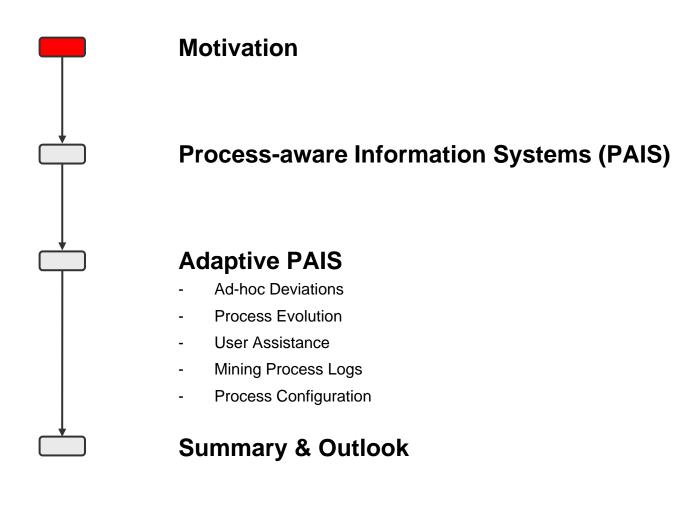


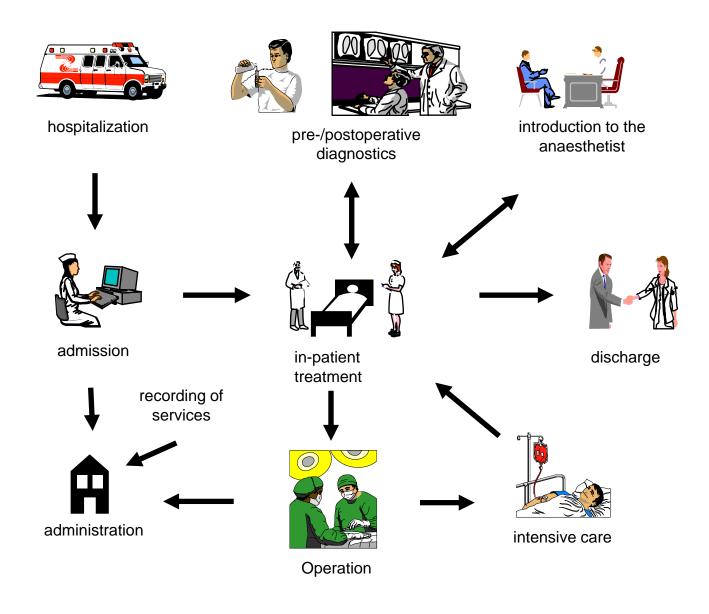
What Business Process Management Technology Can Do for Healthcare Process Support?

Manfred Reichert

Overview



Motivation: The Clinical Workday



Motivation: Some Problems



Motivation: Fundamental Goals

Fundamental Goals:

- Continuity of care
- □ Patient-centered treatment
- Integrated care
- Process-awareness





provision of information and knowledge at the "point of care"

Urgent need for IT support:

- □ Frequent cause of medical errors ⇒ Missing information or knowledge
- Example: Medication errors
 - 29% due to missing patient-related information
 - 19% due to missing medical knowledge

Leape LL. A systems analysis approach to medical errors. J Eval Clin Pract 3: 213-22, 1997

Motivation: Fundamental Goals

Fundamental Goals:

- Continuity of care
- □ Patient-centered treatment
- Integrated care
- Process-awareness





provision of information and knowledge at the "point of care"

There is a discrepancy between the potential and actual usage of IT!

Why?

Committee on Quality of Healthcare in America (IoM)
Crossing the Quality Chasm: A New Health System for the 21st Century. IOM, 2001

Motivation: Levels of Process Support

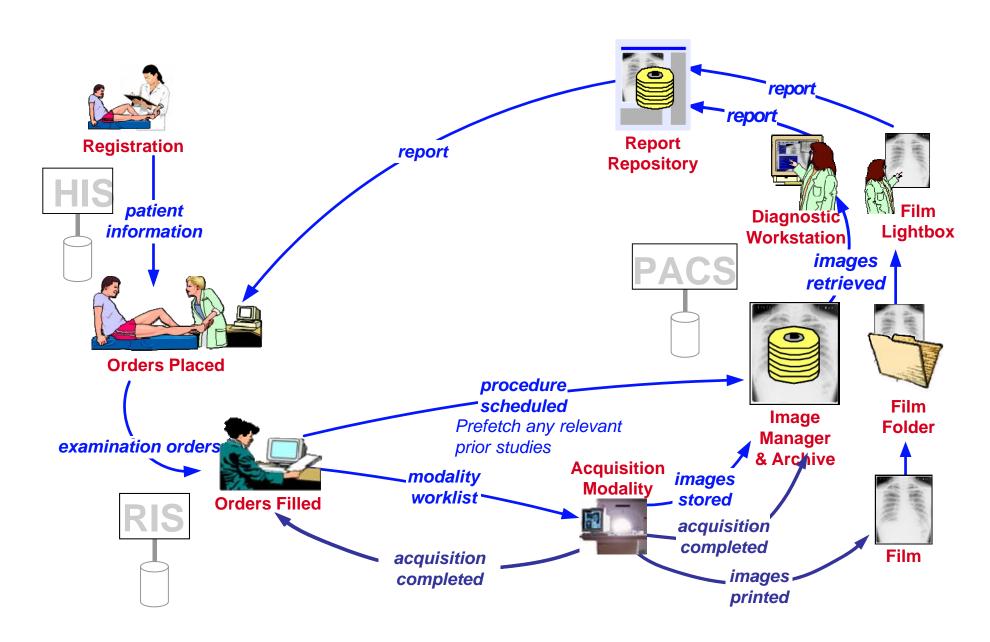
Organizational processes

- Interdisciplinary cooperation among different people and organizational units
- Example: Order entry and result reporting

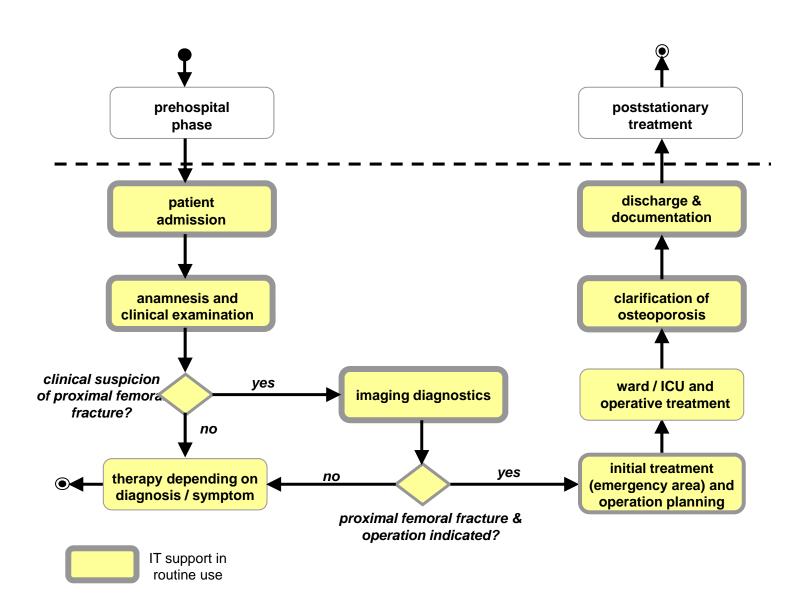
□ Patient treatment processes

- Guided by available patient information
- Dependent on medical knowledge

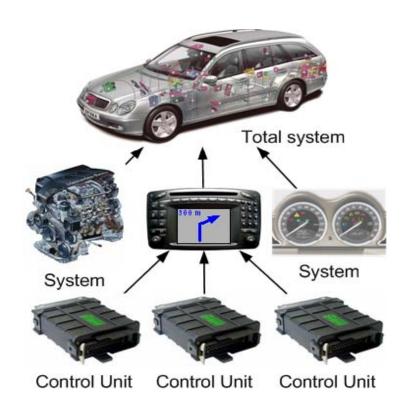
Motivation: Example of an Organizational Process



Motivation: Example of a Treatment Process



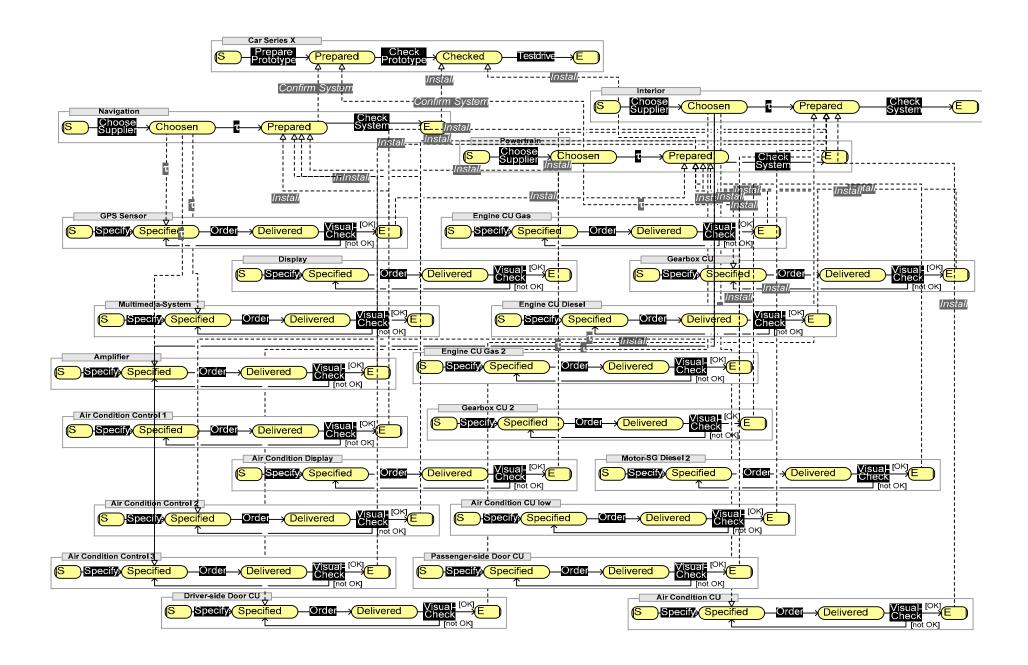
Motivation: Another Application Example



- Example: Release management for E/Esystems in a car
- 200 300 control devices to be systematically tested and released
- Requires the execution of hundreds up to thousands of processes
- Concurrent engineering complex dependencies have to be considered
- The overall process is long-running

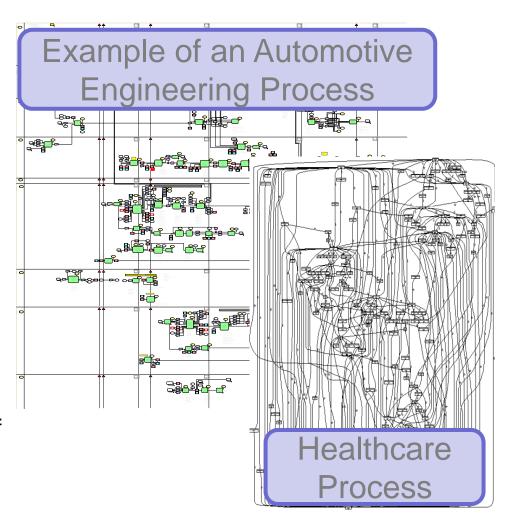
Müller, D., Herbst, J., Hammori, M., Reichert, M. (2006) *IT Support for Release Management Processes in the Automotive Industry*. In: 4th Int'l Conf. on Business Process Management (BPM'06), Vienna, pp. 368-377.

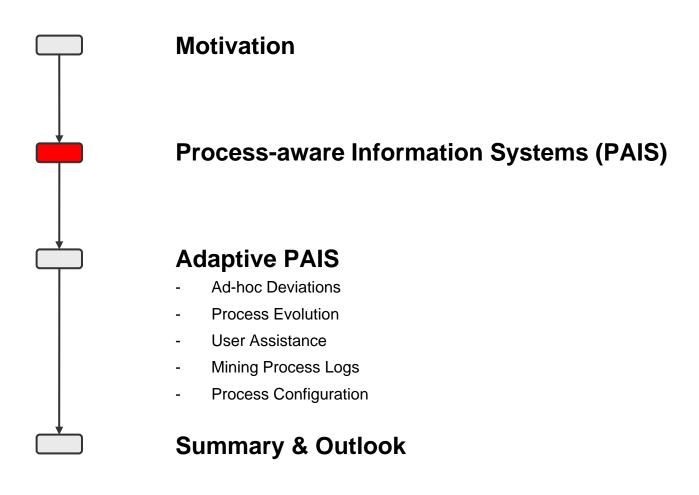
Müller, D., Reichert, M., Herbst, J. (2008) *A New Paradigm for the Enactment and Dynamic Adaptation of Data-driven Process Structures*. In: 20th Int'l Conf. on Advanced Information Systems Engineering (CAiSE'08), Montpellier, pp. 48-63



Motivation: Challenges

- Processes can become very large and complex
- ☐ Thousands of concurrently executed process instances
- High need for flexibility in all phases of the process lifecycle
- □ Support for application integration is fundamental
- Correctness and robustness are crucial features of any processaware inf. systems
- Integrated support of all phases of the process lifecycle required

