

## Some Dimensionless Estimates

In order to use the generalized energy inequality effectively, we must bound the terms on the right

$$\iint (|u|^2 + 2p) u \cdot \nabla \phi$$

in terms of those on the left  $\int |u|^2 \phi$  and  $\iint |\nabla u|^2 \phi$ .

Bounds of this type play a fundamental role in the proofs of both propositions 1 and 2.

Let

$$Q_\beta = Q_\beta(0,0) = \{(x,t) : |x| < \beta, -\beta^2 < t < 0\},$$

and take a pair of measurable functions  $u$  and  $p$  defined on  $Q_\beta$ . We consider the following quantities for  $r < \beta$ :

$$A(r) = \sup_{-r^2 < t < 0} r^{-1} \int_{B_r \times \{t\}} |u|^2, \quad (1)$$

$$\delta(r) = r^{-1} \iint_{Q_r} |\nabla u|^2, \quad (2)$$

$$G(r) = r^{-2} \iint_{Q_r} |u|^3, \quad (3)$$

$$L(r) = r^{-2} \iint_{Q_r} |u||p - \bar{P}_r|, \quad (4)$$

$$K(r) = r^{-\frac{13}{4}} \int_{-r^2}^0 \left( \int_{B_r} |p| \right)^{5/4} dr \quad (5)$$

Here  $Q_r = Q_r(0,0)$ ,  $B_r = \{x : |x| < r\}$ , and

$$\bar{P}_r = \bar{P}_r(t) = \int_{B_r} p(y,t) dy.$$

Our estimates will be applied to a SWS of the NSEs. However, we shall only use the fact that the above

quantities are finite and

$$\Delta p = - \sum_{i,j=1}^3 \frac{\partial^2}{\partial x^i \partial x^j} u_i u_j = - \sum_{i,j=1}^3 \partial_i \partial_j u_i u_j$$

$$\nabla \cdot u = 0$$

on  $B_\beta \times \{t\}$  for a.e.  $t$ ,  $-\beta^2 < t < 0$ . Our goal is to derive estimates for  $G(r)$  and  $L(r)$  in terms of  $A$ ,  $\delta$  and  $K$ . (Note: each of (1)-(5) has been scaled to have dimension zero), (scale-invariant)

Lemma 1

$$G(r) \leq C A^{3/4}(r) (A^{3/4}(r) + \delta^{3/4}(r))$$

Proof. We use the Gagliardo-Nirenberg inequality with  $q=3$ ;  $\alpha = \frac{3}{4}(3-2) = \frac{3}{4}$

$$\int_{B_r} |u|^3 \leq C \left( \int_{B_r} |\nabla u|^2 \right)^{3/4} \left( \int_{B_r} |u|^2 \right)^{3/4} + \frac{C}{r^{3/2}} \left( \int_{B_r} |u|^2 \right)^{3/2}$$

Integrating in time and using Hölder inequality,

we obtain

$$\iint_{Q_r} |u|^3 \leq C \int_{-r^2}^0 \left( \int_{B_r} |\nabla u|^2 \right)^{3/4} \left( \int_{B_r} |u|^2 \right)^{3/4} dt + \frac{C}{r^{3/2}} \int_{-r^2}^0 \left( \int_{B_r} |u|^2 \right)^{3/2} dt$$

$$\stackrel{\frac{3}{4} + \frac{1}{4} = 1}{\text{Hölder}} \leq C \left( \iint_{Q_r} |\nabla u|^2 \right)^{3/4} \left[ \int_{-r^2}^0 \left( \int_{B_r} |u|^2 \right)^3 dt \right]^{\frac{1}{4}} + \frac{C}{r^{3/2}} \int_{-r^2}^0 \left( \int_{B_r} |u|^2 \right)^{3/2} dt \leq$$

$$\leq C \left( \iint_{Q_r} |\nabla u|^2 \right)^{\frac{3}{4}} [r^5 A^3(r)]^{\frac{1}{4}} + C r^2 A^{\frac{3}{2}}(r) =$$

$$= C A^{\frac{3}{4}}(r) (\delta^{\frac{3}{4}}(r) + A^{\frac{3}{4}}(r)) r^2 \text{ which gives}$$

the claimed inequality.  $\square$

Lemma 2. Let  $r \leq \frac{1}{2}\beta$  then

$$L(r) \leq C \left( \frac{r}{\beta} \right)^{7/5} A^{\frac{1}{5}}(r) G^{1/5}(r) K^{\frac{4}{5}}(\beta) +$$

$$+ C \left( \frac{r}{\rho} \right)^{\frac{5}{3}} G^{\frac{1}{3}}(r) G^{\frac{2}{3}}(\rho) + C G^{\frac{1}{3}}(r) G^{\frac{2}{3}}(2r) + \\ + C r^3 G^{\frac{1}{3}}(r) \sup_{-r^2 < t < 0} \int_{2r < |y| < \rho} \frac{|u|^2}{|y|^4} \quad (7)$$

