

# The role of surface stoichiometry in the activity of doped vanadia-based catalysts for the selective catalytic reduction

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## Abstract

Mixing dopants into oxide catalysts can improve the catalytic activity, as shown in the dramatic boost of the NH<sub>3</sub> selective catalytic reduction (SCR) activity on vanadia catalysts upon doping by tungsten. Thus, the design and optimization of oxide catalysts require a precise understanding of the role of dopants and their influence on catalytic reactions. Here, we employ first-principles calculations to study the influence of selected dopants (Ce, Zr, Nb, Mo, and W) in tungsta-vanadia on the SCR activity in terms of dopant concentration, distribution, and species. We demonstrate how the dopants affect the stoichiometry of the catalyst and thus fine-tune the local electron distribution and polarization in the catalytic layer. In addition, we address the relation between dopant concentration and the population of the active vanadyl configuration on the surface. Finally, we propose the generalized surface stoichiometry of the doped vanadia catalysts as a descriptor for the SCR catalytic activity, which promises to be instrumental in

identifying oxide catalysts with improved properties also for other important catalytic reactions.

## Introduction

Typical commercial metal oxide catalysts extensively applied in the industry usually consist of a catalytic layer on a support. The catalytic performance of the oxide layer can be further improved by mixing a certain portion of guest elements into the host oxide through doping, which leads to changes in the electronic structures and chemical bonds within the catalyst.<sup>1</sup> One of the most widely employed dopants in commercial catalysts for the selective catalytic reduction (SCR) reaction to reduce nitrogen oxide emission is tungsten, as realized in  $\text{WO}_3\text{-V}_2\text{O}_5/\text{TiO}_2$  (anatase).<sup>2,3</sup> The role of tungsten doping in improving the catalytic performance is still controversially discussed. Based on spectroscopy experiments, Peng et al. proposed that the increased concentration of Brønsted acid sites (V-OH) on catalyst layer by tungsten doping facilitates  $\text{NH}_3$  adsorption.<sup>4</sup> On the other hand, more recent infrared spectroscopic studies<sup>5,6</sup> suggested that the terminal vanadyl groups, which act as Lewis acid sites, are crucial for stronger ammonia adsorption. Indeed, in a previous theoretical study,<sup>7</sup> we have shown that the addition of tungsten can lead to non-stoichiometric surfaces and the occurrence of unsaturated terminal vanadyl groups. Yet, both theory and experiment agree that a proper amount of tungsten stabilizes the anatase phase of the  $\text{TiO}_2$  support thus extending the operation time of the catalyst with a high activity.<sup>7,8</sup> Still, although tungsta-vanadia catalysts exhibit an outstanding catalytic activity in the temperature window of 300-400°C, the low NO conversion rate at lower temperatures and the poor selectivity at high temperatures are still challenging issues. Therefore, numerous efforts have focused on the widening of the operation temperature range of SCR catalysts, which is closely related to adjusting the redox properties and the acidity of catalysts.<sup>9-11</sup>

Among various possibilities, introducing new doping elements is one of the most promising

ways to optimize catalytic performance. Several experiments demonstrated that Ce,<sup>12-14</sup> Zr,<sup>14</sup> Mo,<sup>15</sup> and Nb<sup>16-18</sup> could be alternative dopants to tungsten. Ce doping broadens the operating temperature window and establishes better reducibility to produce Brønsted acid site for NH<sub>3</sub> adsorption. Zr doping increases the NO conversion rate to 80% at temperatures as low as 150°C.<sup>14</sup> Mo doping alters the type and abundance of acid sites.<sup>15</sup> Finally, Nb doping leads to a higher NO<sub>x</sub> conversion rate at low temperature.<sup>16,17</sup> However, despite these successes, it is fair to say that dopant screening typically relies on trial and error attempts without necessarily invoking a clear strategy.

Due to the complexity of doped-oxide catalysts, the knowledge about microscopic details of the dopant distribution in the catalytic layer and the particular effect of dopants on the activity of the SCR reaction is still limited, and experiments alone have difficulties identifying the synergetic effects of dopants.<sup>19</sup> Theoretical modeling based on quantum chemistry calculations can assist in clarifying the role of dopants, yielding a qualitative understanding and building a design strategy for optimizing the SCR activity. However, theoretical studies based on density functional theory (DFT) have not led to a consensus yet. Instead they have focused on controversial mechanisms, such as NO and NO<sub>2</sub> involved SCR mechanisms<sup>20-22</sup> or the question of whether NH<sub>3</sub> mainly adsorbs either on Brønsted base sites (V-OH) or Lewis acid sites (Vanadium site).<sup>23-28</sup> Only few computational studies<sup>29-31</sup> tackle the influence of the dopants on the activation and deactivation of the catalyst, e.g., by studying Hg and Pb poisoning.

Therefore a systematic theoretical study covering the whole SCR reaction path could be very beneficial to understand the role of dopants in SCR activity. Here we employ a general parameter to describe the properties of oxide catalysts. Doping a metal oxide catalyst by another metal changes the stoichiometry of the oxide, in particular when the preferred oxidation state of the dopant is different from that of the metal element of the host oxide. This change will also influence the stability of the catalyst. Thus, stoichiometry might be a suitable parameter or descriptor to screen oxide catalysts with better reactivity

and stability. Whereas the reactivity can be assessed in first-principles electronic structure calculations by identifying reaction mechanisms, including activation barrier heights,<sup>32,33</sup> the relative stability of different catalyst structures, compositions, and stoichiometry under operating conditions can be evaluated employing grand-canonical schemes.<sup>7,34-37</sup>

Often, stoichiometric configurations are among the most stable structures. A large deviation from the stoichiometric condition leads to unstable configurations unless a particular reconstruction lowers the energy. Thus, stoichiometric configurations will typically show high longevity. Still, as noted in our previous study,<sup>7</sup> a higher reactivity can be found for non-stoichiometric oxide configurations, where the adsorption of a reactant can restore the stoichiometry and thus gain additional energy by stabilizing the oxide.

This paper will explore the activity and thermodynamic stability of doped SCR catalysts using periodic first-principles electronic structure calculations. The oxide configurations considered in this study are based on the W-doped vanadia configurations on a titania substrate studied in our previous work.<sup>7</sup> The metal oxide catalysts are further tailored by replacing W with dopant elements such as W, Ce, Zr, Nb, or Mo. As proposed in our previous work,<sup>7</sup> the stoichiometry of the catalyst is not only critical with respect to the surface stability but also with regard to the local reactivity as it determines the Lewis acidity of the dangling vanadyl groups of the catalyst layer. Here we will further stress the relationship between the stoichiometry of oxide catalysts and the local electron-filling of the vanadia layer, using periodic first-principles electronic structure calculations. Typically, it is assumed that the surface stoichiometry of the vanadia layer is governed by the dopant concentration and the chosen dopant species. We will show that it is further affected by the ionic bonding strength between the support and catalyst layer. Finally, we will demonstrate that the surface stoichiometry of the doped vanadia layer can act as a good descriptor for the redox property in each elementary reaction step.

# Substitutional doping of the $V_2O_5/TiO_2$ catalyst

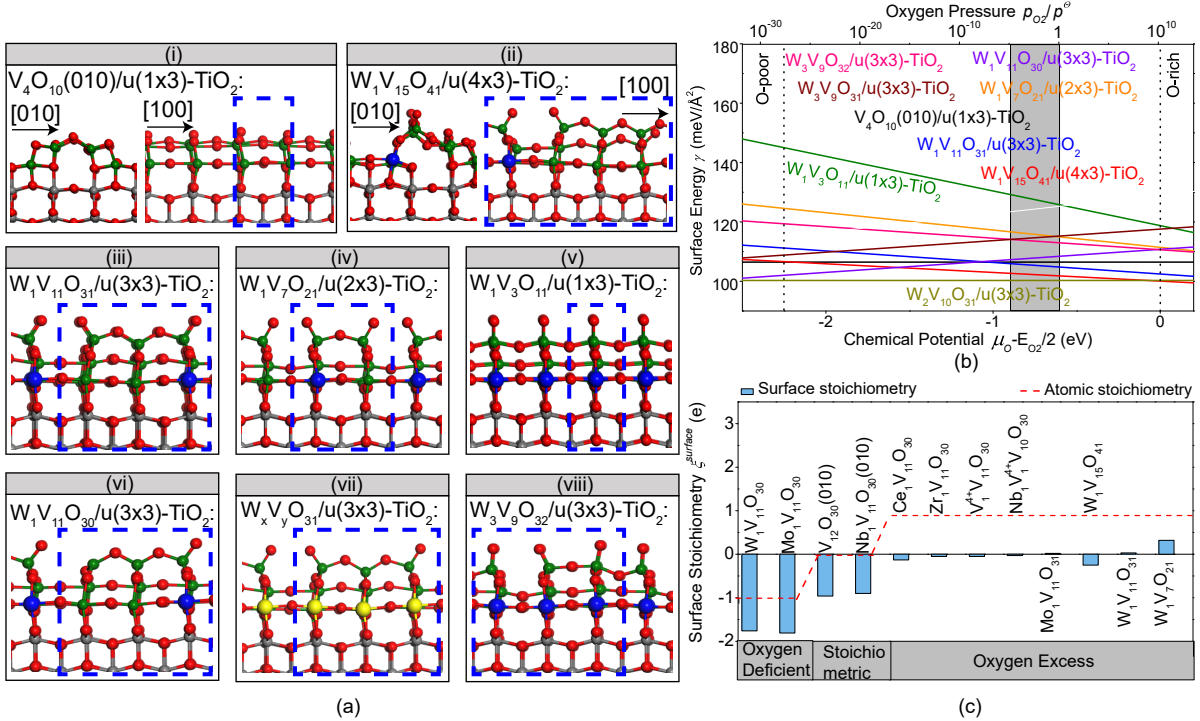


Figure 1: (a) Side view of the structures of the considered  $W_xV_yO_z/TiO_2$  catalysts along different directions, as indicated in the specific panel. The corresponding unit cells used for configurations (i) to (viii) are indicated by the blue dotted lines. The O atoms are colored in red, vanadium in green, tungsten in blue and the Ti atoms in gray. The light yellow atoms represent either vanadium or a dopant, (b) Surface energies for structures in (a) as a function of the chemical potential of oxygen. The gray shadowed area refers to the experimental growth condition of the catalyst ( $p_{O_2}=0.1$  atm at 600 K). (c) Surface stoichiometry of the  $M_xV_yO_z$  layers (M=W, Mo, V, Nb, Zr and Ce) for various atomic stoichiometry, concentrations and dopant species. The expected value (red dashed line) is derived from the nominal stoichiometry based on the standard oxidation states of the involved elements whereas the blue bars are determined according to Eq. 1 (see text).