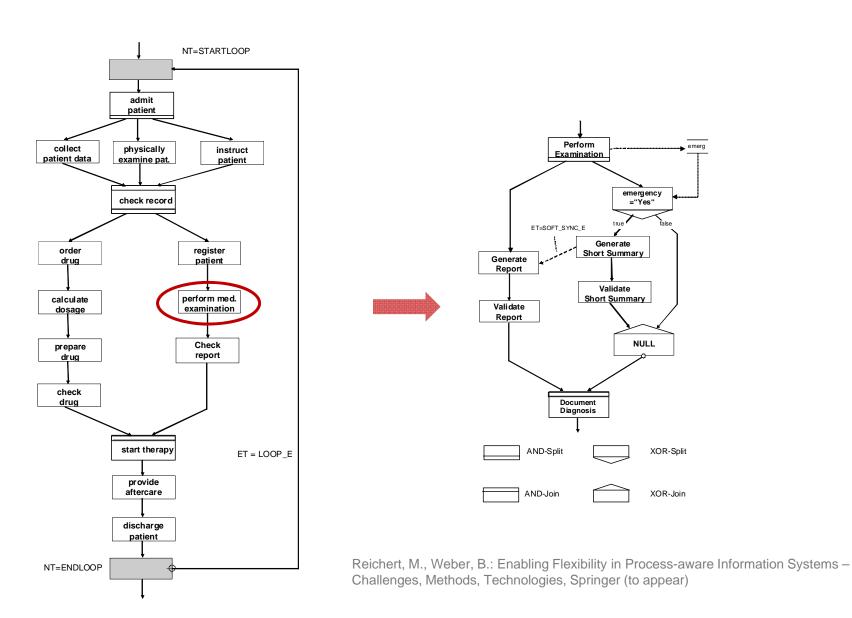




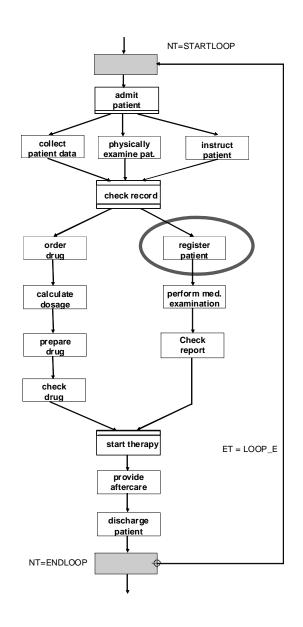
Process-aware Inf. Systems (PAIS): Process Modeling

XOR-Split

XOR-Join

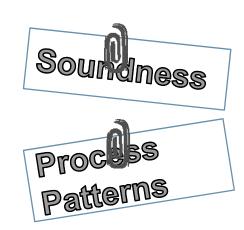


Process-aware Inf. Systems (PAIS): Process Modeling



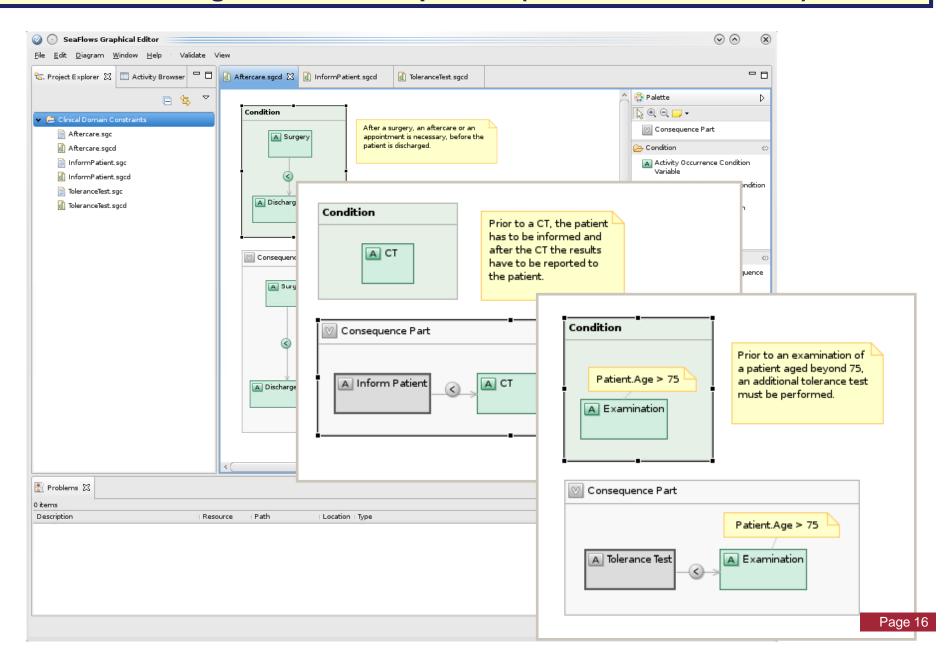
Activity Specification:

- Automated or manual activity?
- Actor / Role assignment?
- Form / service to be invoked?
- Input / output parameters
- ...

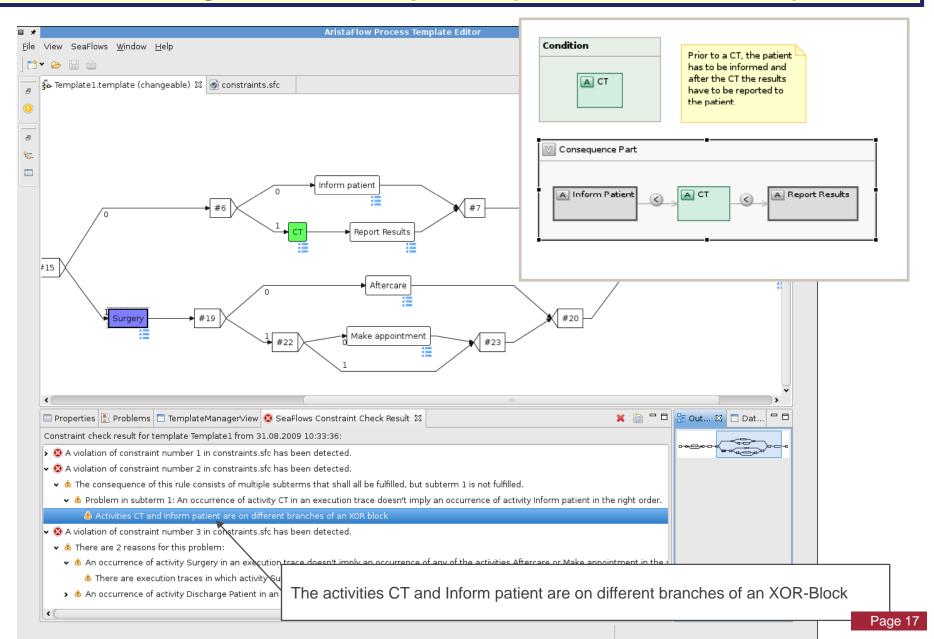




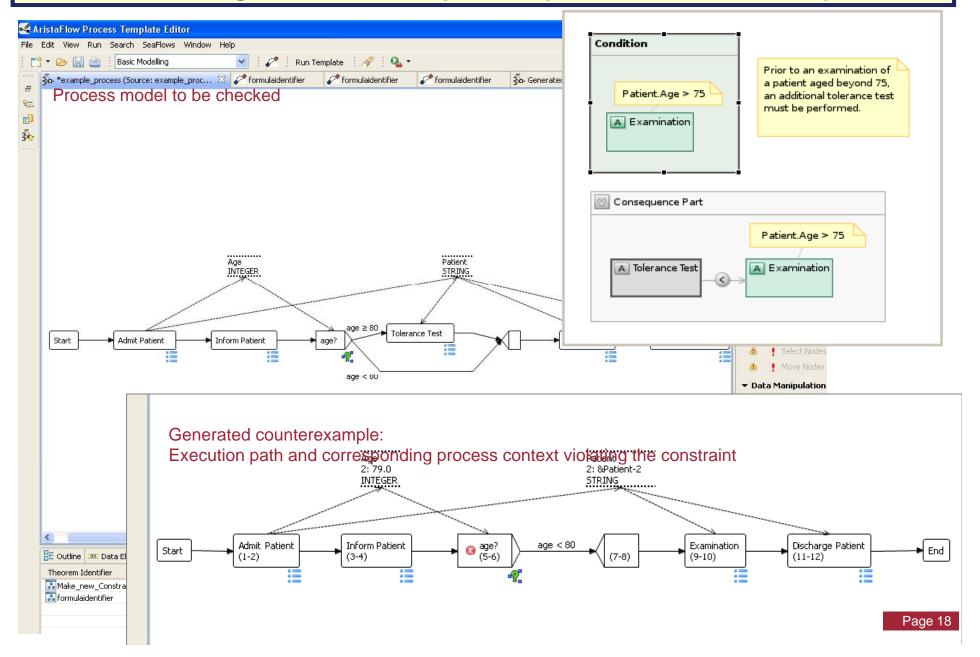
Process Modeling: Ensuring Business Compliance (Semantic Constraints)



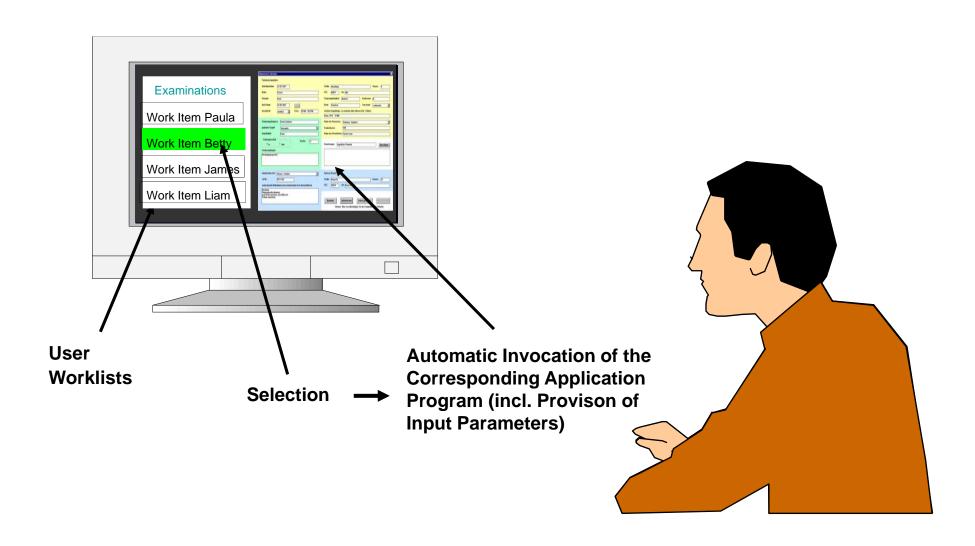
Process Modeling: Ensuring Business Compliance (Semantic Constraints)

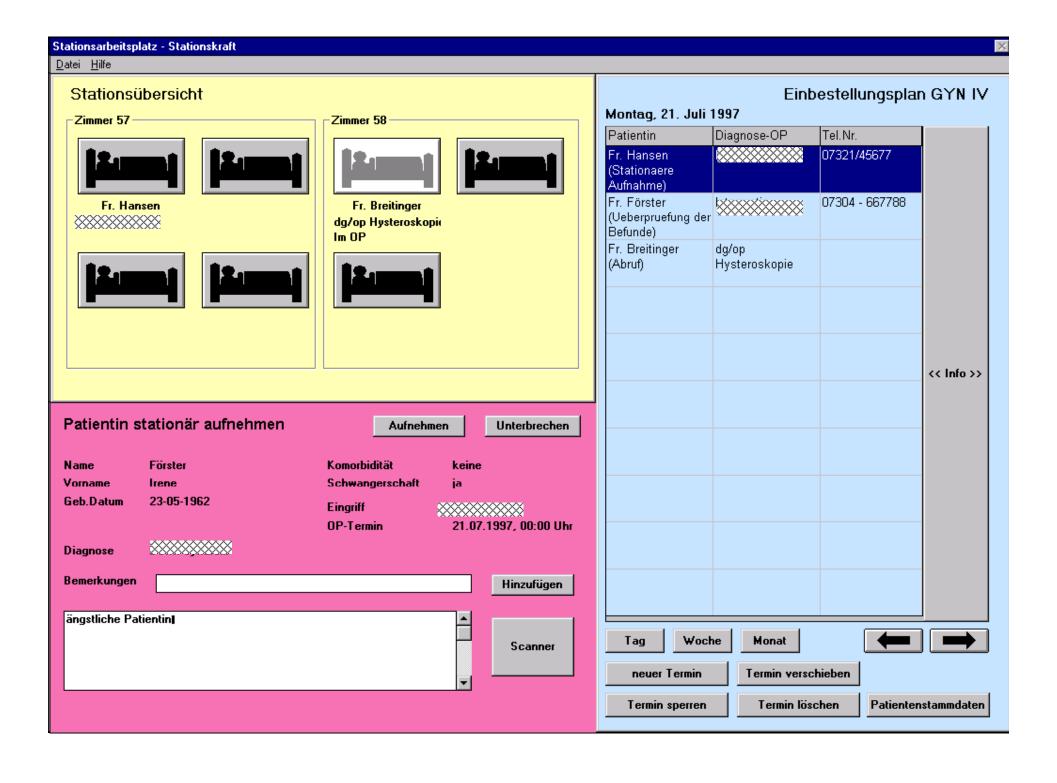


Process Modeling: Ensuring Business Compliance (Semantic Constraints)

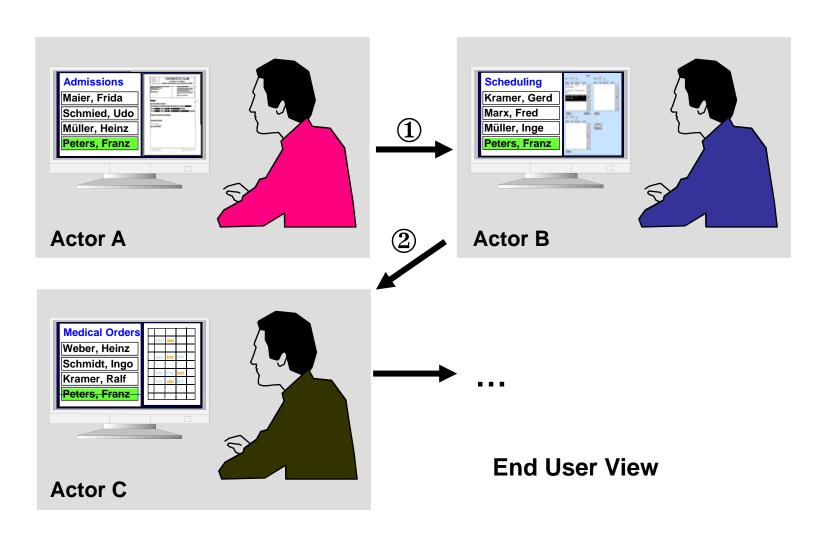


PAIS: Process Execution (End User Perspective)

