



Reed–Solomon Codes over Fields of Characteristic Zero

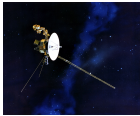
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ISIT 2019, Paris



July 10, 2019

Motivation


 \mathbb{F}_q
 \mathbb{C}

We know Reed–Solomon Codes over

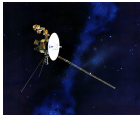
$$\begin{array}{c}
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 \cdot \\
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 \end{array}
 =
 \begin{array}{c}
 \boxed{b \in \mathbb{F}^n}
 \end{array}$$

- Elements are represented with a fixed number of bits
- Operations cost a constant number of bit operations
- Floating point operations are used
- Problem: Rounding errors

Aim

Reed–Solomon Codes over arbitrary fields with exact calculations during Encoding and Decoding.

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We know Reed–Solomon Codes over

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GRS Codes over arbitrary Fields

Definition: Generalization of Definition 5.1.1 in [Rot06]

Let K be a field and $k, n \in \mathbb{N}$ such that $k \leq n$. Choose $\alpha_1, \dots, \alpha_n \in K \setminus \{0\}$ to be distinct and $v_1, \dots, v_n \in K \setminus \{0\}$. We define the *generalized Reed–Solomon Code* $\mathcal{C}_{\text{GRS}} \subseteq K^n$ with parity check matrix

$$\mathbf{H}_{\text{Vandermonde}} = \begin{pmatrix} 1 & 1 & \dots & 1 \\ \alpha_1 & \alpha_2 & \dots & \alpha_n \\ \vdots & \vdots & \dots & \vdots \\ \alpha_1^{n-k-1} & \alpha_2^{n-k-1} & \dots & \alpha_n^{n-k-1} \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \\ \ddots \\ v_n \end{pmatrix}.$$

GRS Codes over arbitrary Fields

Generator Matrix

A generator matrix is of the form

$$\mathbf{G}_{\text{Vandermonde}} = \begin{pmatrix} 1 & 1 & \dots & 1 \\ \alpha_1 & \alpha_2 & \dots & \alpha_n \\ \vdots & \vdots & \dots & \vdots \\ \alpha_1^{k-1} & \alpha_2^{k-1} & \dots & \alpha_n^{k-1} \end{pmatrix} \begin{pmatrix} v'_1 & & & \\ & v'_2 & & \\ & & \ddots & \\ & & & v'_n \end{pmatrix},$$

where the $v'_i \in K \setminus \{0\}$, given by the following linear system of equations:

$$\sum_{i=1}^n \alpha_i^r v_i v'_i = 0 \quad \forall r = 0, \dots, n-2.$$

Coefficient Growth

over Fields of Characteristic Zero

If the underlying field is of characteristic zero the coefficients during Encoding and Decoding will grow.

Example: Euclidean Algorithm

$$f_0, f_1 \in \mathbb{F}_{1789}[x]$$

$$g_0, g_1 \in \mathbb{Q}[t]$$

$$f_0(x) = x^{10} - 3$$

$$g_0(t) = t^{10} - 3$$

$$f_1(x) = 3x^9 - 2$$

$$g_1(t) = 3t^9 - 2$$

Coefficient Growth

over Fields of Characteristic Zero

Example: Euclidean Algorithm - Step 1

$$(x^{10} - 3)/(3x^9 - 2)$$

$$= 1193x$$

$$\text{Remainder: } 597x + 1786$$

$$(t^{10} - 3)/(3t^9 - 2)$$

$$= \frac{1}{3}t$$

$$\text{Remainder: } \frac{2}{3}t - 3$$

Coefficient Growth

over Fields of Characteristic Zero

Example: Euclidean Algorithm - Step 2

$$\begin{aligned}
 &(3x^9 - 2)/(597x + 1786) \\
 &= 899x^8 + 1362x^7 + 762x^6 \\
 &+ 1640x^5 + 224x^4 + 1008x^3 \\
 &+ 958x^2 + 733x + 615
 \end{aligned}$$

Remainder: 54

$$\begin{aligned}
 &(3t^9 - 2)/(\frac{2}{3}t - 3) \\
 &= \frac{9}{2}t^8 + \frac{81}{4}t^7 + \frac{729}{8}t^6 + \frac{6561}{16}t^5 \\
 &+ \frac{59049}{32}t^4 + \frac{531441}{64}t^3 \\
 &+ \frac{4782969}{128}t^2 + \frac{43046721}{256}t \\
 &+ \frac{387420489}{512}
 \end{aligned}$$