As proposed in our previous work,<sup>7</sup> the high SCR performance of the commercial W-doped vanadia catalysts is closely related to the stoichiometry of the tungsta-vanadia layer which determines the formation of active terminal vanadyl (V=O) configurations. Stable vanadia surfaces are flat and smooth without any dangling oxygen groups, as depicted in configuration (i) of Fig. 1a. Only adequate substitutional doping of vanadia by other metals can create stable and active vanadyl configurations on the surface. For example, tungsten,

with its formal oxidation number +6, attracts an additional oxygen atom to the surface upon substituting vanadium with its formal oxidation number +5, as illustrated by configuration (v) in Fig. 1a, and creates a dangling oxygen configuration which, however, is not fully saturated.

However, increasing vanadyl coverage does not simply guarantee higher catalytic activity. The activation of the NO capture requires a vanadium site for  $\text{NH}_3$  adsorption and an active dangling oxygen bond configuration at a neighboring site for breaking the N-H bond to produce the  $\text{NH}_2$  intermediate, as realized by configuration (vi) in Fig. 1a. Therefore, better SCR performance demands further optimization of the active vanadyl distribution and coverage on vanadia. In this work, we primarily focus on the influence of dopants such as Ce, Zr, Nb, W, and Mo on the formation, distribution, and coverage of active vanadyl species.

Based on the structural analysis of the surface terminations studied in Ref.,<sup>7</sup> we first study extended stoichiometric vanadia areas by considering larger unit cells along the [100] direction,<sup>7</sup> as shown in configuration (i) of Fig. 1a. Isolated tungsten atoms in the vanadia layer are introduced by substitutional doping together with one additional oxygen, which creates one-electron deficient W-doped vanadia layers  $\text{W}_1\text{V}_7\text{O}_{21}$  (configuration (iv) in Fig. 1a),  $\text{W}_1\text{V}_{11}\text{O}_{31}$  (configuration (iii)), and  $\text{W}_1\text{V}_{15}\text{O}_{41}$  (configuration (ii)) within the  $u(2 \times 3)$ ,  $u(3 \times 3)$ ,  $u(4 \times 3)$  unit cells, respectively, of the  $\text{TiO}_2(001)$  support. As shown in Fig. 1a, the W doping lifts off a part of the uppermost layer of vanadia and breaks one lateral V-O bond of the V atom with the dangling oxygen after structural optimization. The structural change leads to the formation of a vanadia row with dangling oxygen atoms at the surface. An isolated W leads to the formation of a pair of neighboring dangling oxygen atoms in practice.

Secondly, we consider W atoms at neighboring sites by replacing more than one V atom in the  $\text{V}_{12}\text{O}_{30}$  layer on  $u(3 \times 3)$ - $\text{TiO}_2$ . The resulting configurations (vii)  $\text{W}_2\text{V}_{10}\text{O}_{31}$  and (viii)  $\text{W}_3\text{V}_9\text{O}_{32}$  in Fig. 1a correspond to stoichiometric and oxygen-excess configurations,

respectively. The  $W_3V_9O_{32}$  layer shows only one extra dangling oxygen in the middle of the vanadyl pair compared with the configuration of  $W_2V_{10}O_{31}$ . Finally, we create oxygen-deficient configurations by removing one oxygen from the  $W_1V_{11}O_{31}$  and  $W_3V_9O_{32}$  layers. In the oxygen-deficient  $W_1V_{11}O_{30}$  configuration (vi) in Fig. 1a, one exposed vanadium atom is neighboring a site with dangling oxygen, which is ideal for  $NH_3$  adsorption. Note that  $W_1V_9O_{31}$ ,  $W_2V_{10}O_{31}$ , and  $W_3V_9O_{31}$  layers (configuration (vii)  $W_xV_yO_{31}$  in Fig. 1a) end up with a similar dangling oxygen configuration but correspond to different atomic stoichiometry. These examples illustrate how the dopant concentration and distribution can influence the electronic properties of a dangling oxygen configuration. Notably, all the surfaces mentioned above were actually terminated with isolated vanadyl configurations. In contrast, in  $W_1V_3O_{11}$  (configuration (v) in Fig. 1a), a periodic arrangement of dangling oxygen bonds appears.

Fig. 1b shows the surface energies of W-doped vanadia layers as a function of the oxygen chemical potential. Interestingly, the electron-deficient  $W_1V_{11}O_{31}$  configuration (blue line) has a surface energy similar to the one of the stoichiometric  $V_4O_{10}$  layer (black line) at  $\mu_O > -1$  eV. The  $W_1V_{15}O_{41}$  configuration (red line) even displays a lower surface energy with a value close to the stoichiometric W-doped vanadia  $W_2V_{10}O_{31}$  configuration (brown line) which exhibits the lowest surface energy in Fig. 1b. Having a low W concentration resulting in  $W_1V_{11}O_{31}$  and  $W_1V_{15}O_{41}$  structures further lowers the surface energy significantly compared to  $W_1V_3O_{11}$  (green line) and  $W_1V_7O_{21}$  (orange line), and stabilizes the electron-deficient vanadia layer which may coexist with the  $WO_3$  phase under operating conditions (grey area in Fig. 1b). We note that the  $W_1V_3O_{11}$  configuration (green line) has the highest density of dangling oxygen groups which makes this surface termination energetically rather costly. Hence surface structures with an extended arrangement of dangling oxygen groups are rather unlikely to occur. The higher stability of isolated vanadyl configurations on the surface is in good agreement with the experimental findings of dispersing distribution of vanadyls.<sup>38,39</sup> In addition, Grünert et al.<sup>40</sup> proposed mixed vanadium and tungsten phases and a direct

promotional effect of neighboring vanadium and tungsten sites for an enhanced SCR activity.

The local reactivity of oxide catalysts can be related to the stoichiometry of the active portion of the catalyst,<sup>7</sup> which in the case considered here corresponds to the doped  $M_xV_yO_z$  vanadia layer. In this work, we will check whether indeed the stoichiometry of the whole surface layer can be used to estimate the activity of the catalyst. In other words, we will scrutinize whether the stoichiometry of a surface is an adequate descriptor for its catalytic activity.

Our previous work<sup>7</sup> has demonstrated that the formal stoichiometry determined by simply counting the oxidation numbers of the elements can be used to estimate the catalytic activity towards H adsorption. Oxygen-deficient structures are associated with energetically favorable hydrogen adsorption whereas on oxygen-excess or stoichiometric configurations there is an energy cost to adsorb hydrogen. However, H adsorption energies can also vary as a function of the dopant species and concentration within the same formal stoichiometry, as will be discussed below. Therefore we will here introduce a more general definition of local stoichiometry. Actually in the determination of the stoichiometry of a surface layer, one has to take into account that the surface layer might overall not be charge neutral due to some charge transfer from the support. Hence, in order to estimate the charge distribution in the doped vanadia layers, we have employed a charge partitioning method.<sup>41,42</sup> Note that typically different charge partitioning schemes give different numbers as there is no strict definition with respect to the fact which electrons should be associated with which atoms. Still trends in the charge partitioning upon changes to the system should be robust, independent of the particular choice of the particular charge partitioning scheme.

Indeed, the charge partitioning analysis demonstrates that the doped vanadia layers become negatively charged due to electron transfer from the titania support in agreement with experimental observations.<sup>43</sup> The sum of the atomic charges in the titania layers is positive by about one elementary charge unit, which indicates that the doped vanadia layers are more electron-rich than expected from the formal stoichiometry (dashed line in Fig. 1c).



Hence in order to derive the generalized surface stoichiometry  $\xi^{\text{surface}}$ , the charge transfer at the interface  $\xi^{\text{interface}}$  needs to be accounted for on top of the formal stoichiometry based on the standard oxidation number analysis  $\xi^{\text{cata}}$ . However, specific local charge rearrangements within the catalyst layer can also induce a change in the surface stoichiometry. For example, when a vanadyl group is formed by breaking a V-O bond at the flat stoichiometric  $\text{V}_{12}\text{O}_{30}(101)$  and  $\text{Nb}_1\text{V}_{11}\text{O}_{30}(101)$  surfaces, the bond breaking causes a charge rearrangement to  $\text{V}_1^{4+}\text{V}_{11}\text{O}_{30}$  and  $\text{Nb}_1\text{V}_1^{4+}\text{V}_{10}\text{O}_{30}$ . The reduction of one metal site leads to a higher electron deficiency in the remaining part of the vanadia layer, which can therefore accept electrons. Hence, the influence of the reduced metal site can be included by adding the contribution  $\xi^{\text{reduction}} = 1$  to the surface stoichiometry of the configuration ( $\xi^{\text{surface}}$ ). Furthermore, the charge exchange between reaction intermediates and the catalyst layer can also change the surface stoichiometry and influence the reactivity. Thus, the stoichiometry of each reaction step must include the contribution of reactants on the surface  $\xi^{\text{reactant}}$  too. The effective generalized surface stoichiometry is then given by

$$\xi^{\text{surface}} = \xi^{\text{cata}} + \xi^{\text{interface}} + \xi^{\text{reduction}} + \xi^{\text{reactant}} . \quad (1)$$

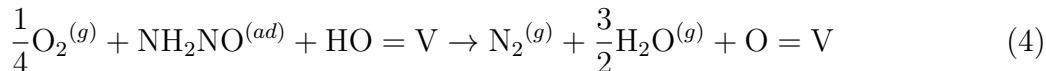
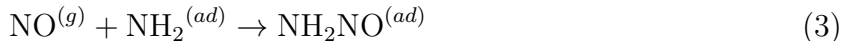
In Fig. 1c, the blue bars depict the generalized surface stoichiometry  $\xi^{\text{surface}}$  of the  $\text{M}_x\text{V}_y\text{O}_z$  layer, whereas the red dashed line corresponds to the formal stoichiometry  $\xi^{\text{cata}}$ . As demonstrated in Fig. 1c, by counting all considered contributions, the flat vanadia  $\text{V}_{12}\text{O}_{30}(101)$  surface is already non-stoichiometric with  $\xi^{\text{surface}} \approx -1 e$ .