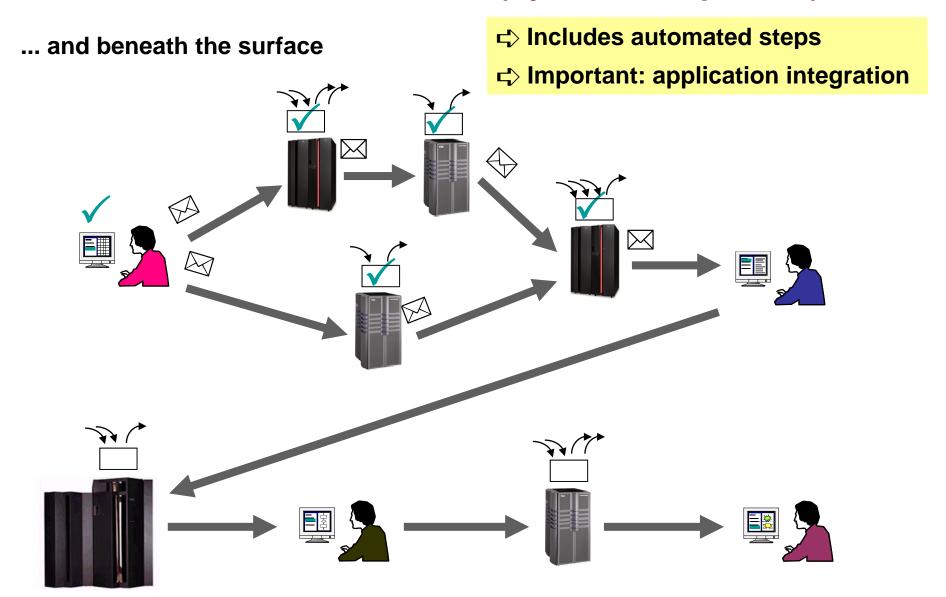




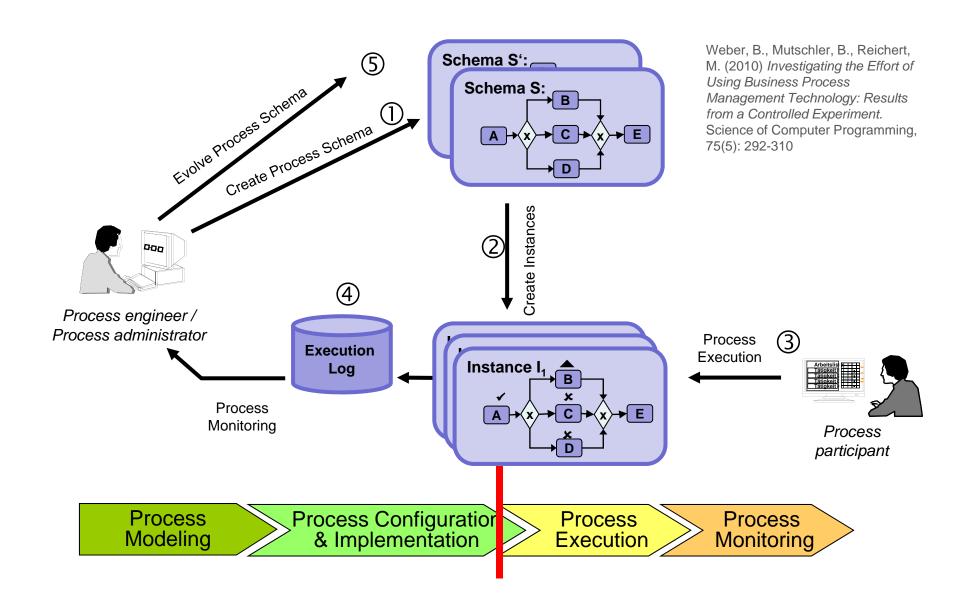
PAIS: Process Execution (End User Perspective)



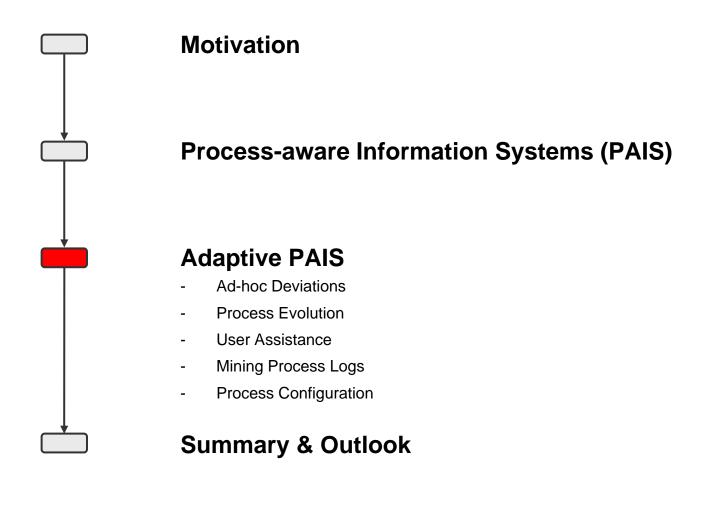
PAIS: Process Execution (System Perspective)



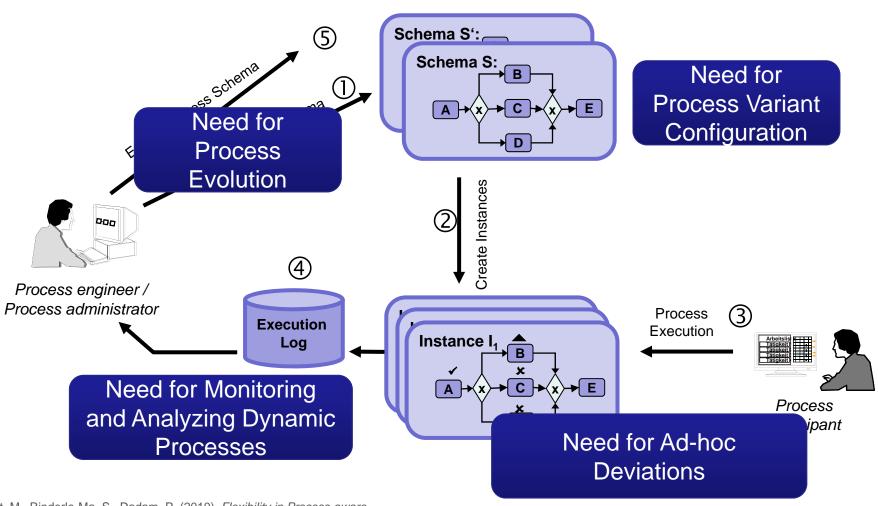
PAIS: The Process Lifecycle



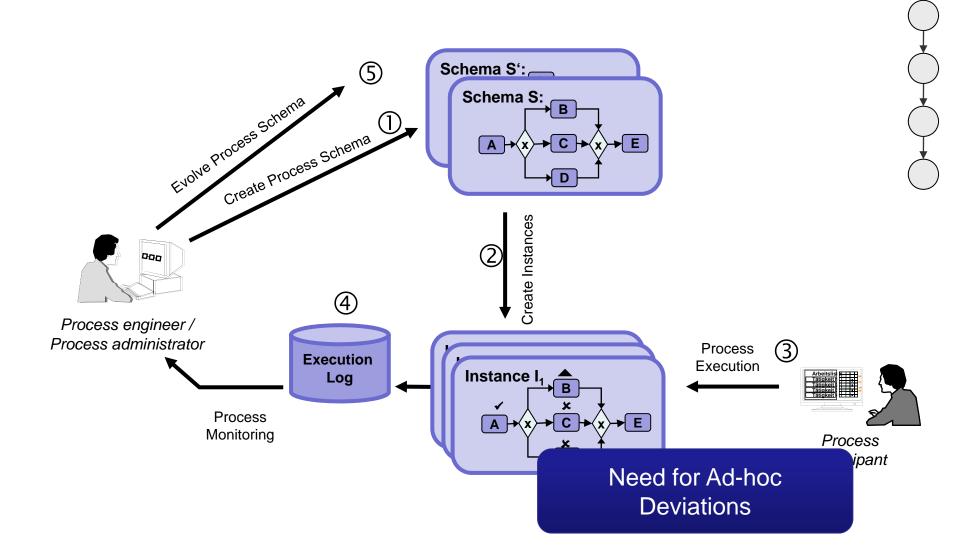
Overview

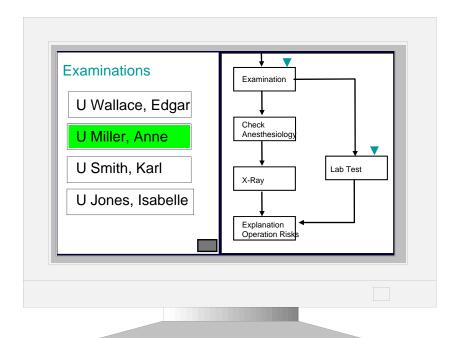


Adaptive PAIS: Need for Flexibility Support



Reichert, M., Rinderle-Ma, S., Dadam, P. (2010) Flexibility in Process-aware Information Systems. LNCS Transactions on Petri Nets and Other Models of Concurrency, Vol. 2, pp. 115-135

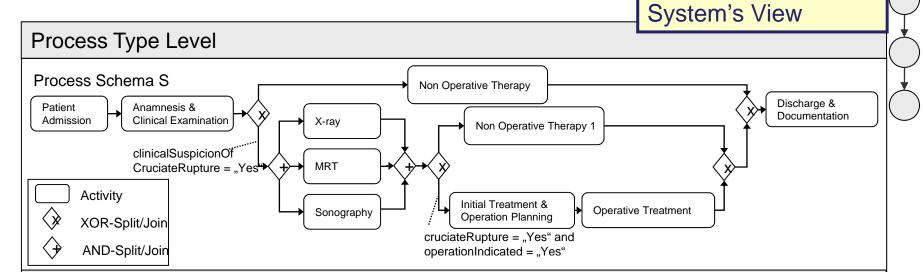




The Users' View

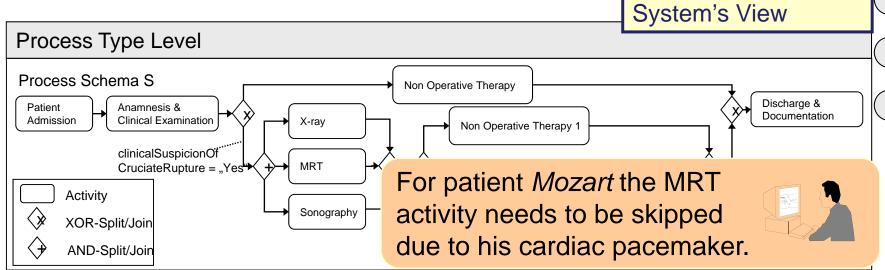
Exceptional case we need an additional lab. test!

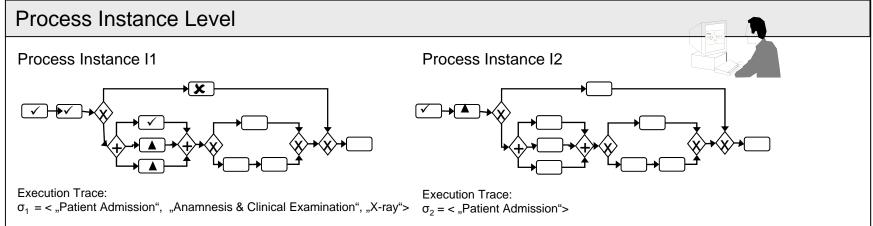
Reichert, Manfred and Dadam, Peter (1998) ADEPTflex-Supporting Dynamic Changes of Workflows Without Losing Control. Journal of Intelligent Information Systems, Special Issue on Workflow Management Systems, 10(2): 93-129, Kluwer

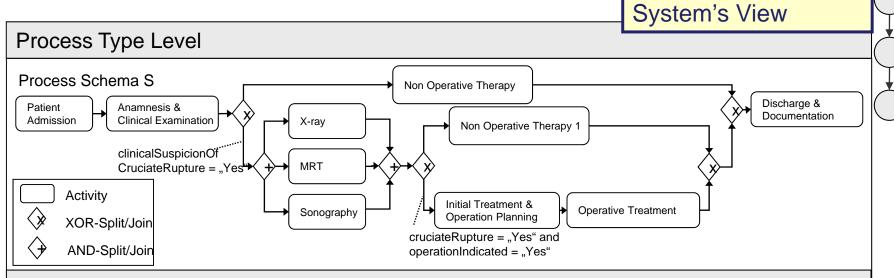


Process Instance Level

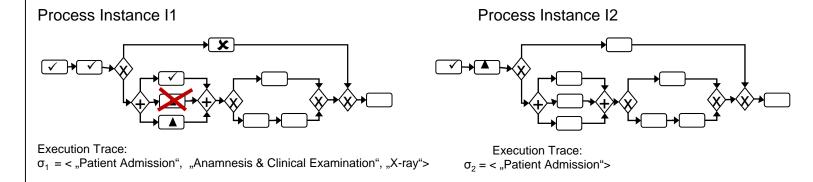
Process Instance I2 Execution Trace: $\sigma_1 = <$ "Patient Admission", "Anamnesis & Clinical Examination", "X-ray"> Execution Trace: $\sigma_2 = <$ "Patient Admission"> Execution Trace: $\sigma_3 = <$ "Patient Admission">