Remainder: $\frac{1162260443}{512}$

Coefficient Growth

over Fields of Characteristic Zero

Example: Euclidean Algorithm - Step 3

$$(597x + 1786)/54$$

$$= 508x + 1292$$

Remainder: 0

$$(\frac{2}{3}t - 3)/\frac{1162260443}{512}$$

$$= \frac{1024}{3486781329}t - \frac{1536}{1162260443}$$

Remainder: 0

Question:

Is it possible to derive bounds for the growth of the coefficients during Encoding and Decoding?

→ Solution with the help of already known results from computer algebra.

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over Fields of Characteristic Zero

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Is it possible to derive bounds for the growth of the coefficients during Encoding and Decoding?

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The bit width - a Measure of Coefficient Growth NACHRICHTENTECHNIK Universität Ulm

We define the *bit width* $\lambda(a)$: (Generalization of [vzGG13] p. 142)

- $a \in \mathbb{Z}$:

$$\lambda(a) := \begin{cases} \lfloor \log_2(|a|) \rfloor + 1, & \text{if } a \neq 0 \\ 0, & \text{if } a = 0 \end{cases}$$

- $a = \frac{b}{c} \in \mathbb{Q}$ with $b, c \in \mathbb{Z}$, $c \neq 0$, and $\gcd(b, c) = 1$:

$$\lambda(a) := \max\{\lambda(b), \lambda(c)\}.$$

- $a(x) = \sum_{i=0}^r \frac{a_i}{b} \cdot x^i \in \mathbb{Q}[x]$ with $a_i \in \mathbb{Z}$ and $b \in \mathbb{N} \setminus \{0\}$ such that $\gcd(a_0, \dots, a_r, b) = 1$:

$$\lambda(a(x)) := \max\{\lambda(a_0), \dots, \lambda(a_r), \lambda(b)\}.$$

- NEW: $A = (a_{ij}) \in \mathbb{Q}^{k \times r}$:

$$\lambda(A) = \max\{\lambda(a_{ij}) : i = 1, \dots, k \text{ and } j = 1, \dots, r\}.$$

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The bit width - a Measure of Coefficient Growth NACHRICHTENTECHNIK Universität Ulm

Examples

$$(i) \lambda(127) = \lfloor \log_2(|127|) \rfloor + 1 = 7$$

$$(ii) \lambda\left(\frac{3}{64}\right) = \max\left\{ \underbrace{\lambda(3)}_{\lfloor \log_2(|3|) \rfloor + 1}, \underbrace{\lambda(64)}_{\lfloor \log_2(|64|) \rfloor + 1} \right\} = \max\{1, 7\} = 7$$

$$\begin{aligned} (iii) \lambda\left(2x^3 + \frac{2}{5}x^2 + \frac{1}{8}\right) &= \lambda\left(\frac{80x^3 + 16x^2 + 5}{40}\right) \\ &= \max\{\lambda(80), \lambda(16), \lambda(5), \lambda(40)\} \\ &= \lambda(80) = \lfloor \log_2(|80|) \rfloor + 1 = 7 \end{aligned}$$

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Coefficient Growth in Encoding

over the Rational Numbers

Bound for the bit width of the codeword

Let \mathbf{c} be an RS codeword generated by encoding $\mathbf{u} \in \mathbb{Q}^k$ with generator matrix $\mathbf{G} \in \mathbb{Q}^{k \times n}$. Then

$$\lambda(\mathbf{c}) \leq k(\lambda(\mathbf{u}) + \lambda(\mathbf{G}) + 1).$$

Generator Matrix in systematic form [RS85, Theorem 1]

\mathcal{C}_{GRS} has a systematic generator matrix of the form

$\mathbf{G}_{\text{sys}} = (\mathbf{I}_{k \times k} \mid \mathbf{A})$, where $\mathbf{A} = \left(\frac{c_i d_j}{a_i - b_j} \right)$ is a Cauchy matrix with a_i, b_j, c_i, d_j dependent on α_i and $v'_i \quad \forall i = 1, \dots, k, \quad j = 1, \dots, n - k$.