The creation of the electron-deficient dangling oxygen bonds in oxygen-excess configurations leads to a positive  $\xi^{\text{surface}}$  of the vanadia when the dangling oxygen coverage is high enough ( $\geq 2/3$ ), as shown for the case of  $\text{W}_1\text{V}_7\text{O}_{21}$ . In the case of the  $\text{W}_1\text{V}_{11}\text{O}_{31}$  layer with a vanadyl coverage of  $2/3$ ,  $\xi^{\text{surface}}$  is weakly positive and close to zero for the stoichiometric configuration. On the other hand, although the oxygen-excess  $\text{W}_1\text{V}_{15}\text{O}_{41}$  layer corresponds to an oxygen-excess configuration,  $\xi^{\text{surface}}$  becomes negative because of an electron transfer of

1.25  $e$  from the support. Hence, a vanadyl configuration at the surface does not necessarily guarantee a catalytically active electron-deficient state that can readily accept electrons.

## SCR reaction on doped vanadia catalysts

The generally accepted SCR mechanism consists of three crucial steps, i.e., the activation of the catalyst by  $\text{NH}_3$  adsorption and dissociation to  $\text{NH}_2$ , N-N coupling by  $\text{NO}$  adsorption, and the recovery of the catalyst by forming  $\text{N}_2$  and water:



In the following, we will discuss the influence of dopants on these steps subsequently in terms of the surface stoichiometry  $\xi^{\text{surface}}$ .

### $\text{NH}_3$ adsorption and catalyst activation

Ammonia can get adsorbed at either Lewis acid (V) or Brønsted acid (V-OH) sites on the vanadia layer. Herein, we mainly focus on  $\text{NH}_3$  adsorption at the Lewis acid site. Figure 2 illustrates various possible scenarios of such a  $\text{NH}_3$  adsorption at a Lewis acid site. In these scenarios, a dopant  $M = \text{Mo}, \text{W}, \text{Ce}, \text{Zr}, \text{and Nb}$  has replaced one of the V atoms at an A, B, C, or D site yielding a  $\text{M}_1\text{V}_{11}\text{O}_z$  catalyst layer. Furthermore, additional neighboring vanadium sites, including the E and F sites, also become replaced by W resulting in  $\text{M}_2\text{V}_{10}\text{O}_{31}$  and  $\text{M}_3\text{V}_9\text{O}_z$  layers, as depicted in Fig. 2. Figure 2a illustrates  $\text{NH}_3$  adsorption at an exposed vanadium site, which corresponds to direct adsorption and which we denote by Adsorption I.

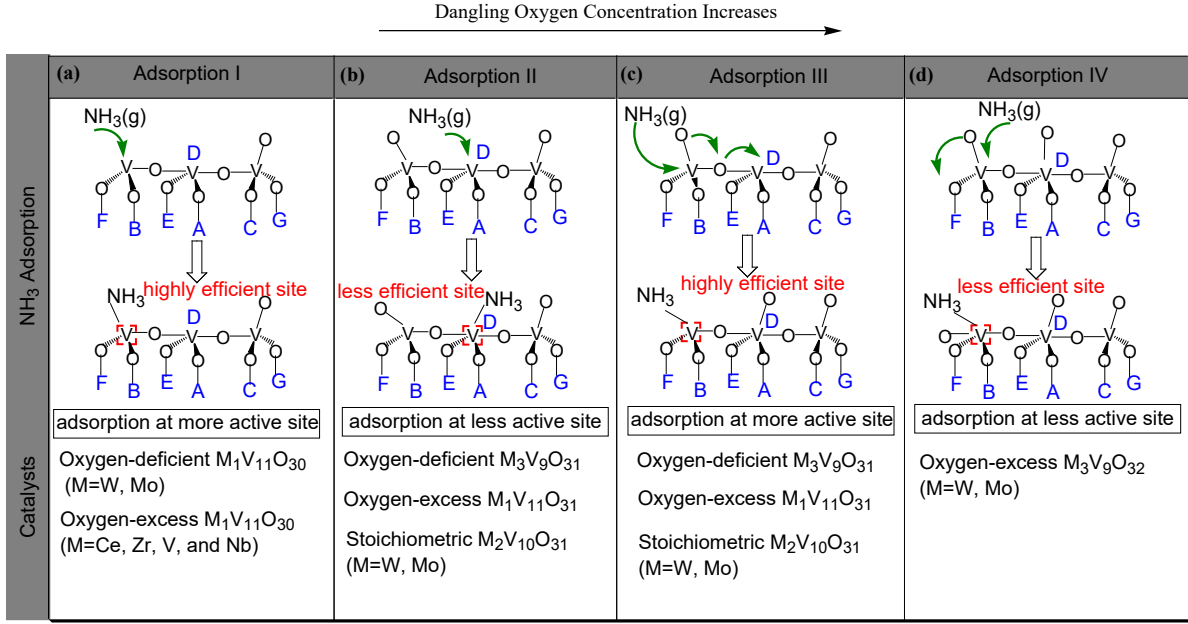


Figure 2: Mechanisms of NH<sub>3</sub> adsorption on doped vanadia M<sub>x</sub>V<sub>y</sub>O<sub>z</sub> (M refers to dopant).

Table 1: NH<sub>3</sub> adsorption energies in eV on M<sub>x</sub>V<sub>y</sub>O<sub>z</sub>/TiO<sub>2</sub> (M=dopant)

Adsorption Type	Catalysts	Site A	Site B	Site C	Site D
I	W <sub>1</sub> V <sub>11</sub> O <sub>30</sub>	-1.69	-1.75	-1.66	-1.77
	Mo <sub>1</sub> V <sub>11</sub> O <sub>30</sub>	-1.71	-1.73	-1.66	-1.77
	Ce <sub>1</sub> V <sub>11</sub> O <sub>30</sub>	-2.28	-2.25	-2.34	-0.73
	Zr <sub>1</sub> V <sub>11</sub> O <sub>30</sub>	-2.26	-2.47	-2.40	-0.87
	Nb <sub>1</sub> V <sub>11</sub> O <sub>30</sub>	-2.41	-2.64	-2.42	-1.94
II	W <sub>1</sub> V <sub>11</sub> O <sub>31</sub>	-0.32	-0.28	-0.28	-0.41
	W <sub>1</sub> V <sub>11</sub> O <sub>31</sub>	-0.21	0.16	0.03	-0.50
III	Mo <sub>1</sub> V <sub>11</sub> O <sub>31</sub>	-0.11	0.26	0.13	-0.28
	Adsorption Type	Catalysts	Sites B and C	Sites A and B	Sites A and C
III	W <sub>2</sub> V <sub>10</sub> O <sub>31</sub>	0.38	0.05	-0.14	-0.12
Adsorption Type	Catalysts	Sites A, B and C	Sites A, B and E	Sites A, C and F	Sites B, C and D
III	W <sub>3</sub> V <sub>9</sub> O <sub>31</sub>	-0.52	-0.22	0.39	-1.72
IV	W <sub>3</sub> V <sub>9</sub> O <sub>32</sub>	-0.24	0.00	-0.14	-0.41

Site M (M=A-F) means the substitutional vanadium site by dopant in Fig. 2.  
Adsorption type refers to the adsorption I-IV in Fig. 2.

Based on experiments,<sup>44</sup> the exposed coordinately unsaturated V sites are considered to be more active for the subsequent reactions compared with the coordinately saturated V sites. The optimized configurations exhibit elongated N-H bonds by 4% at the exposed site, which is an activated configuration for NH<sub>3</sub> dissociation. We note that despite the same atomic

configuration, the formal stoichiometry can become different through the selection of specific dopants. In the case of the  $M_1V_{11}O_{30}$  layer, W and Mo doping leads to an oxygen-deficient situation, whereas it results in an oxygen-excess configuration for Ce, Zr, V, and Nb doping. When pairs of dangling oxygen bonds exist in  $M_xV_yO_{31}$  configurations, as illustrated in Fig. 2b,  $NH_3$  adsorbs at one of the coordinately saturated V sites, which are less efficient for further reactions (Adsorption II), as discussed above. However, the adsorption of  $NH_3$  can lead to a rearrangement of the dangling oxygen atom to the neighboring vanadium site, as shown in Fig. 2c, then the molecule can bind to the highly efficient vanadium site mentioned above (Adsorption III). The Adsorption scenarios II and III can occur on W- or Mo-doped vanadia, and the dopant concentration determines the atomic stoichiometry of the catalyst, leading to oxygen-deficient ( $M_3V_9O_{31}$ ), oxygen-excess ( $M_1V_{11}O_{31}$ ), and stoichiometric ( $M_2V_{10}O_{31}$ ) configurations, respectively. In the Adsorption IV scenario for  $M_3V_9O_{32}$  configurations (Fig. 2d), all vanadium sites at the surface are covered by dangling oxygen atoms. Then,  $NH_3$  can only adsorb at a vanadium site through catalyst deformation by the bending of an O=V bond. Such a high coverage of dangling oxygen atoms only occurs at high W or Mo concentrations in oxygen-excess configurations.