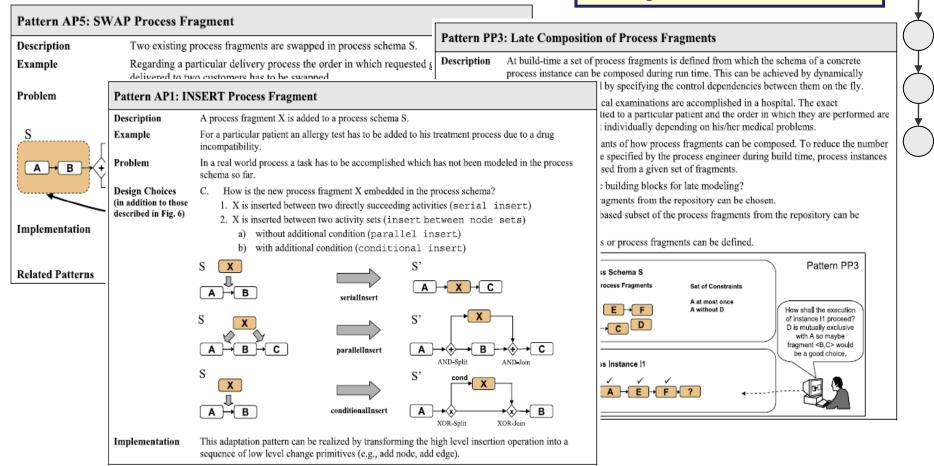


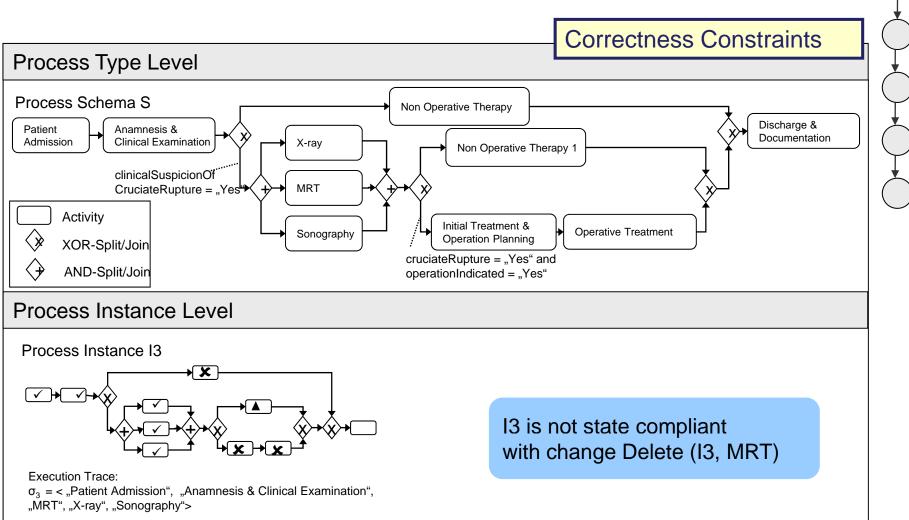


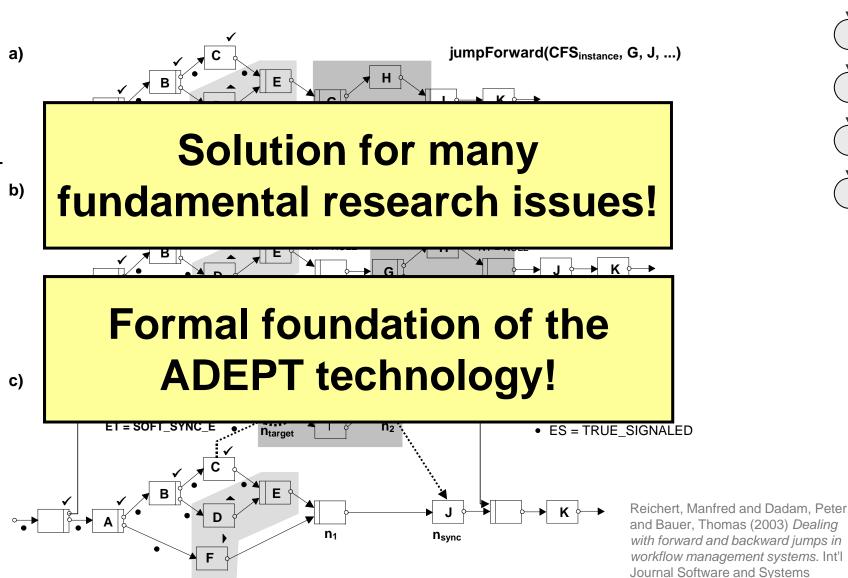
Process Instance Level



Change Patterns

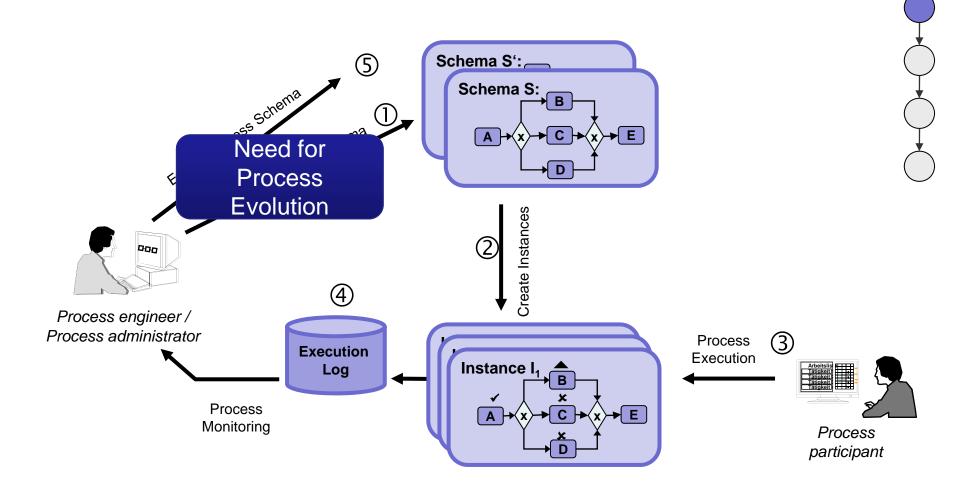






Modeling (SOSYM), 2(1): 37-58

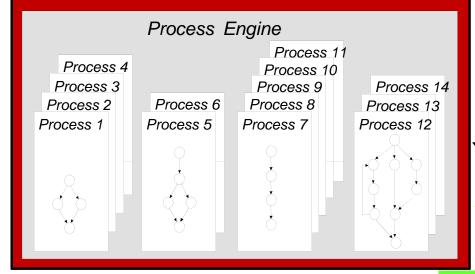
Adaptive PAIS: Enabling Process Evolution



Adaptive PAIS: Enabling Process Evolution

ADEPT Process Management System

Std Client APIWeb Clnt APIModeling API Dyn. Change API Admin. API Role Mgmt Authorization Time Mgmt Msg Queuing Recovery Audit Trail ...



ADEPT Process Composer

Create Process Template Modify Process Template Check Process Template Repository

Process Application Templates Components

Check Instance Status

Users











4.377 instances can be automatically migrated

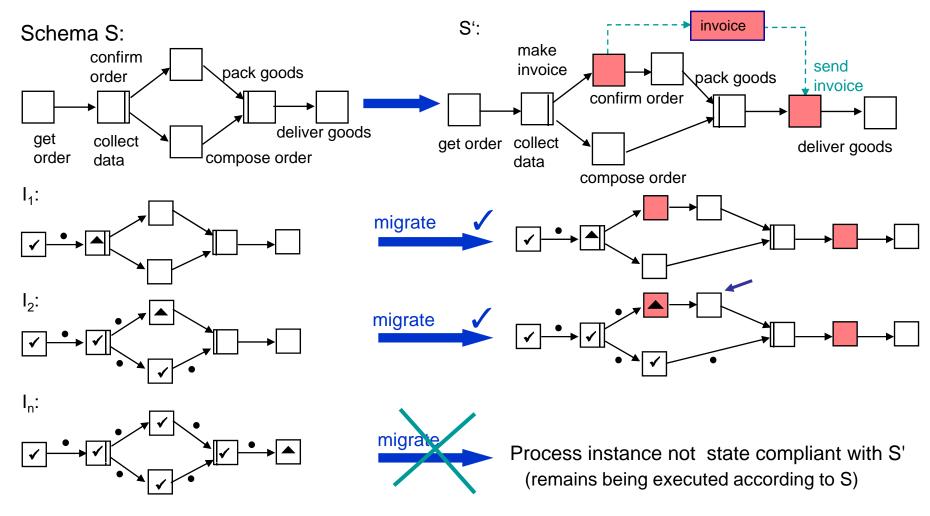
1.117 instances have proceeded too far

123 instances cannot be automatically migrated

Process Designer /
Process Administrator

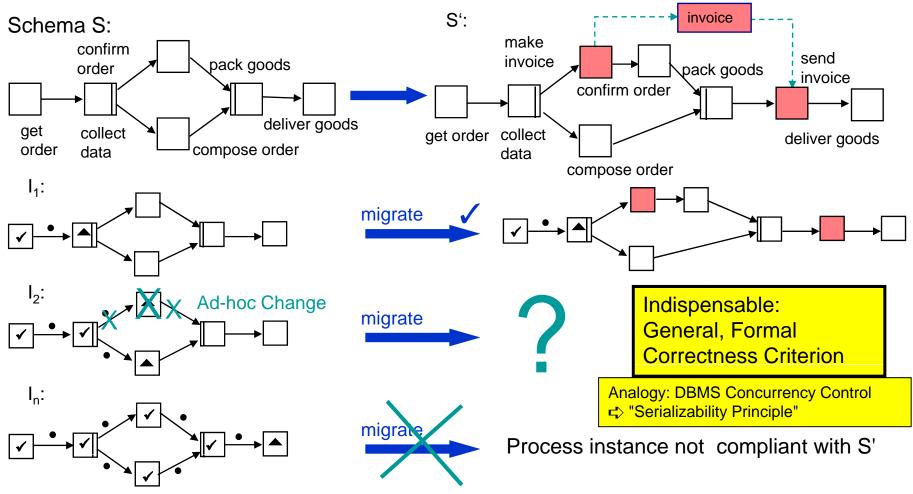
Adaptive PAIS: Enabling Process Evolution

Fundamental Question: Under Which Conditions is a Migration Possible?

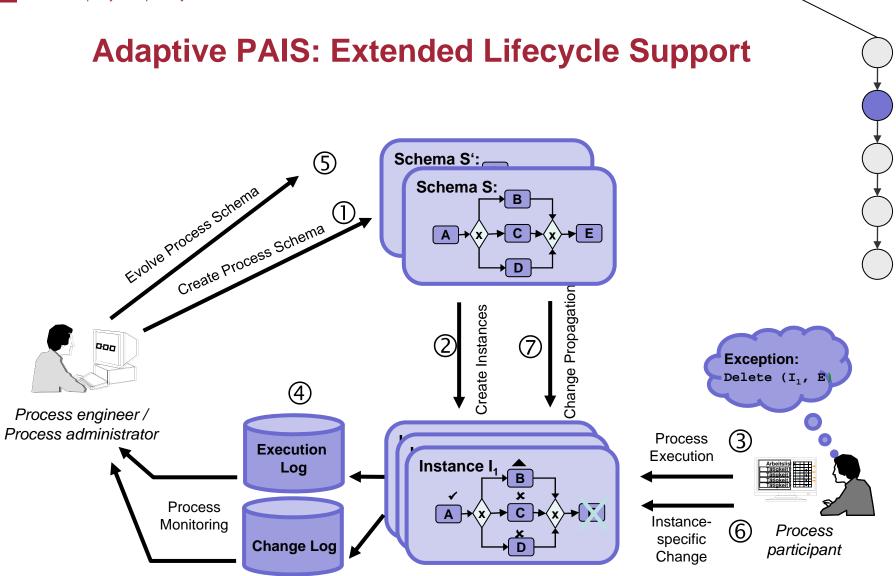


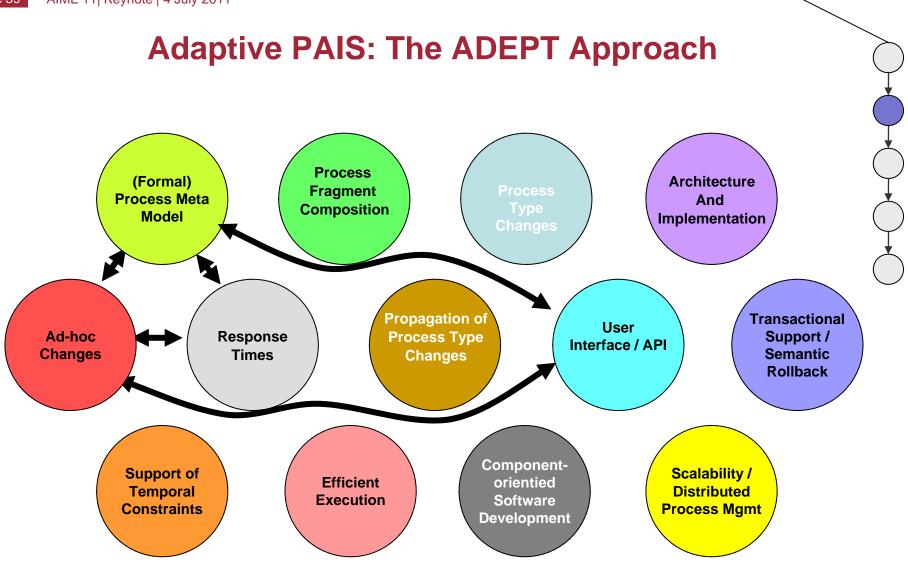
Adaptive PAIS: Enabling Process Evolution

Increased Complexity: Interplay with Ad-hoc Changes

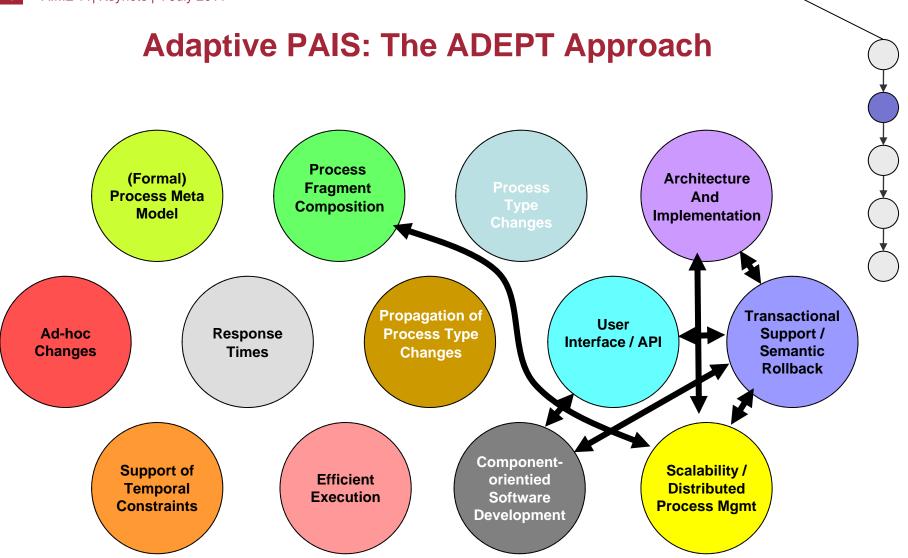


Rinderle, S., Reichert, M., Dadam, P. (2004) *Disjoint and Overlapping Process Changes:* Challenges, Solutions, Applications. In: Proc. CooplS'04, Agia Napa, LNCS 3290,, pp. 101-121

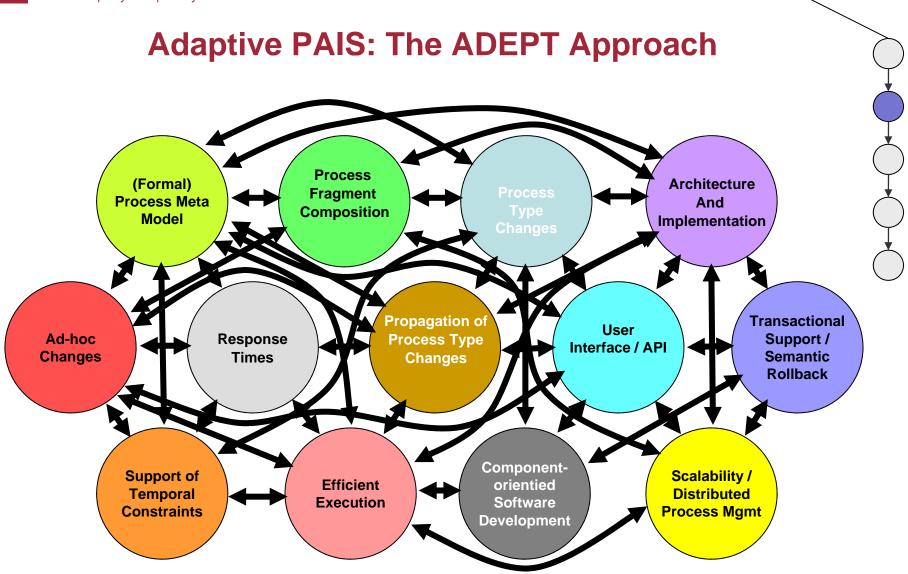




Dadam, Peter and Reichert, Manfred (2009) *The ADEPT Project: A Decade of Research and Development for Robust and Flexible Process Support - Challenges and Achievements.* Computer Science - Research and Development, Vol. 23, No. 2, pp. 81-97.



