these two substituents are considered to have mesomeric effects which are of special  
 279 importance when it comes to (de)stabilization of excess charges. Regarding these polymers, the

280 effects of doping are basically the same. Both reveal a tendency to form a quinoid-like structure  
 281 in the oxidized state. However, as a consequence of the broken symmetry that comes along with  
 282 the addition of a substituent, these quinoid-like structures are distorted to a certain extent. Fig. 9  
 283 illustrates the color-encoded change of the respective bond lengths in oxidized polymers.

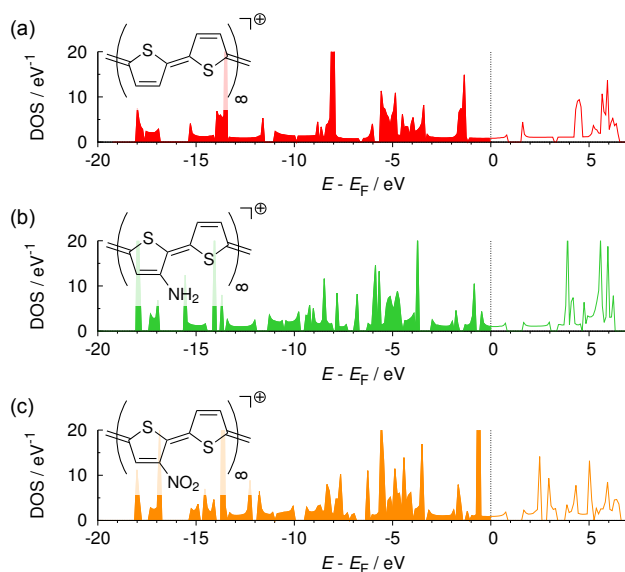


**Figure 9:** Color-encoded change of bond lengths in (a) NH<sub>2</sub>PTp and (b) NO<sub>2</sub>PTp for positively charged polymers with the number of electrons per unit cell lowered by one.

284 Note that in the case of the amino-substituted polymer there is a contraction of the carbon-  
 285 substituent bond by about 0.05 Å. In contrast, the corresponding bond length in NO<sub>2</sub>PTp increases  
 286 by about 0.03 Å. This might be due to mesomeric effects. The nitro group is known to destabilize  
 287 positive excess charges whereas the amino group usually stabilizes them via its +M-effect of or-  
 288 ganic chemistry, i.e., via its capacity to increase the electron density of the rest of the molecule.  
 289 Hence, on the one hand, the NH<sub>2</sub>-group might shift electron density into the formerly aromatic  
 290 electron-lacking ring system. On the other hand, it might be energetically favorable for an electron-  
 291 lacking system to quit the conjugation to the nitro group and therefore to lengthen the respective  
 292 bond. This could be a reason for the observed distortions of the polymer structure.

293 Regarding the density of states of the oxidized polymers plotted in Fig. 10, it is obvious that posi-  
 294 tively charging the polymers leads to a partially occupied valence band whereas the band structure  
 295 is hardly changed compared to the neutral polymers. This indicates that charging the polymers  
 296 basically corresponds to a shift of the Fermi energy without significant changes in the band struc-  
 297 ture and leads to a metallic behavior. The substituted polymers, in contrast, still exhibit band gaps,  
 298 cf. Fig 6. This means that the modification of the electronic structure upon substitution can not be  
 299 explained by simple electron removal or addition.

300 The resulting metallic state of the considered polymers seems to be at variance with the well-  
 301 known fact that for  $\pi$ -conjugated organic polymers electrical conductivity can not be understood  
 302 with the mobility of unpaired electrons [49]. In fact, one-dimensional metals tend to distort sponta-



**Figure 10:** Density of states for positively charge polymers corresponding to a charge of  $1|e|$  per unit cell: (a) PTP, (b) NH<sub>2</sub>PTP and (c) NO<sub>2</sub>PTP.

303 neously such that the spacing between adjacent unit cells becomes modulated [50]. In the case of  
 304 polymers, conduction is associated with the formation of polarons or bipolarons. Quite often this  
 305 leads to the formation of modulated quinoid-like structures [18,19] which extend over about five  
 306 thiophene rings. In fact, as illustrated in Fig. 9, we also find indications of a quinoid-like modifi-  
 307 cation upon oxidizing the polymers. Yet, since our unit cell only contains at most two thiophene  
 308 rings, such polarons which would probably lead to the existence of a band gap can not be formed  
 309 in our periodic DFT calculations. In order to address, larger unit cells are required. Such more  
 310 time-consuming calculations are planned for the future.

## 311 Conclusions

312 The structural and electronic properties of oligo- and polythiophenes and their modifications  
 313 through substituents have been studied by periodic density functional theory calculations. Whereas  
 314 the considered oligothiophenes still exhibit non-vanishing dihedral angles, the corresponding  
 315 polythiophenes turn out to be basically planar. Among the considered singly bonded substituents,  
 316 methyl, amino or nitro groups or a chlorine atom, in particular the nitro group leads to a signifi-  
 317 cant modification of the HOMO-LUMO gap of thiophene monomers and dimers. In contrast, the



318 corresponding polythiophenes exhibit a hardly modified band gap compared to the unsubstituted  
319 polythiophene.

320 Phenyl-substituted polythiophenes as well as vinyl-bridged polythiophene-derivatives, on the other  
321 hand, have drastically modified band gaps. In addition, positively charged polythiophenes were  
322 considered as a model for doped polythiophenes. All considered charged polythiophenes became  
323 metallic which shows that the modified band gaps can not be explained by simple electron removal  
324 or addition. However, the unit cell in the periodic DFT calculations was still too small to allow for  
325 the formation of polarons.

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