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 $\rightarrow \lambda(\mathbf{G})$ small

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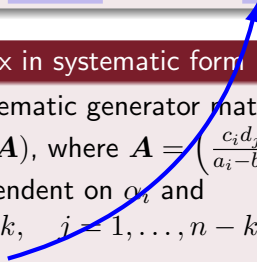
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→ $\lambda(\mathbf{G})$ small



Coefficient Growth in Encoding

over the Rational Numbers

Comparison of systematic and non-systematic Encoding

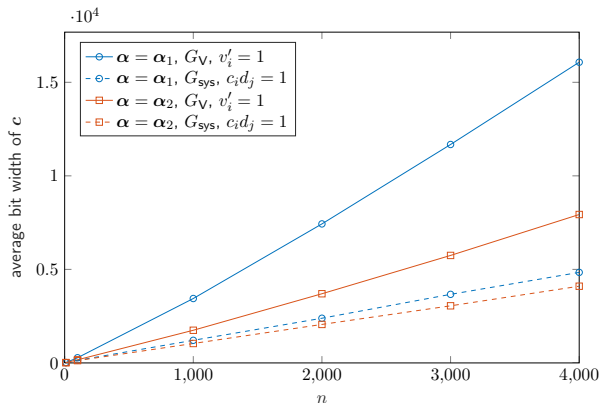
For a special choice of \mathbf{v}' we get $\lambda(\mathbf{G}_{\text{sys}}) < \lambda(\mathbf{G}_{\text{Vandermonde}})$

Upper Bounds for the bit width of the Generatormatrix

	$\lambda(\mathbf{G}_{\text{Vandermonde}})$	$\lambda(\mathbf{G}_{\text{sys}})$
general	$(k-1)\lambda(\boldsymbol{\alpha}) + \lambda(\mathbf{v}')$	$2(2k-1)\lambda(\boldsymbol{\alpha}) + 2\lambda(\mathbf{v}') + 2k - 1$
$c_i d_j = 1$	$(k-1)(3\lambda(\boldsymbol{\alpha}) + 1)$	$2\lambda(\boldsymbol{\alpha}) + 1$

Coefficient Growth in Encoding

over the Rational Numbers



$$\alpha_1 := (1, 2, \dots, n)$$

$$\alpha_2 := (-1, 1, -\frac{1}{2}, \frac{1}{3}, -2, 2, \frac{1}{3}, -\frac{1}{3}, \frac{2}{3}, \dots)$$

We chose 1000 information words of bit width 100.

Rate: $k = \lfloor n/3 \rfloor$.

	$\lambda(G_{\text{Vandermonde}})$	$\lambda(G_{\text{sys}})$
general	$(k-1)\lambda(\alpha) + \lambda(v')$	$2(2k-1)\lambda(\alpha) + 2\lambda(v') + 2k - 1$
$c_i d_j = 1$	$(k-1)(3\lambda(\alpha) + 1)$	$2\lambda(\alpha) + 1$

Coefficient Growth in Decoding

over the Rational Numbers (Generalization of [Rot06] Chapter 6)

Algorithm 1: Decoding Algorithm for GRS Codes over \mathbb{Q}

Input: Received Word $\mathbf{r} = \mathbf{c} + \mathbf{e}$, where $\mathbf{c} \in \mathcal{C}_{\text{GRS}}$ and $\text{wt}_{\text{H}}(\mathbf{e}) \leq \lfloor \frac{n-k}{2} \rfloor$.

Output: Codeword \mathbf{c}

- 1 $\mathbf{s} \leftarrow \mathbf{r} \mathbf{H}_{\text{Vandermonde}}^{\top}$
 - 2 $S(x) \leftarrow \sum_{i=0}^{d-2} s_i x^i$
 - 3 $\xi \leftarrow \text{lcm}(\text{den}(s_0), \dots, \text{den}(s_{d-2}))$
 - 4 $(r_h, s_h, t_h) \leftarrow \text{EEA}(\xi \cdot x^{d-1}, \xi \cdot S(x), \frac{d-1}{2})$ // implementation of
[vzGG13, Algorithm 6.57]
 - 5 $c \leftarrow 0^{\text{th}}$ coefficient of t_h
 - 6 $(\Lambda(x), \Omega(x)) \leftarrow c^{-1} \cdot (t_h, \frac{r_h}{\xi})$
 - 7 $\Lambda'(x) \leftarrow \sum_{i>0} i \Lambda_i x^{i-1}$
 - 8 $e_i \leftarrow -\frac{\alpha_i}{v_i} \frac{\Omega(\alpha_i^{-1})}{\Lambda'(\alpha_i^{-1})}$ for $i = 1, \dots, n$
 - 9 **return** $\mathbf{c} = \mathbf{r} - \mathbf{e}$
-