Dadam, Peter and Reichert, Manfred (2009) *The ADEPT Project: A Decade of Research and Development for Robust and Flexible Process Support - Challenges and Achievements.* Computer Science - Research and Development, Vol. 23, No. 2, pp. 81-97.



Dadam, Peter and Reichert, Manfred (2009) *The ADEPT Project: A Decade of Research and Development for Robust and Flexible Process Support - Challenges and Achievements.* Computer Science - Research and Development, Vol. 23, No. 2, pp. 81-97.

Adaptive PAIS: The ADEPT Approach

ADEPT:

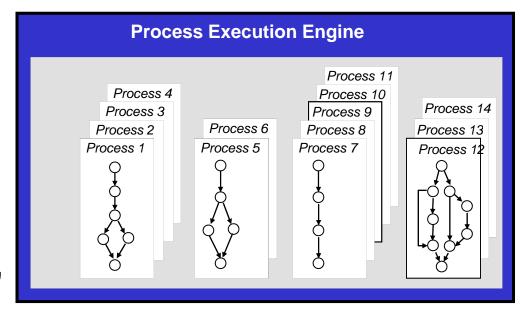
Individually adaptable Process Instances



Process Instance

=

(individual) "Process Program"



Adaptive PAIS: The ADEPT Approach

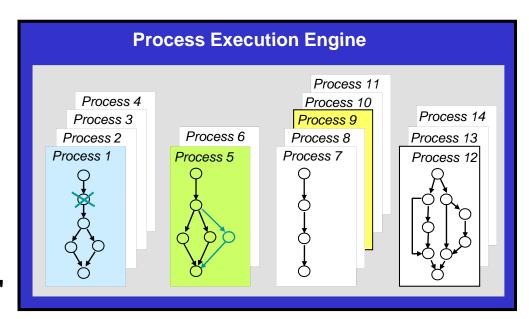
ADEPT:

Individually adaptable Process Instances



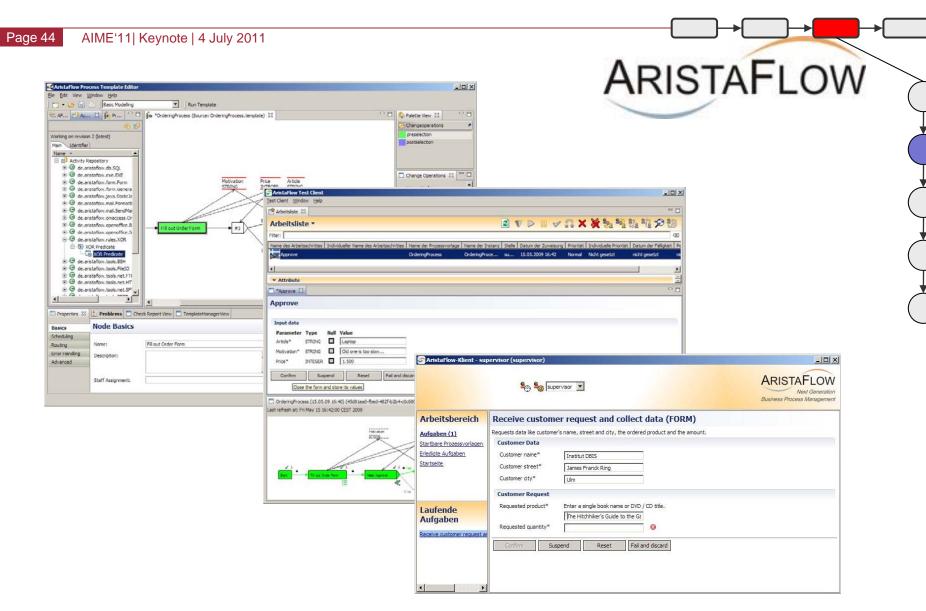
Process Instance

(individual) "Process Program"



Achievements:

- Formal process meta model (expressive + restricted enough)
- Formal Criteria for Change Correctness (incl. "Theorems & Proofs")
- Efficient, build-in consistency checks ("no bad surprise")
- Support of a high number of change patterns
- API for accomplishing ad-hoc changes



Reichert, M. et al. (2009) *Enabling Poka-Yoke Workflows with the AristaFlow BPM Suite.* In: CEUR Workshop Proceedings 489.

IT Support for Clinical Pathways

domain specific knowledge

clinical guidelines

require consensus among medical experts (and scientific evidence)

site specific knowledge

clinical pathways

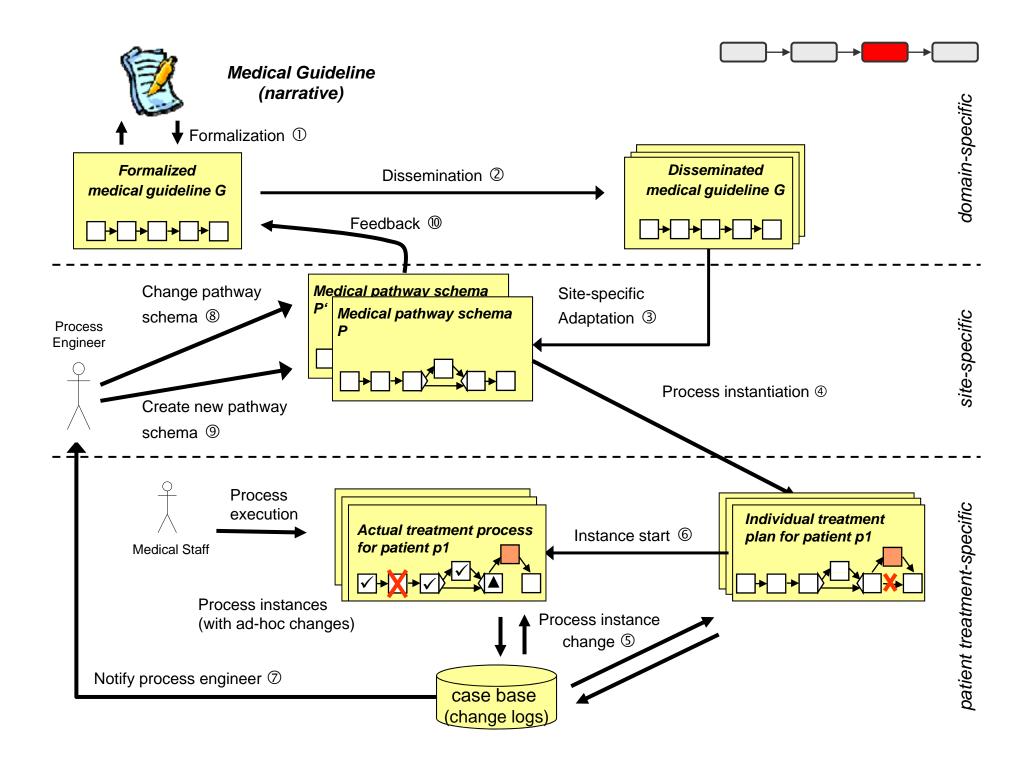
require consensus
among cooperating
healthcare professionals

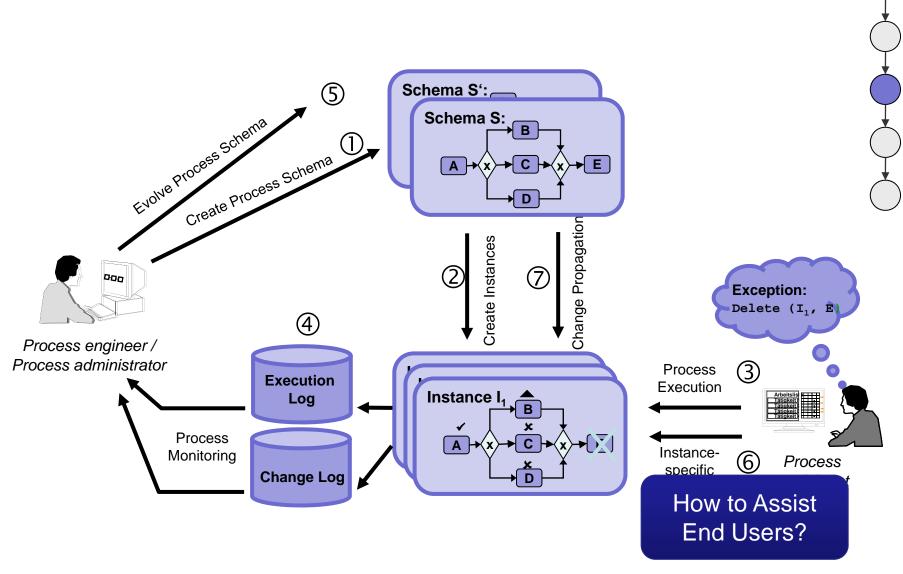
individual patient treatment plan

may deviate from medical pathway

actual patient treatment process

may deviate from individual treatment plan



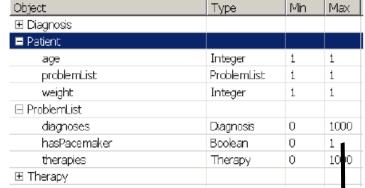


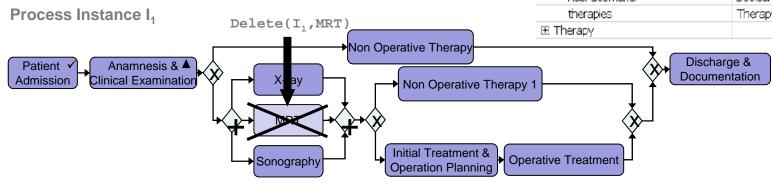
The ProCycle (= ADEPT + CBRFlow) Approach for Assisting Users in Defining and Reusing Changes:

- Annotate ad-hoc changes with information about their reasons
- ☐ Support users in retrieving past ad-hoc changes applied in similar problem context
- ☐ Assist users in reusing (i.e., re-applying) a past ad-hoc change for a particular process instance when coping with an exceptional situation









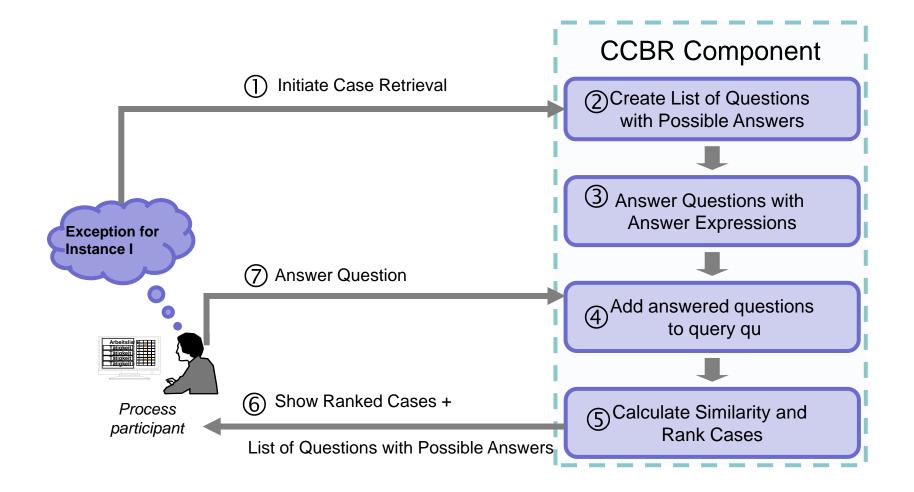
```
pd<sub>c1</sub> = The treatment of cruciate ruptures routinely includes a magnetic resonance

tomography (MRT), an X-ray and a sonography. However, for a particular

patient the MRT may have to be skipped as the respective patient has a cardiac pacemaker.

sol<sub>c1</sub> = <Delete(S<sub>I</sub>, MRT)>
qaSet<sub>c1</sub> = {(Does the patient have a cardiac pacemaker?,
patient problemList hasPacemaker = 'Yes')}
```

Semi-automated retrieval of similar instance deviations using conversational case-based reasoning (CCBR)