Table 1 lists the  $NH_3$  adsorption energies derived according to Eq. 6 for various dopant species and configurations. The energy gain depends on the particular dopant species but is only weakly sensitive to the specific position of the dopant. Overall, the adsorption energies have values of about  $-2$  eV for Adsorption scenario I. For Adsorption scenarios II, III, and IV, the binding energies are smaller than for Adsorption scenario I by around 1.5 eV because of the significant structural change of the catalyst upon adsorption. In Fig. 3, we compare the change in the  $NH_3$  adsorption energy (blue bar) and the surface stoichiometry  $\xi^{\text{surface}}$  (red bar) for varying dopant species (Mo, W, Nb, Zr, and Ce) for the most favorable adsorption process, namely Adsorption type I on  $M_1V_{11}O_{30}$ . Dopants located at the A, B, and C sites in the sublayer were considered as depicted in the three panels of Fig. 3. Doping at site D in the top layer was disregarded due to the large catalyst structural change

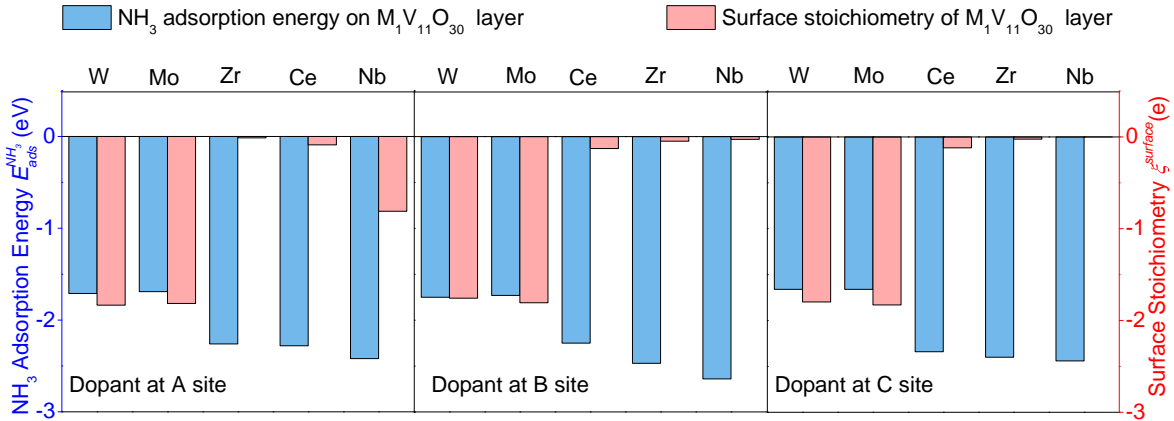


Figure 3: NH<sub>3</sub> adsorption energies and surface stoichiometry for M<sub>1</sub>V<sub>11</sub>O<sub>30</sub> layers (M= W, Mo, Zr, Ce and Nb) with dopant at A, B, and C sites established in Fig. 2.

induced by adsorption. Note the larger energy gain upon NH<sub>3</sub> adsorption at the oxygen-excess configurations, e.g., Nb<sub>1</sub>V<sub>1</sub><sup>4+</sup>V<sub>10</sub>O<sub>30</sub>, Zr<sub>1</sub>V<sub>11</sub>O<sub>30</sub> and Ce<sub>1</sub>V<sub>11</sub>O<sub>30</sub>. In contrast, NH<sub>3</sub> adsorption at the oxygen-deficient configurations Mo<sub>1</sub>V<sub>11</sub>O<sub>30</sub> and W<sub>1</sub>V<sub>11</sub>O<sub>30</sub> is energetically less favorable. A less negative surface stoichiometry  $\xi^{\text{surface}}$  is in general associated with a stronger NH<sub>3</sub> bonding, i.e.,  $\xi^{\text{surface}}$  and the adsorption energy are apparently anti-correlated. The trend is the same for H adsorption<sup>7</sup> as NH<sub>3</sub> adsorption is accompanied by an electron transfer from NH<sub>3</sub> to the surface. This electron transfer leads to an elongated N-H bond after NH<sub>3</sub> adsorption. Overall, more electron-deficient surfaces favor NH<sub>3</sub> adsorption. However, we find that Nb doping at site A leads to a more negative surface stoichiometry  $\xi^{\text{surface}}$  than Zn and Ce doping but is associated with a larger gain in NH<sub>3</sub> adsorption energy. This means that the larger structural change of the vanadia layer upon Nb doping results in a more open structure, which causes a stronger NH<sub>3</sub> binding.

### NH<sub>3</sub> dissociation and O-H formation

The adsorbed NH<sub>3</sub> dissociates to NH<sub>2</sub> by breaking one N-H bond and forming an O-H bond at the neighboring dangling oxygen bond site. The charge analysis shows that NH<sub>3</sub> adsorption is associated with an electron transfer of about 0.2 *e* to the vanadia layer before

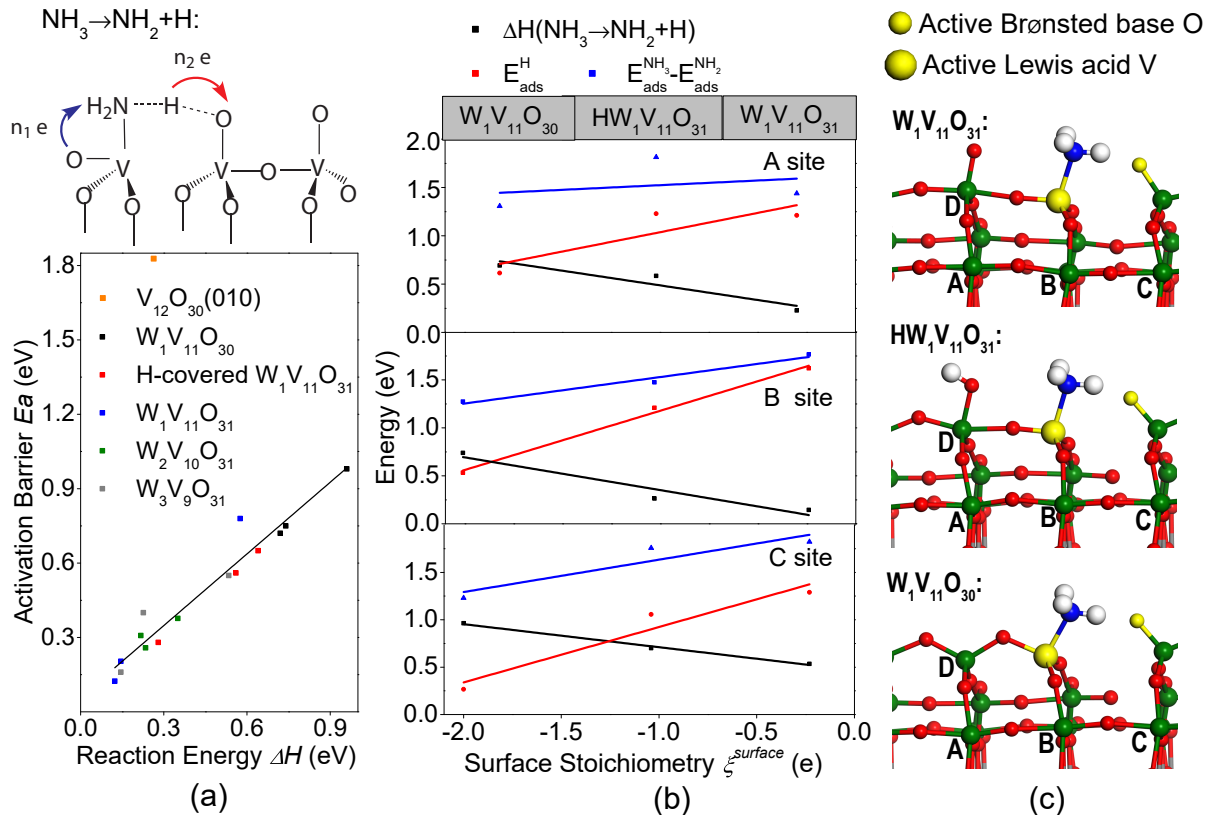


Figure 4:  $\text{NH}_3$  dissociation for various sites on W-doped vanadia surfaces. (a) Illustration of  $\text{NH}_3$  dissociation mechanism and the relationship between activation barriers and reaction energies of  $\text{NH}_3$  dissociation. (b) Decomposition of the reaction energy at  $\text{H}_x\text{W}_1\text{V}_{11}\text{O}_z$  model catalyst layers. Black dots: reaction energy  $\Delta H$  of  $\text{NH}_3$  dissociative adsorption; red dots: hydrogen adsorption energy  $E_{\text{ads}}^{\text{H}}$ ; blue dots: difference between  $\text{NH}_3$  and  $\text{NH}_2$  adsorption energies  $E_{\text{ads}}^{\text{NH}_3} - E_{\text{ads}}^{\text{NH}_2}$ . The lines serve as a guide to the eye. (c) Illustration of the  $\text{NH}_3$  configuration on the  $\text{H}_x\text{W}_1\text{V}_{11}\text{O}_z$  model catalyst layers corresponding to the initial structure before  $\text{NH}_3$  dissociation.