Coefficient Growth in Decoding

over the Rational Numbers

Bit width of the Syndrome

Let $\mathbf{r} = \mathbf{c} + \mathbf{e}$ be a received word, $\mathbf{s} = \mathbf{r} \mathbf{H}_{\text{Vandermonde}}^{\top}$ the syndrome and $\tau = \text{wt}_{\text{H}}(\mathbf{e})$. For the bit width of \mathbf{s} we get the following bound:

$$\lambda(\mathbf{s}) \leq \tau(\lambda(\mathbf{e}) + \lambda(\mathbf{H}_{\text{Vandermonde}}) + 1).$$

Coefficient Growth in Decoding

over the Rational Numbers

Complexity of the Algorithm

- The complexity in bit operations is

$$O^{\sim}\left(d^7[\lambda(\mathbf{e}) + \lambda(\mathbf{H}_{\text{GRS}})]^2 + n^4[\lambda(\mathbf{c}) + \lambda(\mathbf{e}) + \lambda(\mathbf{H}_{\text{GRS}})]\right).$$

- If the error \mathbf{e} has bit width at most t , codeword \mathbf{c} at most t' and α is chosen such that $\lambda(\alpha) \in O(\log(n))$ (e.g., α_1 or α_2) then Algorithm 1 can be implemented in

$$O^{\sim}(\max\{n^7 t^2, n^9, n^4 t'\})$$

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Coefficient Growth in Decoding

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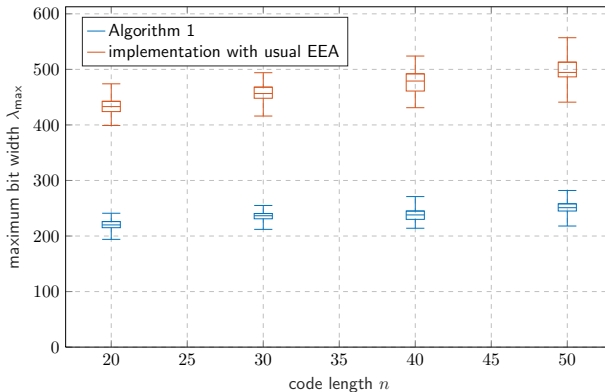
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Coefficient Growth in Decoding

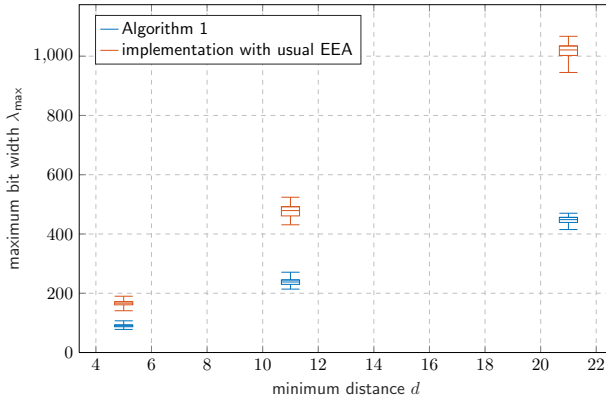
Comparison of the maximum bit width λ_{\max} for Decoding using different Variants of the EEA



We chose $\lambda(e) = 40$, $d = 11$
For each point 100 simulations were carried out

Coefficient Growth in Decoding

Comparison of the maximum bit width λ_{\max} for Decoding using different Variants of the EEA



We chose $\lambda(\mathbf{e}) = 40$ and $n = 40$
For each point 100 simulations were carried out

Conclusion

- Properties of Reed–Solomon Codes over \mathbb{F}_q also hold over arbitrary fields
- Over \mathbb{Q} there exist bounds for the coefficient growth during encoding
- Over \mathbb{Q} decoding up to half-the-minimum distance is possible in a polynomial number of bit operations

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Future Work

- Extension of the results to more classes of number fields, for instance $\mathbb{Q}[i]$.
- Consider other decoding algorithms, e.g. Berlekamp-Welch, Berlekamp-Massey or list decoding approaches
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- Determine the bit complexity of Decoding algorithms for Gabidulin codes over characteristic zero with the same methods.

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