Retrieving similar instance deviations based on the actual context

3

 $qaSet_{c_2} = \{(Does the patient have fluid in the$

2

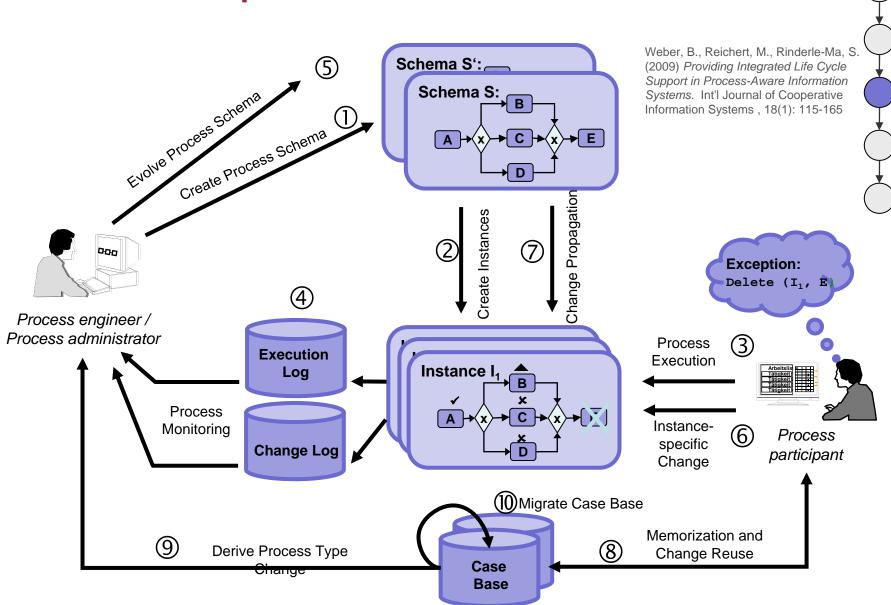
List of Questions with Possible Answers			
Question	Possible Answers		
Does the patient have a cardiac pacemaker?	{Patient.problemList.hasPacemaker = ,Yes', OTHERANSWER}		
Does the patient have fluid in the knee?	{,A significant amount', OTHERANSWER}		
Does the patient have an acute effusion of the	{,Yes', OTHERANSWER}		

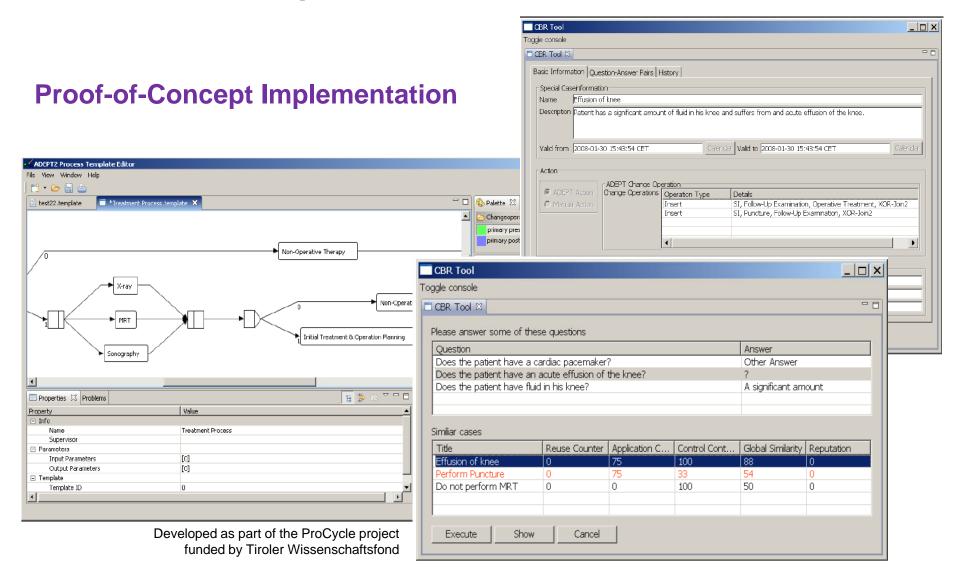
Query qu'			
Question	Given Answer		
Does the patient have a cardiac pacemaker?	OTHERANSWER		
Does the patient have fluid in the knee?	,A significant amount		

List of Retrieved Cases for Query qu'			
Case	Appl. Context Similarity		
c2	36%		
c1	0%		

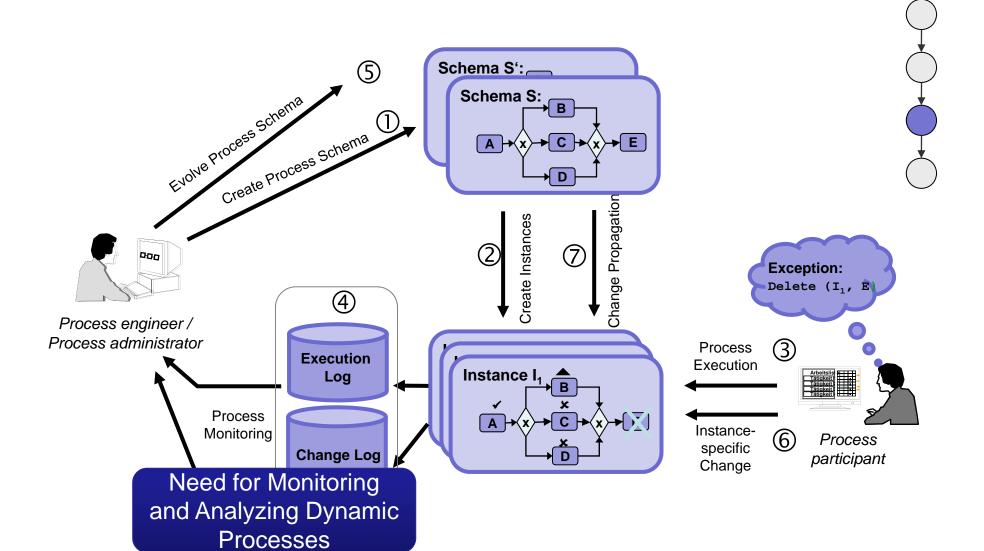






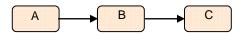


Adaptive PAIS: Analyzing Process Logs



Adaptive PAIS: Analyzing Process Logs

Original Schema S



Instance	4711		
Activity	Event	User	Timestamp
	Instance Started	Garry	2007/09/08 15:00
A	Started	Garry	2007/09/08 15:30
A	Completed	Garry	2007/09/08 15:45
В	Started	Helen	2007/09/10 11:00
X	Started	Fritz	2007/09/11 09:01

Change Log Instance 4711 on Schema S

Change TX Applied Changes : User:Timestamp

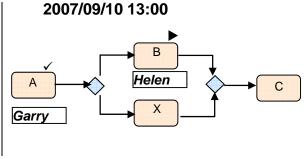
001 InsertFragment[S;X,A,C]:Helen:2007/09/10 12:02

002 ReplaceFragment(S;C,Z):Jim:2007/09/11 09:31

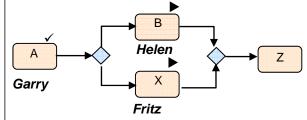
Process Instance 4711

2007/09/10 11:00

A B C Garry Helen



2007/09/11 10:00

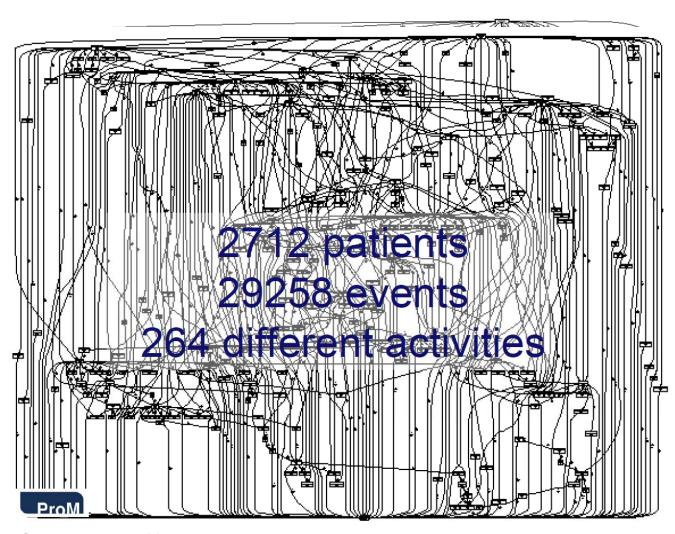


Process Mining Mirrors Reality!



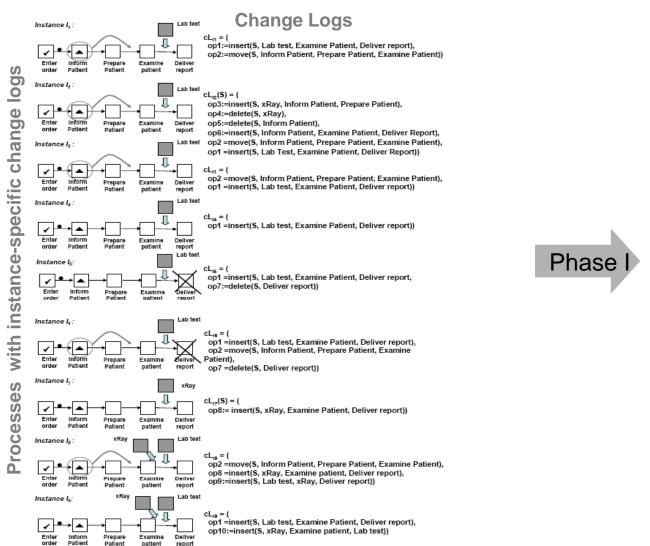


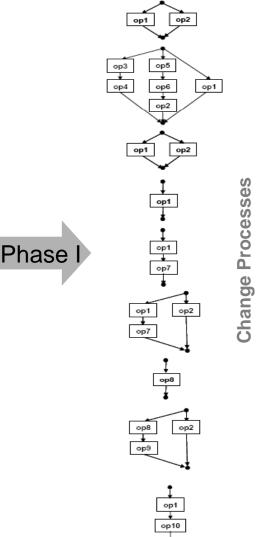
Analyzing Process Logs: Focussing on Execution Logs



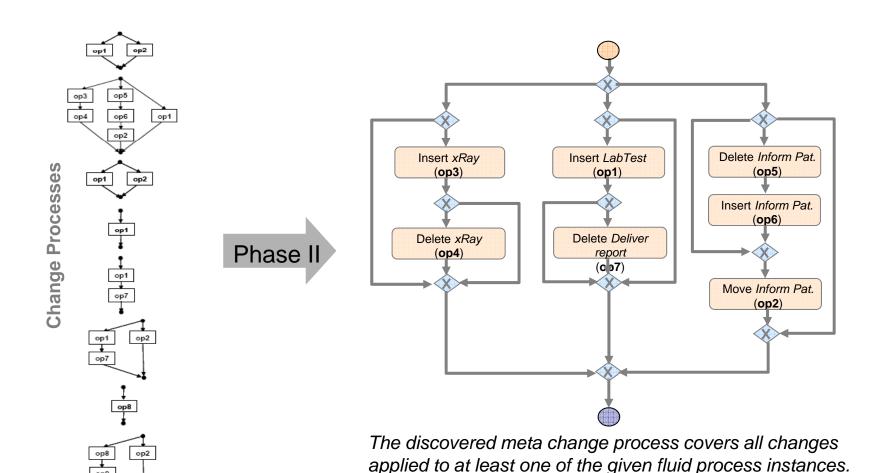
Source: www.processmining.org

Analyzing Process Logs: Applying Process Mining Techniques to Change Logs



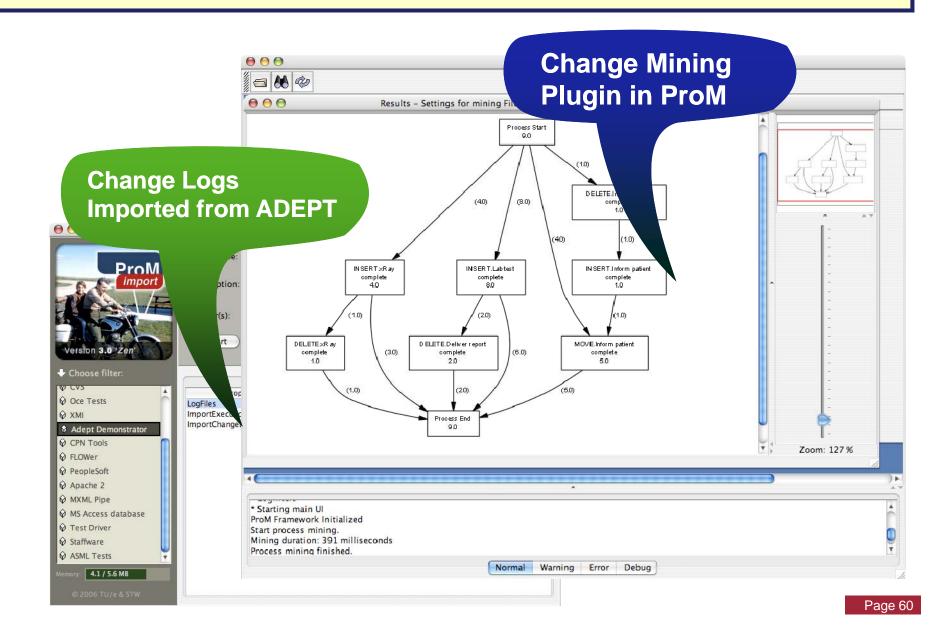


Analyzing Process Logs: Applying Process Mining Techniques to Change Logs

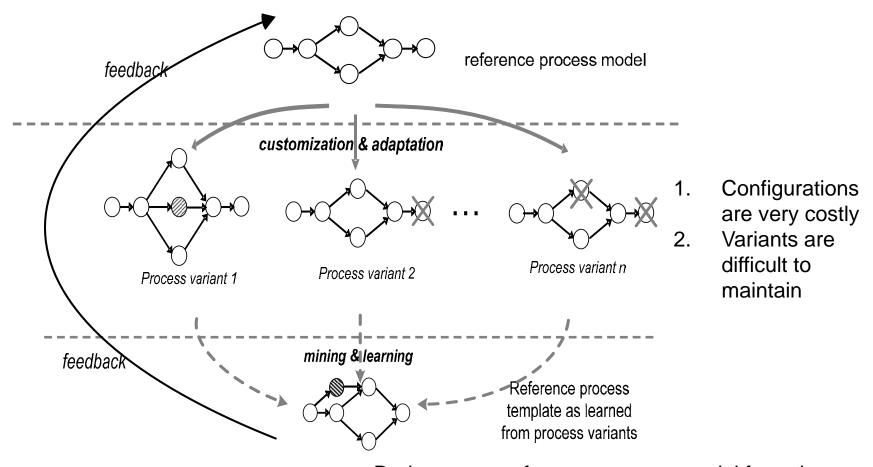


Günther, C.W.; Rinderle, S.; Reichert, M.; van der Aalst, W.M.P.; Recker, J. (2008): Using Process Mining to Learn from Process Changes in Evolutionary Systems. *Int'l J of Business Process Integration and Management*, 3(1):61-78