N-H bond breaking. As sketched in Fig. 4a, the N-H bond breaking leads to a simultaneous electron transfer from vanadia to  $\text{NH}_2$  ( $n_1 e \approx 0.13 e$ ) and from the hydrogen atom to vanadia ( $n_2 e \approx 0.10 e$ ). Note that the magnitude of  $n_1 e$  and  $n_2 e$  is comparable, i.e., the electron transfer from vanadia to  $\text{NH}_2$  is roughly compensated by the back-transfer from the hydrogen to the vanadia. Two surface sites are involved in this reaction, an exposed Lewis acid V site that activates  $\text{NH}_3$  dissociation to  $\text{NH}_2$ , and a Brønsted base V=O site that captures the H atom upon  $\text{NH}_3$  dissociation, as illustrated in Fig. 4a. If no V=O group is available to attract the H atom, as for example on the flat vanadia  $\text{V}_{12}\text{O}_{30}(010)$  surface, then the activation

barrier for  $\text{NH}_3$  dissociation will become rather large (1.83 eV on  $\text{V}_{12}\text{O}_{30}(010)$ , orange dot in Fig. 4a), because of the high cost of breaking one V-O bond at the surface. Hence W doping, which creates dangling oxygen bonds at the surface, thus lowers the dissociation barrier considerably. In particular, when a V=O site is available to capture the H atom at the neighboring site, the potential energy surface becomes relatively flat after the transition state of N-H bond breaking. Then, the reaction energy and the activation barrier are almost identical, as shown in Fig. 4a, which displays the correlation between reaction energies and activation barriers of  $\text{NH}_3$  dissociation on W-doped vanadia for various tungsten distributions and concentrations. This corresponds to a kind of Brønsted-Evans-Polanyi (BEP) relation.<sup>45</sup> Hence the facile activation of  $\text{NH}_3$  requires a suitable atomic configuration with an exposed V site neighboring a dangling oxygen bond site (Adsorption scenarios I and III in Fig. 2a and c). At the same time, this means that the backward reaction can occur without any considerable barrier for these configurations. Consequently, there might be an oscillating behavior of H exchange between the Lewis acid and Brønsted base sites.

In the following, we particularly focus on three types of model catalyst layers, shown in Fig. 4c, to disentangle the contributions of surface stoichiometry and dopant distribution. The layers correspond to vanadia with a low doping concentration and similar local structures of Lewis acid and Brønsted base sites. The surface stoichiometries  $\zeta^{\text{surface}}$  of  $\text{NH}_3$  adsorbed on the  $\text{W}_1\text{V}_{11}\text{O}_{31}$ , H-covered  $\text{W}_1\text{V}_{11}\text{O}_{31}$  and  $\text{W}_1\text{V}_{11}\text{O}_{30}$  layers, denoted by  $\text{H}_x\text{W}_1\text{V}_{11}\text{O}_z$ , are about  $-0.2 e$ ,  $-1 e$ , and  $-2 e$ , respectively. In practice, four V sites are available for W-doping, as shown in Fig. 4c. However, ammonia adsorption becomes favorable by 1 eV for W-doping in the sublayer (A, B, and C sites) compared to the top layer (D site). Moreover, W-doping at the D site increases the reaction energy by 0.13, 0.63, and 1.28 eV compared to doping in the sublayer. Thus, W-doping at the D site is not favorable for the SCR, so that we mainly consider W dopant at the sublayer V sites A, B, and C.

The black dots in Fig. 4b represent the reaction energy  $\Delta H$  of  $\text{NH}_3$  dissociation. In general, the reaction energy decreases linearly as a function of surface stoichiometry which is

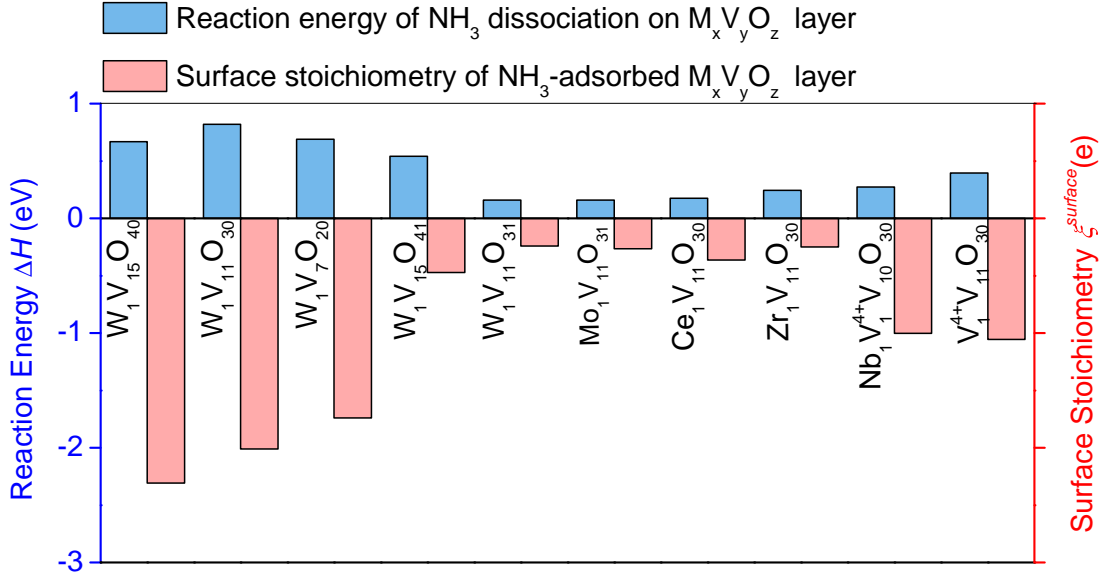


Figure 5: Reaction energies of  $\text{NH}_3$  dissociation (blue bars) and surface stoichiometry (red bars) for  $\text{NH}_3$  adsorbed on  $\text{M}_x\text{V}_y\text{O}_z$  layers ( $\text{M} = \text{W}, \text{Mo}, \text{Zr}, \text{Ce}, \text{V}$  and  $\text{Nb}$ ) with the dopant at the B site, as illustrated in Fig. 2.

also associated with a lower activation barrier. To assess the contribution of the Lewis acid and Brønsted base sites to the overall reaction energy, we decompose the reaction energy ( $\Delta H = E_{\text{ads}}^{\text{H}} - (E_{\text{ads}}^{\text{NH}_3} - E_{\text{ads}}^{\text{NH}_2})$ ) into the difference of the adsorption energy change from  $\text{NH}_2$  to  $\text{NH}_3$  at the Lewis acid site (blue dots,  $E_{\text{ads}}^{\text{NH}_3} - E_{\text{ads}}^{\text{NH}_2}$ ) and the OH formation energy corresponding to the H adsorption energy at the Brønsted base site in the presence of  $\text{NH}_2$  (red dots,  $E_{\text{ads}}^{\text{H}}$ ), respectively. The increase in the OH formation energy (red line) exhibits a larger slope than the decrease in  $E_{\text{ads}}^{\text{NH}_3} - E_{\text{ads}}^{\text{NH}_2}$  (blue line). Consequently, the increase in the H adsorption energy dominates the change in the reaction energy as a function of the surface stoichiometry  $\xi^{\text{surface}}$ . This is the reason why the trends in  $\text{NH}_3$  dissociation activity and H adsorption energy as a function of the surface stoichiometry  $\xi^{\text{surface}}$  are similar.

Fig. 5 displays the reaction energy  $\Delta H$  of  $\text{NH}_3$  dissociation and the surface stoichiometry  $\xi^{\text{surface}}$  for vanadia layers  $\text{M}_x\text{V}_y\text{O}_z$  for various different dopant species. The dopant is located at the most active B site, and  $\text{NH}_3$  adsorption types I and III (see Fig. 2) are considered.



Fig. 5 illustrates that in general a more positive surface stoichiometry  $\xi^{\text{surface}}$  leads to lower reaction energies of  $\text{NH}_3$  dissociation. Recall, as just shown in Fig. 4b for  $\text{H}_x\text{W}_1\text{V}_{11}\text{O}_z$  layers, that the OH formation energy dominates the reaction energy for  $\text{NH}_3$  dissociation as a function of  $\xi^{\text{surface}}$ . The most electron-rich surfaces,  $\text{W}_1\text{V}_{15}\text{O}_{40}$ ,  $\text{W}_1\text{V}_{11}\text{O}_{30}$  and  $\text{W}_1\text{V}_7\text{O}_{20}$ , have an excess of about two electrons in the surface, which leads to the larger energy cost for  $\text{NH}_3$  dissociation. The  $\text{W}_1\text{V}_{15}\text{O}_{41}$  and  $\text{W}_1\text{V}_{11}\text{O}_{31}$  configurations have a lower excess of less than one electron in the surface, which makes the dissociation of  $\text{NH}_3$  energetically more favorable. Upon varying the dopant species from W to Mo, Ce, Zr, Nb, and V, the surface stoichiometry  $\xi^{\text{surface}}$  becomes more negative, i.e., there is a more pronounced electron-excess in the catalyst layers which makes  $\text{NH}_3$  dissociation energetically less favorable. Ce, Mo, and W doping results in comparably low reaction energies indicating the potential to facilitate  $\text{NH}_3$  dissociation. The  $\text{W}_1\text{V}_{15}\text{O}_{40}$  layer at the far left in Fig. 5 corresponds to the most pronounced deviation in the obvious anti-correlation between surface stoichiometry and the  $\text{NH}_3$  dissociation reaction energy which is caused by a structural change into a more open configuration. Otherwise, Fig. 5 demonstrates that the surface stoichiometry  $\xi^{\text{surface}}$  acts as a reliable descriptor for the  $\text{NH}_3$  reaction energy.