Analyzing Process Logs: Applying Process Mining Techniques to Change Logs



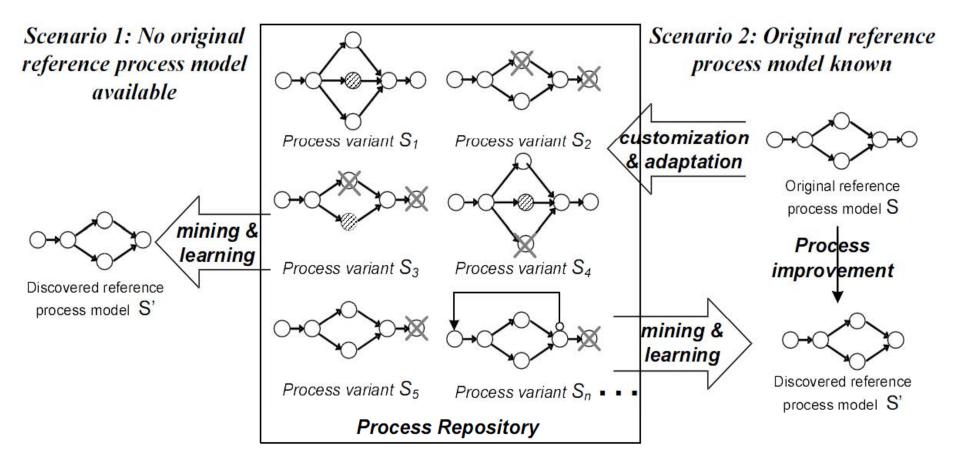
Analyzing Process Logs: Process Variants Mining



Li, C., Reichert, M., Wombacher, A. (2011) *Mining Business Process Variants: Challenges, Scenarios, Algorithms.* Data & Knowledge Engineering, Vol. 70, No. 5, pp. 409-434,

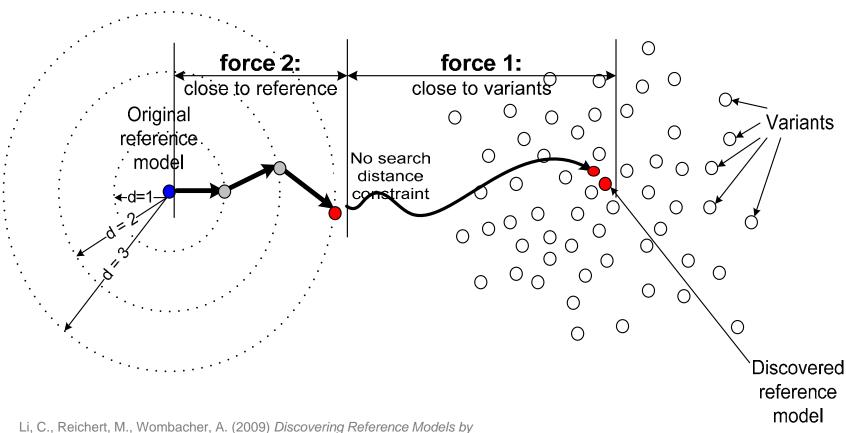
Derive a new reference process model from the the variants such that *less adaptations are needed in future!*

Analyzing Process Logs: Process Variants Mining (Scenarios)



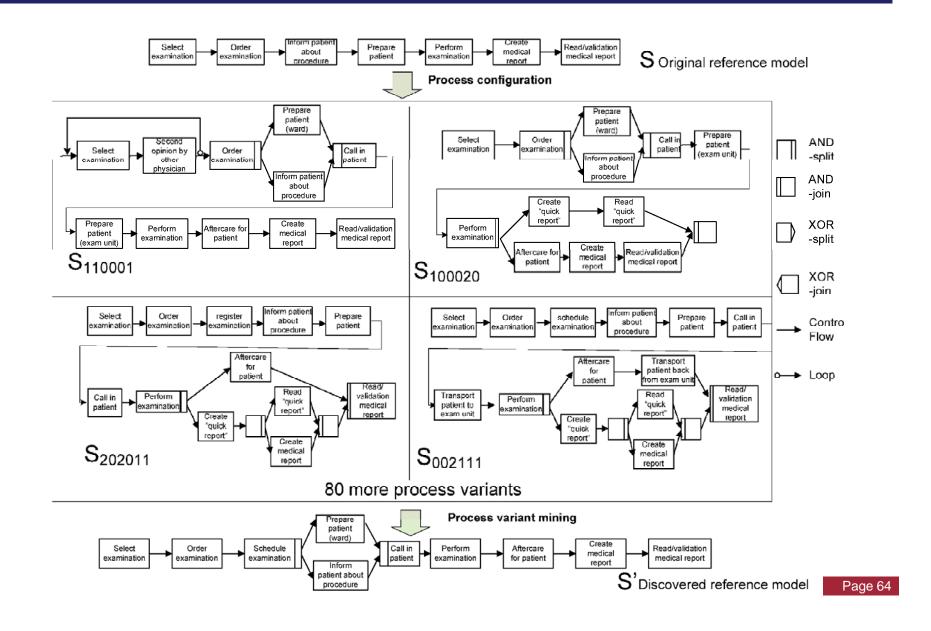
Goal: Discover a (new) reference process model which requires less configuration efforts

Analyzing Process Logs: Process Variants Mining (A Heuristics Mining Technique)



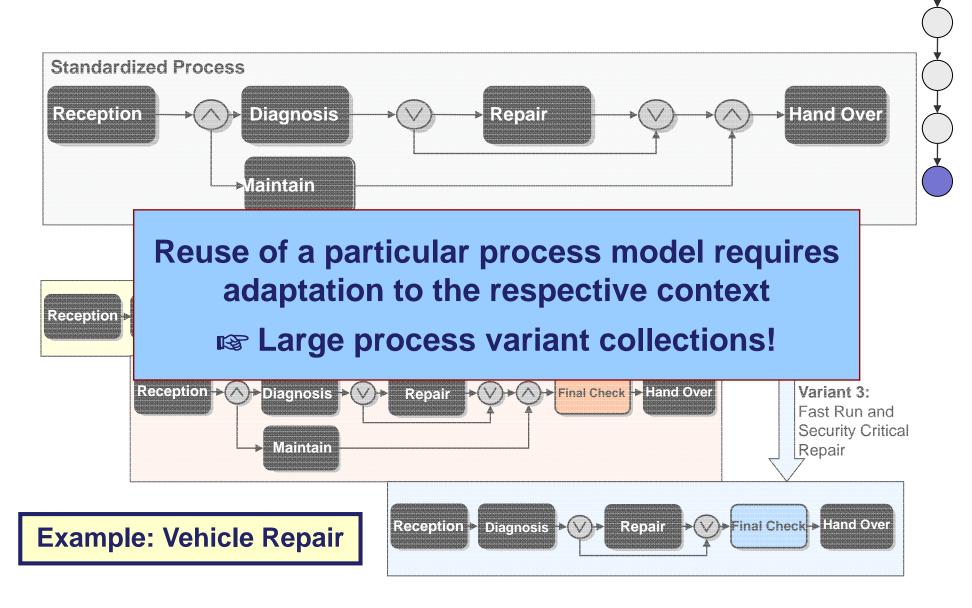
Li, C., Reichert, M., Wombacher, A. (2009) *Discovering Reference Models by Mining Process Variants Using a Heuristic Approach.* In: 7th Int'l Conference on Business Process Management (BPM'09), LNCS 5701, pp. 344-362

Analyzing Process Logs: Process Variants Mining (Healthcare Case Study)

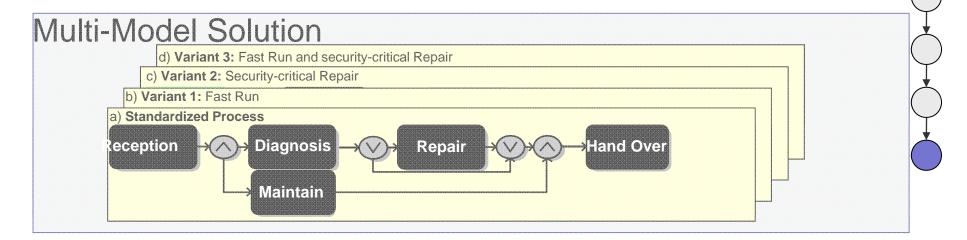


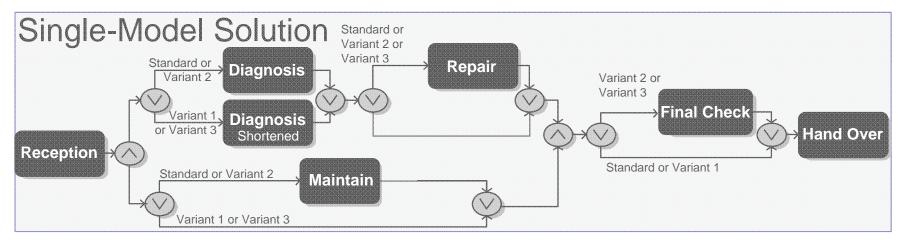
Adaptive PAIS: Configuring Process Models (5) Schema S': Schema S: Evolve Process Schema Need for Create Process Schema **Process Variant →** E Configuration D Change Propagation Create Instances 7 000 **Exception:** Delete (I, E) 4 Process engineer / Process administrator **Process** (3) **Execution** Execution Instance I Log **Process** Instance-Monitoring 6 **Process** specific **Change Log** participant Change

Adaptive PAIS: Configuring Process Models



Adaptive PAIS: Configuring Process Models

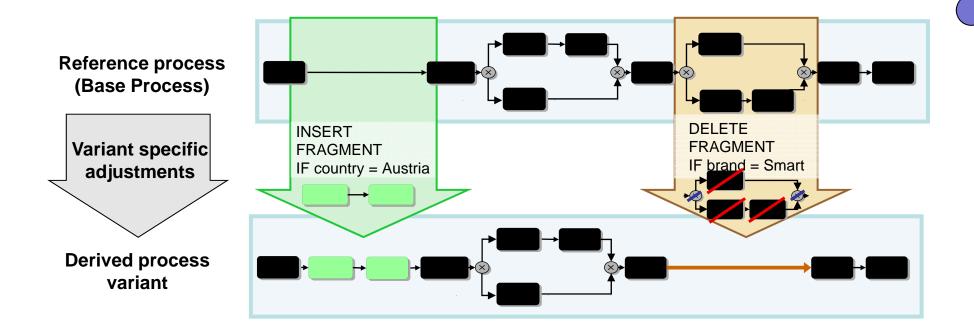




Adaptive PAIS: Configuring Process Models

General observation:

Process variants can be created by adapting a common reference model

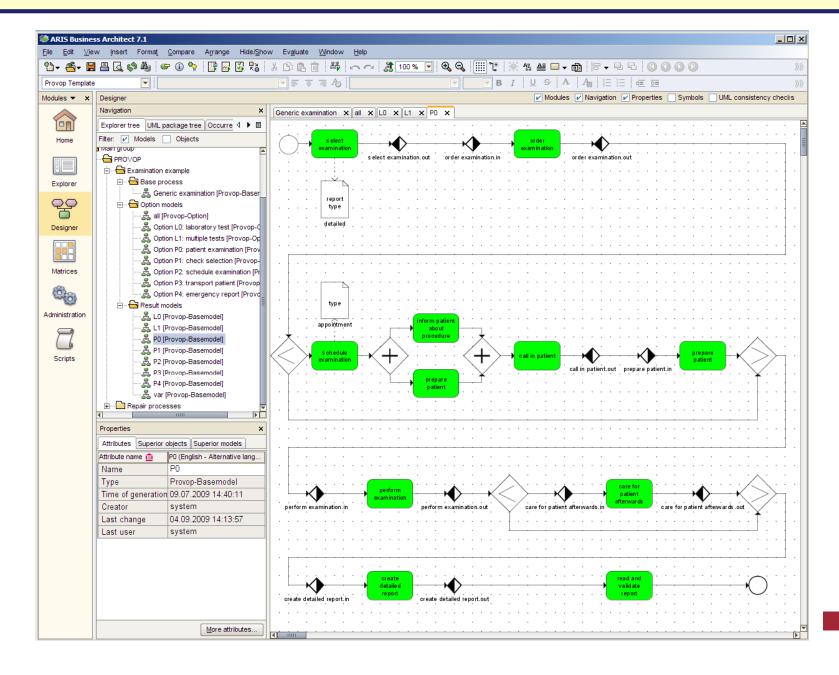


Adaptive PAIS: Configuring Process Models Ref. Process Options Option n **Option 1** Modeling Process-Move ... Modify Delete ... Insert Choosing and applying Context options **Process** Configuration family of Variants create instance of a specific process variant **Workflow Model** Execution **Process** Word Manager Employee Manager GM

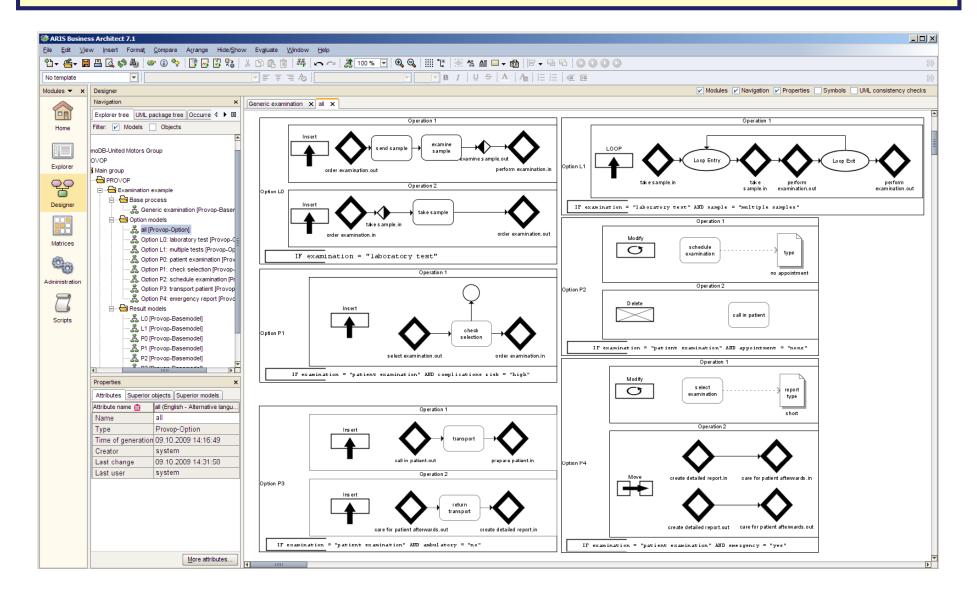
The Provop Approach

Hallerbach, A., Bauer, T., Reichert, M. (2010) *Capturing Variability in Business Process Models: The Provop Approach.* Journal of Software Maintenance and Evolution: Research and Practice, 22(6-7): 519-546

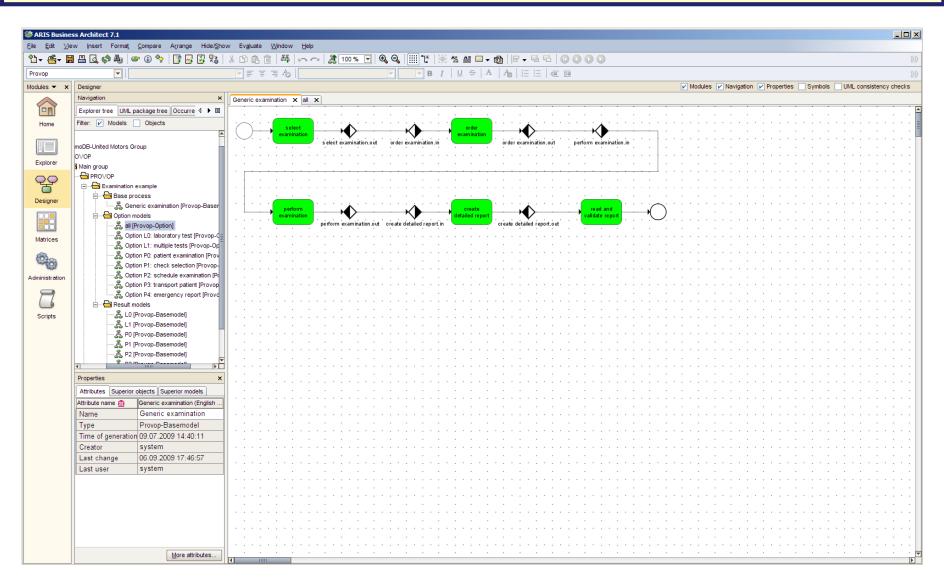
Configuring Process Models: The Provop Approach



Configuring Process Models: The Provop Approach



Configuring Process Models: The Provop Approach



Configuring Process Models: The Spot Approach



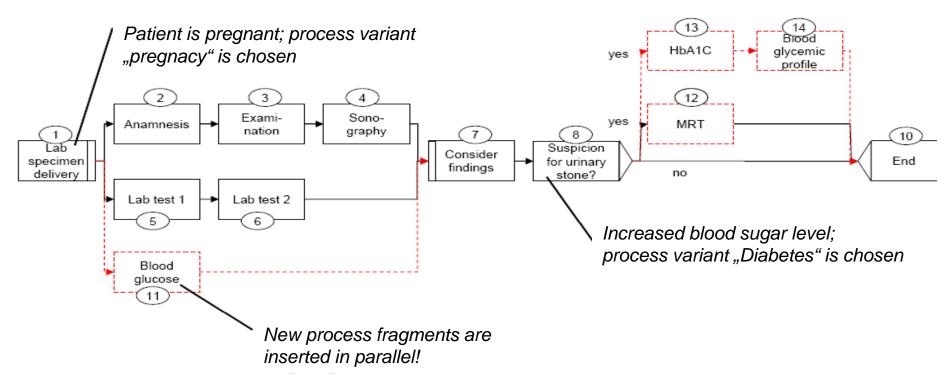




Confugarable Pathways

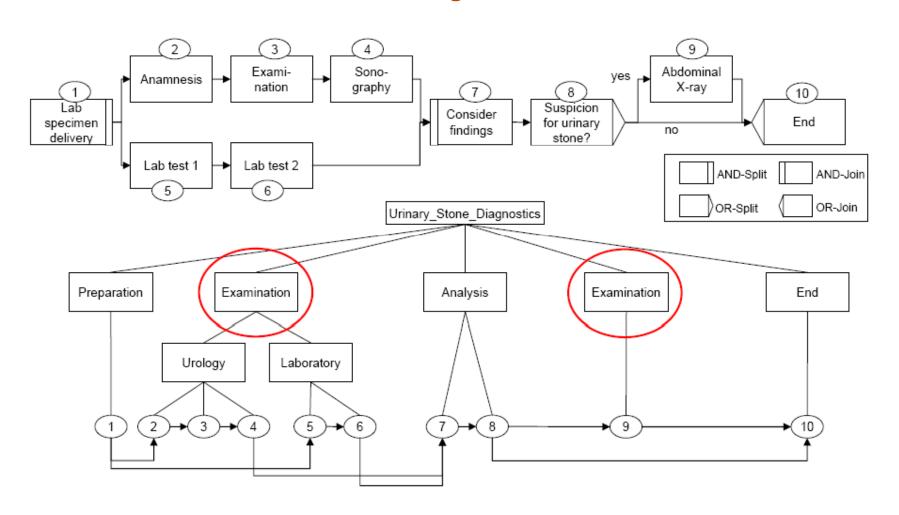
Partners:

J. Neuhaus, C. Reuter Fraunhoferinstitut Dortmund



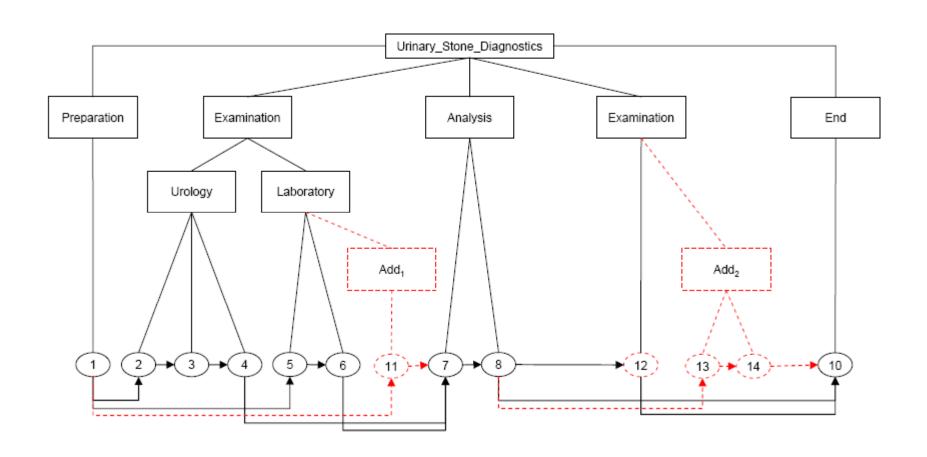
Configuring Process Models: The Spot Approach

The Process Structure Tree - Providing abstraction to end users

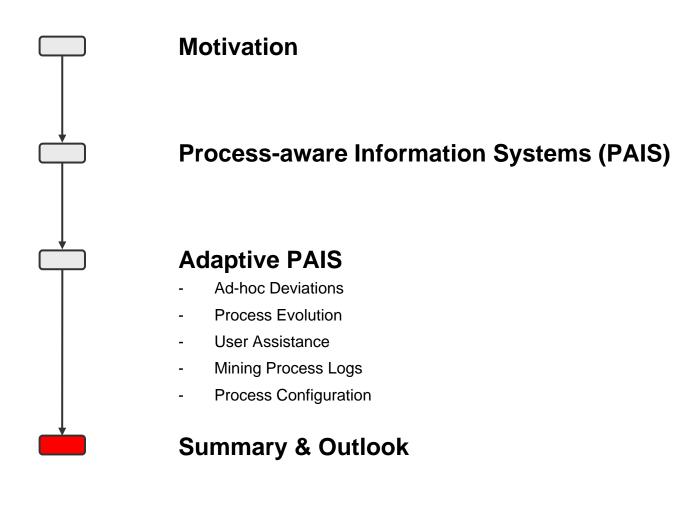


Configuring Process Models: The Spot Approach

The *Process Structure Tree* representing the patient-specific pathway!



Overview





High potential for Process Management Technology when being adapted to the socio-technical context of HC organizations

Outlook: Integrating PAIS with Mobile Devices







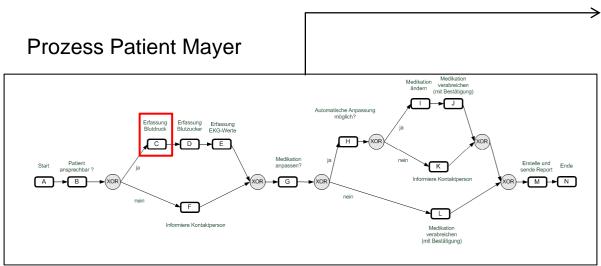






Outlook: Integrating PAIS with Mobile Devices

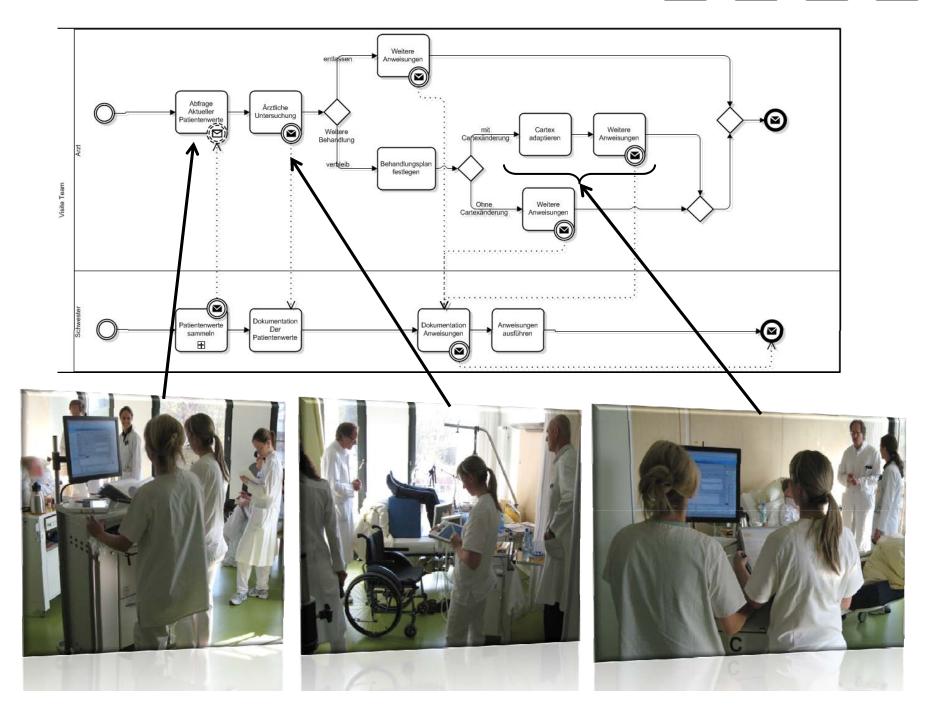
PDA #2



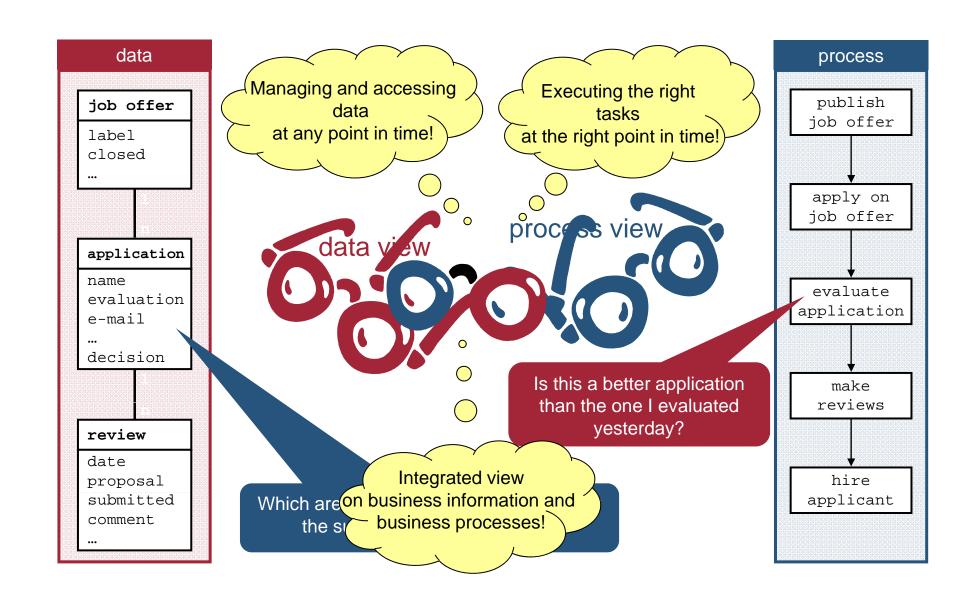
Pryss, Rüdiger and Tiedeken, Julian and Kreher, Ulrich and Reichert, Manfred (2010) *Towards Flexible Process Support on Mobile Devices*. In: Proc. CAiSE'10 Forum - Information Systems Evolution, Hammamet, Tunisia, June 2010, LNBIP 72, Springer, pp. 150-165







Outlook: Integrated View on Processes and Data



DBIS EXPERIENCE processes for a flex ible world

References to DBIS Projects

ADEPT2/AristaFlow Next Generation Prozess Management Technology)

SeaFlows Semantic Constraints in Prozess Management Systems

MinAdept Mining Prozess Variants & Prozess Changes

Provop Prozess Variants by Options

MARPLE Managing Robust Mobile Prozesses in a Complex World

ENPROSO Enhanced Prozess Management through Service Orientation

MoDe4SLA Monitoring Dependencies for SLAs

Proviado Prozess Visualization in the Automotive Domain

niPRO Personalized and Intelligent Prozess Portals

Corepro Data-driven Prozess Structures

PHILharmonic Flows Linking Prozesses, Humans and Information

Q-Advice Quality ADVisory Infrastructure for Collaborative Engineering

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