## NO adsorption and N-N coupling

We now proceed to the next SCR reaction step, N-N coupling upon NO adsorption. After the dissociative adsorption of  $\text{NH}_3$ , the adsorbed  $\text{NH}_2$  captures a NO molecule and forms the reaction intermediate  $\text{NH}_x\text{NO}$  ( $x = 1, 2$ ) by forming an N-N bond which represents the precursor for the  $\text{N}_2$  formation. We firstly probe the influence of the surface stoichiometry  $\xi^{\text{surface}}$  on this reaction step for W-doped vanadia layers considering the  $\text{H}_x\text{W}_1\text{V}_{11}\text{O}_z$  layers mentioned above. Tungsten can replace a V atom at either the A, B, or C site, as illustrated in the left panel of Fig. 6a. As NO does not directly adsorb on vanadia, the reaction energy for N-N coupling is calculated with respect to adsorbed  $\text{NH}_2$  and NO in the gas phase.

Figure 6a demonstrates that there is linear relationship between the reaction energy for

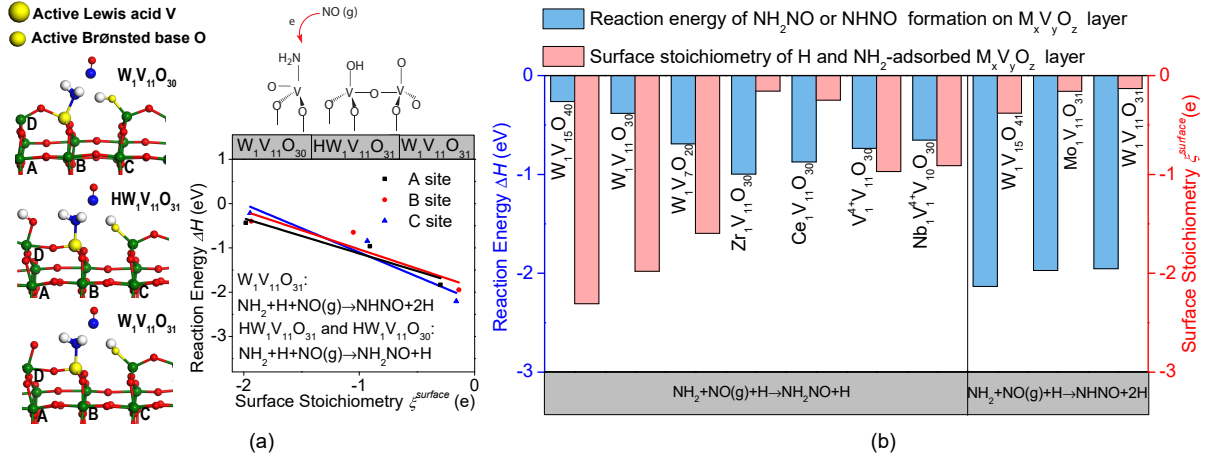


Figure 6: NO adsorption and N-N coupling. (a) Mechanisms of electron transfer, the reaction energy and the surface stoichiometry  $\xi_{surface}$  for  $NH_xNO$  ( $x=1, 2$ ) formation and the atomic reactant configuration with tungsten located at either the A, Bor C site of the  $H_xW_1V_{11}O_z$  model catalyst layers. (b) Reaction energies of N-N coupling and surface stoichiometry for dissociatively adsorbed  $NH_3$  on  $M_xV_yO_z$  layers ( $M= W, Mo, Zr, Ce, V$  and  $Nb$ ) with the dopant at the B site, as illustrated in Fig. 2.

$NH_xNO$  formation and the surface stoichiometry  $\xi_{surface}$  of the catalyst which only relatively weakly depends on the particular position of the dopant. On the oxygen-excess  $W_1V_{11}O_{31}$  catalyst layer, an additional N-H bond breaking event after  $NH_2NO$  formation leads to adsorbed  $NHNO$  and  $H$  associated with an energy gain of about  $-2$  eV. In contrast, on the stoichiometric and oxygen-deficient catalyst layers,  $NH_2NO$  is formed with a significantly smaller energy gain. As the unpaired electron of  $NO$  will be available for the interaction with the vanadia layer, as illustrated in Fig. 6a, the  $NO$  adsorption is more efficient on the electron-deficient vanadia layers with a more positive surface stoichiometry  $\xi_{surface}$ . We consider the most active dopant distribution ( $W$  at the B site) to analyze the relation between the

Table 2: The number of reduced vanadium ( $V^{4+}$ ) sites at selected surfaces for various adsorbates.

Adsorbate	$W_1V_{11}O_{31}$	H-covered $W_1V_{11}O_{31}$	$W_1V_{11}O_{30}$
clean	0	0	1
$NH_3$	0	0	1
$NH_2+H$	0	0	1
$NH_xNO(x=1, 2)$	0	1	2

oxidation states of V and the surface stoichiometry  $\xi^{\text{surface}}$  of the vanadia layer. In Table 2, the number of reduced  $V^{4+}$  sites for clean and  $\text{NH}_3$ ,  $\text{NH}_2+\text{H}$ , and  $\text{NH}_2\text{NO}$  covered  $\text{H}_x\text{W}_1\text{V}_{11}\text{O}_z$  layers are listed. The surface stoichiometry  $\xi^{\text{surface}}$  of the  $\text{H}_x\text{W}_1\text{V}_{11}\text{O}_z$  layers upon  $\text{NH}_2$  and H adsorption roughly corresponds to  $-0.2 e$ ,  $-1.0 e$ , and  $-2.0 e$ , respectively. After NO adsorption, the vanadia layers attract more electrons from the reaction intermediates. However, only the H-covered  $\text{W}_1\text{V}_{11}\text{O}_{31}$  and the  $\text{W}_1\text{V}_{11}\text{O}_{30}$  layers form an additional reduced  $V^{4+}$  site upon NO adsorption, as can be seen in the last line of Tab. 2. The reduction of vanadium is associated with a considerable energy cost which leads to smaller NO adsorption energies (see Fig. 6a) compared to the  $\text{W}_1\text{V}_{11}\text{O}_{31}$  configuration, where all V sites are fully oxidized ( $V^{5+}$ ) along the reaction path.

Fig. 6b compares the reaction energy of  $\text{NH}_x\text{NO}$  formation and corresponding surface stoichiometry  $\xi^{\text{surface}}$  using the layer configurations also considered in Fig. 5. NO adsorption selectively leads to either  $\text{NH}_2\text{NO}$  or  $\text{NHNO}$  formation depending on the atomic configuration of the surface. On the oxygen-excess  $\text{W}_1\text{V}_{11}\text{O}_{31}$ ,  $\text{Mo}_1\text{V}_{11}\text{O}_{31}$  and  $\text{W}_1\text{V}_{15}\text{O}_{41}$  layers, NO adsorption is accompanied by a N-H bond breaking event. When the NO molecule approaches the adsorbed  $\text{NH}_2$  molecule, the neighboring dangling oxygen atom attracts one hydrogen atom and forms an OH group. The concerted reaction of N-N bond creation and N-H bond breaking leads to low activation barriers,<sup>46</sup> for example to an activation barrier of only 0.07 eV on  $\text{W}_1\text{V}_{11}\text{O}_{31}$ . The corresponding significant reaction energies of  $-1.95$ ,  $-2.13$  and  $-1.97$  eV for  $\text{W}_1\text{V}_{11}\text{O}_{31}$ ,  $\text{W}_1\text{V}_{15}\text{O}_{41}$ , and  $\text{Mo}_1\text{V}_{11}\text{O}_{31}$ , respectively, allow the facile conversion of  $\text{NH}_2\text{NO}$  to  $\text{NHNO}$  when dangling oxygen bonds are available at the neighboring sites. Thus, oxygen excess condition associated with a larger number of vanadyl groups at the surface are beneficial for efficient NO capture and further N-H bond-breaking reactions. Without the presence of neighboring dangling oxygen sites, adsorbed non-dissociated  $\text{NH}_2\text{NO}$  will be created. The reaction energies of  $\text{NH}_2\text{NO}$  formation are in general smaller than those for  $\text{NHNO}$  formation. Moreover, upon variation of the dopant concentration and species, as shown in Fig. 6b, the reaction energy of  $\text{NH}_2\text{NO}$  formation becomes larger at layers with a

less negative surface stoichiometry  $\xi^{\text{surface}}$ . Hence, efficient NO capture is only possible at oxygen-excess configurations associated with more positive surface stoichiometries  $\xi^{\text{surface}}$ .

## Catalyst recovery and energetics of H adsorption

The remaining step in the SCR reaction,  $\text{N}_2$  formation from  $\text{NH}_x\text{NO}$ , is strongly exothermic. For example, the decomposition of  $\text{NHNO}$  on the  $\text{W}_1\text{V}_{11}\text{O}_{31}$ ,  $\text{W}_1\text{V}_{11}\text{O}_{41}$ , and  $\text{Mo}_1\text{V}_{11}\text{O}_{31}$  layers is associated with a reaction energy of about  $-4\text{eV}$ . In contrast, the  $\text{NH}_2\text{NO}$  decomposition on  $\text{W}_1\text{V}_{11}\text{O}_{30}$ ,  $\text{W}_1\text{V}_{11}\text{O}_{40}$ , and  $\text{Mo}_1\text{V}_{11}\text{O}_{30}$  leads to a somewhat smaller energy gain of about  $-2.5\text{eV}$ . Due to this large energy gain,  $\text{N}_2$  formation and desorption occur rather spontaneously. After  $\text{N}_2$  desorption, hydrogen can still remain on the surface in the form of surface hydroxyl groups. For the recovery of the catalyst, the hydrogen atoms need to be removed. In this section, we will focus on the energetics of the adsorbed hydrogen species. As we demonstrated previously,<sup>7</sup> O-H formation and hence strong hydrogen bonding is facile on electron-deficient (oxygen-excess) vanadia layers as the stoichiometry of the layer is recovered by accepting the electron from the hydrogen atom. Thus oxygen-excess is detrimental for the catalyst recovery whereas it is beneficial for NO-capture and the subsequent  $\text{N}_2$  formation, as we just discussed above. This indicates that the preferred reaction conditions for catalyst activation and recovery are different. Thus, optimizing the H adsorption energy with respect to both catalyst activation and recovery is critical for an efficient catalyst operation.

Figure 7 displays the hydrogen adsorption energy and the surface stoichiometry  $\xi^{\text{surface}}$  for various doped  $\text{M}_x\text{V}_y\text{O}_z$  layers. The electron-rich or oxygen-deficient layers with  $\xi^{\text{surface}} \approx -2 e$  are associated with weak hydrogen bonding which becomes successively stronger for stoichiometric ( $\xi^{\text{surface}} \approx -1 e$ ) and oxygen-excess ( $\xi^{\text{surface}} \approx 0$ ) doped vanadia layers. Mo and W doping even results in a positive surface stoichiometry  $\xi^{\text{surface}}$ , causing the largest energy gain upon hydrogen adsorption among the considered catalysts. Furthermore, there is also an influence of the dopant coverage on the H adsorption energy. Increasing the tungsten concentration from  $\text{W}_1\text{V}_{15}\text{O}_{41}$  to  $\text{W}_1\text{V}_{11}\text{O}_{31}$  and  $\text{W}_1\text{V}_7\text{O}_{21}$  accompanied by an increase in

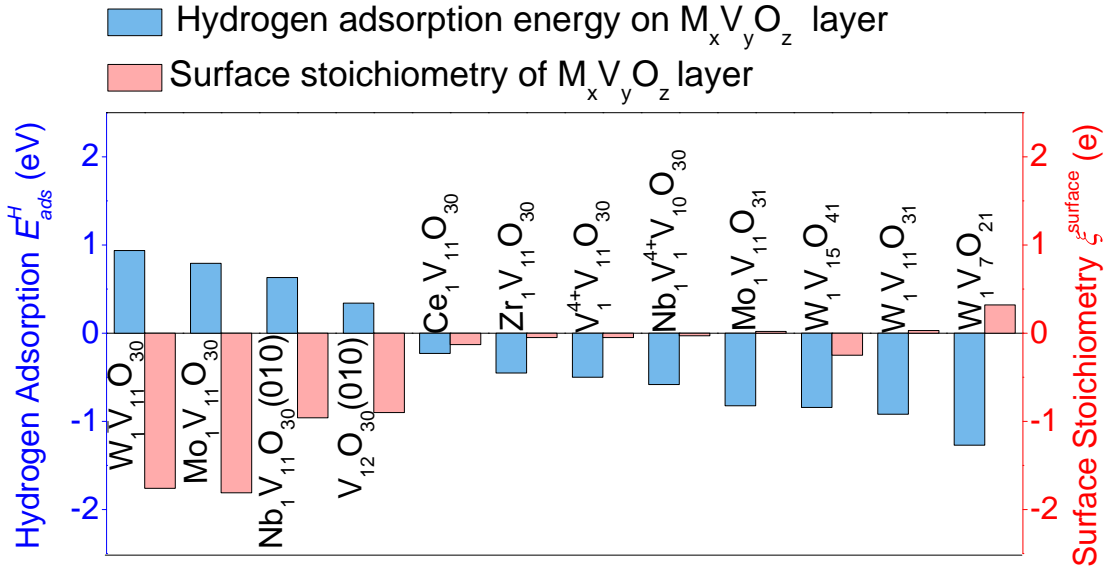


Figure 7: Hydrogen adsorption energy (blue bars) and surface stoichiometry (red bars) of various doped  $M_xV_yO_z$  layers ( $M = W, Mo, Zr, Ce, V$  and  $Nb$ ) with the dopant at the B site, as illustrated in Fig. 2.

the surface stoichiometry  $\xi^{surface}$  leads to a stronger hydrogen binding. Generally, there is a linear anti-correlation between  $\xi^{surface}$  and hydrogen adsorption energy on the considered doped vanadia catalysts.

Note that the choice of the dopant can influence both the efficiency of the catalyst recovery and the catalyst activation. Oxygen-excess configurations exhibit higher activities than oxygen-deficient configurations for  $NH_3$  dissociation, NO adsorption, and also for the decomposition of the  $NH_xNO$  intermediates leading to  $N_2$  formation. Among the oxygen-excess configurations, the Ce-doped vanadia is associated with a lower hydrogen adsorption energy than the W- and Mo-doped catalysts and with a lower reaction energy for  $NH_3$  dissociation than the Nb- and Zr-doped catalysts. Thus Ce doping corresponds to a favorable compromise with respect to both catalyst activation and recovery. W- and Mo-doped oxygen-excess vanadia layers also allow facile NO adsorption. However, it will be hard to remove H species from them after  $N_2$  formation.

# Reaction scheme and energy profile

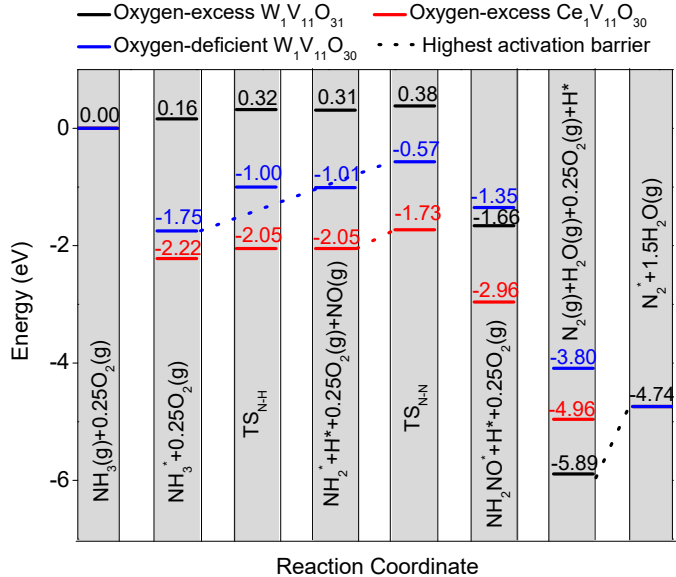


Figure 8: Energy profile of the SCR reaction on oxygen-excess  $W_1V_{11}O_{31}$ ,  $Ce_1V_{11}O_{30}$ , and oxygen-deficient  $W_1V_{11}O_{30}$ .

In this section, we discuss the whole SCR pathway using three exemplary doped vanadia catalysts, namely  $W_1V_{11}O_{31}$ ,  $W_1V_{11}O_{30}$ , and  $Ce_1V_{11}O_{30}$ , addressing both catalyst activity and recovery. The energy profiles of the SCR reaction on these catalysts are plotted in Fig. 8. On the oxygen-excess W-doped vanadia catalyst ( $W_1V_{11}O_{31}$ , black line in Fig. 8), the  $NH_3$  adsorption energy is slightly positive due to the high energetic cost of the catalyst deformation associated with  $NH_3$  adsorption, which still limits the activity at high temperatures. However,  $NH_2$  formation is hindered by a rather low barrier. Thus, the catalyst can capture NO and produce  $N_2$  under suitable conditions. However, the high energy cost to remove the remaining adsorbed H species hinders catalyst recovery and leads to limited SCR activity at low temperatures. As a result, the operational temperature window is limited due to the small  $NH_3$  adsorption energy gain and the high energy cost of H removal.

On the oxygen-deficient W-doped vanadia catalyst ( $W_1V_{11}O_{30}$ , blue line in Fig. 8),  $NH_3$  adsorption is associated with a large energy gain. However, the activation of N-N formation requires a high energy cost of 1.22 eV (see the energy change from  $NH_3^*+0.25O_2(g)$  to  $TS_{N-N}$ ,

highlighted by the blue-dashed line in Fig. 8). Consequently, this catalyst will only be active at rather high temperatures, although the reaction steps after  $\text{NH}_2\text{NO}$  formation towards the formation of  $\text{N}_2$  and the catalyst recovery process are exothermic.

The oxygen-excess Ce-doped vanadia catalyst ( $\text{Ce}_1\text{V}_{11}\text{O}_{30}$ , red line in Fig. 8) exhibits the best SCR performance among the considered catalysts. There is a large adsorption energy gain upon ammonia adsorption of 2.22 eV, a low activation energies for N-N formation, and facile catalyst recovery. At the same time, the surface energy of  $\text{NH}_3$ -covered Ce-doped vanadia is  $93.50 \text{ meV}/\text{\AA}^2$ , that is only slightly higher than the  $91.39 \text{ meV}/\text{\AA}^2$  for  $\text{NH}_3$ -covered W-doped vanadia, which indicates that Ce doping should lead to rather stable surface structures. Hence, Ce is expected to be a promising dopant for SCR vanadia catalysts. Our computational results are in line with the findings of experimental studies that reported an enhanced SCR activity for either Ce-doping<sup>13</sup> or mixed W- and Ce-doping<sup>12,47</sup> of the vanadia layer.

Finally we briefly address the so far disregarded reaction path through the ammonia adsorption at the Brønsted acid site (V-OH). Since  $\text{NH}_3$  dissociation requires the presence of neighboring dangling oxygen bonds to capture the detached H atom, the vanadia configurations with densely packed dangling oxygen bonds, e.g.,  $\text{M}_3\text{V}_9\text{O}_{32}$  in Fig. 2d, require a high W or Mo concentration to maintain sufficient surface stability. However, according to our calculations, on such a surface, ammonia interacts with two neighboring dangling oxygen bonds and forms a hydrogen bond with one of them. As a result,  $\text{NH}_4^+$  is created, which practically blocks the catalyst activation. For example, the reaction energy of  $\text{NH}_2\text{NO}$  formation from  $\text{NH}_4^+$  is  $-0.13 \text{ eV}$  and  $-0.02 \text{ eV}$  on oxygen-excess  $\text{W}_3\text{V}_9\text{O}_{32}$  and  $\text{W}_3\text{V}_9\text{O}_{31}$ , respectively. The energy gain is negligible compared to the energy gain upon  $\text{NH}_2\text{NO}$  formation from  $\text{NH}_2$  of  $-2.25 \text{ eV}$  and  $-1.09 \text{ eV}$  at the Lewis acid site. Thus, the catalyst activation at the Brønsted acid site is thermodynamically less favorable than at the Lewis acid site, which agrees well with the experimental findings.<sup>5</sup>

## Conclusions

Using first-principles electronic structure calculations, we have addressed the selective catalytic reduction of nitric oxide by ammonia on doped titania-supported vanadia catalysts. We have studied the influence of dopants on all crucial reaction steps, the catalyst activation by  $\text{NH}_3$  adsorption and  $\text{NH}_2$  formation, N-N coupling by NO capturing, and the catalyst recovery by removing hydrogen. The presence of active dangling oxygen bonds turns out to be critical for the catalytic activity. According to our calculations the properties and distribution of dangling oxygen bond can be controlled by the choice and the concentration of the dopants. In order to characterize the influence of the dopants on the catalytic activity, we have introduced a generalized surface stoichiometry that takes the nominal oxidation states of the atoms within the catalyst layer, polarization effects due to charge transfer between support and catalyst, local charge rearrangements, and the charge exchange with the reaction intermediates into account. We have demonstrated that the surface stoichiometry can act as a descriptor for the SCR activity, thus allowing the facile identification of suitable dopants to improve the performance of SCR catalysts, both with respect to their activity and their stability. Based on these considerations, we propose Ce doping of vanadia as a promising route towards more effective SCR catalysts. Furthermore, we expect that this descriptor will also be instrumental in identifying oxide catalysts with improved properties for other important catalytic reactions.

## Computational details

Spin-polarized periodical DFT calculations were performed<sup>48</sup> using the Vienna ab initio simulation package VASP<sup>49–51</sup> together with the projected augmented wave (PAW) method.<sup>52,53</sup> Exchange-correlation effects were considered within the generalized gradient approximation (GGA) using the Perdew-Burke-Ernzerhof (PBE) functional<sup>54</sup> and dispersion effects were included based on the DFT-D3 method.<sup>55</sup> The wave functions were expanded using a plane



wave basis set with a kinetic cut-off energy of 400 eV. On-site Coulomb interactions were taken into account through the DFT+U approach<sup>56,57</sup> to treat the highly localized 3d, 4d, and 4f states. We employed the following semi-empirical parameters  $U - J$ : Ti (3d, 3.5 eV<sup>58</sup>), V (3d, 3.0 eV<sup>59</sup>), W (4d, 6.2 eV<sup>60</sup>), Nb (4d, 4.0 eV<sup>61</sup>), Zr (4d, 4.0 eV<sup>62,63</sup>), Mo (4d, 6.3 eV<sup>64</sup>), and Ce (4f state, 5.0 eV<sup>65,66</sup>).

We prepared the catalyst configurations using a vanadia layer on a TiO<sub>2</sub> substrate consisting of eight TiO<sub>2</sub> layers with a (001) surface termination. A vacuum layer of 25 Å was chosen to separate the surface slabs to avoid any spurious interactions normal to the surface. The surface structures were assumed to reach convergence when the forces on all relaxed atoms became smaller than 0.03 eV/Å. A dipole correction was included to compensate for the interaction between surface dipoles and their periodic images. A k-point mesh<sup>67</sup> of  $3 \times 3 \times 1$  was employed to approximate the integral over the first Brillouin zone of the  $1 \times 3$  unit cell. Convergence tests confirmed that energy changes upon using a more dense  $5 \times 5 \times 1$  k-point mesh are negligible. The convergence of the computational results with respect to the k-point sampling for other unit cell sizes was equally carefully checked.

To evaluate the surface stability under experimental operating conditions,<sup>68</sup> we derived the surface energy  $\gamma(T, p)$  as a function of temperature  $T$  and pressure  $p$  within the grand-canonical ab initio thermodynamics scheme:<sup>34,37</sup>

$$\gamma(T, p) = \frac{1}{2A} \left[ G(T, p, N_{\text{Ti}}, N_{\text{V}}, N_{\text{W}}, N_{\text{O}}) - \sum_i N_i \mu_i \right], \quad (5)$$

where  $A$  and  $G$  are the surface area and the Gibbs free energy, respectively, of a configuration consisting of  $N_i$  atoms of species  $i$  where  $i$  stands for Ti, V, W, or O atoms.  $\mu_i$  represents the chemical potential of each species  $i$ . As a reference, we have taken the bulk phases of TiO<sub>2</sub>, V<sub>2</sub>O<sub>5</sub> and WO<sub>3</sub> in thermodynamic equilibrium with gas-phase O<sub>2</sub> under varying conditions. Thus, the chemical potentials of Ti, V and W are expressed as  $\mu_{\text{Ti}} = \mu_{\text{TiO}_2}^{(\text{bulk})} - 2\mu_{\text{O}}$ ,  $\mu_{\text{V}} = (\mu_{\text{V}_2\text{O}_5}^{(\text{bulk})} - 5\mu_{\text{O}})/2$  and  $\mu_{\text{W}} = \mu_{\text{WO}_3}^{(\text{bulk})} - 3\mu_{\text{O}}$ , respectively. The chemical potential of oxygen

$\mu_{\text{O}}(T, p)$  can be expressed as  $\frac{1}{2}\mu_{\text{O}_2}(T, p)$ , i.e. with respect to dioxygen gas at temperature  $T$  and pressure  $p$ . The O-rich limit of  $\mu_{\text{O}_2}$  is assumed to correspond to gas-phase  $\text{O}_2$  under the standard conditions, and the O-poor limit corresponds to metallic Ti,  $\text{VO}_2$  and  $\text{WO}_2$  formation, respectively, i.e. the heat of formation is  $-\Delta H_f = \mu_{\text{TiO}_2}^{(bulk)} - \mu_{\text{Ti}}^{(bulk)} - \mu_{\text{O}}$  ( $-8.50$  eV),  $\mu_{\text{V}_2\text{O}_5}^{(bulk)} - 2\mu_{\text{VO}_2}^{(bulk)} - \mu_{\text{O}}$  ( $-13.01$  eV) and  $\mu_{\text{WO}_3}^{(bulk)} - \mu_{\text{WO}_2}^{(bulk)} - \mu_{\text{O}}$  ( $-7.18$  eV). As the limit of  $\mu_{\text{O}}$  for  $\text{VO}_2$  and metallic Ti formation is beyond the range that allows  $\text{WO}_2$  formation, the O-poor limit for  $\text{W}_x\text{O}_y\text{O}_z/\text{TiO}_2$  is given by the one for  $\text{WO}_2$  formation.

The adsorption energy of the considered molecules has been calculated with respect to the chemical potentials of the reference molecules  $\mu_{\text{NH}_3}$ ,  $\mu_{\text{NO}}$ ,  $\mu_{\text{H}_2\text{O}}$ , and  $\mu_{\text{O}_2}$ . For reaction intermediates, the following references have been chosen:  $\mu_{\text{NH}_2} = \mu_{\text{NH}_3} - \mu_{\text{H}}$ , and  $\mu_{\text{NH}_2\text{NO}} = \mu_{\text{NH}_2} + \mu_{\text{NO}}$  with  $\mu_{\text{H}} = (\mu_{\text{H}_2\text{O}} - \mu_{\text{O}_2}/2)/2$ . The adsorption energy of the adsorbate species *mol* is then determined according to

$$E_{ads}^{mol} = E_{mol/cata} - E_{cata} - \mu_{mol} , \quad (6)$$

where  $E_{mol/cata}$  and  $E_{cata}$  are the energies of the model catalyst with/without the adsorbate *mol*. The chemical potential of any adsorbate *mol* at temperature  $T$  and partial pressure  $p_{mol}$  is given by

$$\mu_{mol}(T, p) = \mu_{mol}(T, p^\ominus) + RT \ln(p_{mol}/p^\ominus) , \quad (7)$$

where  $\mu_{mol}(T, p^\ominus)$  is the gas-phase energy of adsorbate *mol* at temperature  $T$  and standard atmospheric pressure  $p^\ominus$ . The temperature dependence of  $\mu_{mol}(T, p^\ominus)$  has been taken from the NIST-JANAF thermodynamic table.<sup>69</sup> For the chemical potentials of  $\text{NH}_3$  and  $\text{NO}$ , the enthalpic temperature correction was ignored as this contribution is usually hardly changed upon adsorption. With respect to the partial pressures, we have chosen the following typical experimental conditions:  $p_{\text{O}_2}=0.1$  atm,  $p_{\text{N}_2}=0.85$  atm,  $p_{\text{H}_2\text{O}}=0.05$  atm,  $p_{\text{NH}_3}$ ,  $p_{\text{NO}}=1000$  ppm at 600 K.<sup>68</sup>

The general nudged elastic band (NEB) method<sup>70</sup> with four images was employed to

determine the activation barriers of the considered chemical reactions. To reduce the computational cost of the numerically demanding NEB calculations, we removed the four bottom layers of the support from the slab and fixed the bottom layer. The activation barrier  $E_a$  and reaction energy  $\Delta H$  were calculated according to  $E_a = E_{\text{TS}} - E_{\text{IS}}$  and  $\Delta H = E_{\text{FS}} - E_{\text{IS}}$ , respectively, where  $E_{\text{IS}}$ ,  $E_{\text{TS}}$ , and  $E_{\text{FS}}$  are the energies of the initial state (IS), the transition state (TS) and the final state (FS) of the reaction. In order to estimate the charge distribution in the doped vanadia layers, we have employed a charge partitioning method.<sup>41,42</sup> Note that charge partitioning schemes are crude approximations, and different schemes may give different numbers as there is no strict definition regarding which electrons should be associated with which atoms. Still trends in the changes of partitioned charge to the system should be robust, independent of the particular choice of the partitioning scheme.

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