Proof. We choose  $\phi \in C_c^\infty(\mathbb{R}^3)$  s.t.  $0 \leq \phi \leq 1$

$$(8) \quad \phi(y) = \begin{cases} 1 & \text{if } |y| \leq \frac{3}{4}\rho \\ 0 & \text{if } |y| \geq \rho \end{cases}, \quad |\nabla \phi| \leq C\rho^{-1}, \quad |\partial_i \partial_j \phi| \leq C\rho^{-2}, \\ C \neq C(\rho).$$

Now, recall,

$$\phi(x) p(x, t) = -\frac{1}{4\pi} \int_{\mathbb{R}^3} \frac{1}{|x-y|} \Delta(\phi p) dy = \\ = -\frac{1}{4\pi} \int_{\mathbb{R}^3} \frac{1}{|x-y|} [p \Delta \phi + 2(\nabla \phi \cdot \nabla p) + \phi \Delta p] dy = \\ = \frac{1}{4\pi} \int_{\mathbb{R}^3} \frac{1}{|x-y|} [\phi \partial_i \partial_j (u_i u_j) - 2(\nabla \phi \cdot \nabla p) - p \Delta \phi] dy$$

We now integrate by parts to remove any derivatives from  $p$  and  $u$  to give

$$(\phi p)(x) = \frac{1}{4\pi} \int \left[ \partial_i \partial_j \frac{1}{|x-y|} \right] \phi u_i u_j dy - \\ - \frac{1}{2\pi} \int \frac{x_i - y_i}{|x-y|^3} (\partial_i \phi) u_i u_j dy + \frac{1}{4\pi} \int \frac{1}{|x-y|} (\partial_i \partial_j \phi) u_i u_j dy \\ - \frac{1}{2\pi} \int \frac{x_i - y_i}{|x-y|^3} p \partial_i \phi dy + \frac{1}{4\pi} \int \frac{1}{|x-y|} p \Delta \phi dy$$

which we write as  $\phi p = \tilde{p} + P_3 + P_4$ .

We decompose  $\tilde{p}$  further as  $\tilde{p} = P_1 + P_2$

$$P_1 = \frac{1}{4\pi} \int_{|y|<2r} \left[ \partial_i \partial_j \frac{1}{|x-y|} \right] \cdot \phi u_i u_j dy$$

$$P_2 = \frac{1}{4\pi} \int_{|y|>2r} \left[ \partial_i \partial_j \frac{1}{|x-y|} \right] \cdot \phi u_i u_j dy$$

We note that  $(\phi P = P \text{ on } Q_{\frac{3r}{4}})$

$$|P - \bar{P}_r| = \left| \sum_{i=1}^4 P_i - \bar{P}_i \right| \leq \sum_{i=1}^4 |P_i - \bar{P}_i|, \quad \bar{P}_i = \frac{1}{B_r} \int_{B_r} P_i$$

and we estimate the four terms separately.

For  $P_1$ , recall that each operator

$$T_{ij}(\Psi) = \left( \partial_i \partial_j \frac{1}{4\pi|x|} \right) * \Psi$$

is bounded operator on  $L^q(\mathbb{R}^3)$  for  $1 < q < \infty$ , by Calderon-Zygmund theorem. Taking  $\Psi = \phi u_i u_j|_{B_{2r}}$  and  $q = \frac{3}{2}$ , we obtain

$$\|P_1\|_{L^{3/2}(B_r)} \leq \|P_1\|_{L^{3/2}(\mathbb{R}^3)} \stackrel{\text{C.T.}}{\leq} C \||u|^2\|_{L^{3/2}(B_{2r})} = C \left( \int_{B_{2r}} |u|^3 \right)^{\frac{2}{3}}$$

and so

$$\begin{aligned} \int_{B_r} |u| |P_1 - \bar{P}_i| &\leq C \|u\|_{L^3(B_r)} \|P_1 - \bar{P}_i\|_{L^{3/2}} \leq C \|u\|_{L^3(B_r)} \|P_1\|_{L^{3/2}(B_r)} \leq \\ &\leq C \left( \int_{B_r} |u|^3 \right)^{\frac{1}{3}} \left( \int_{B_{2r}} |u|^3 \right)^{\frac{2}{3}}. \end{aligned} \quad (9)$$

We bound the remaining terms by estimating their gradients and using the Mean Value Theorem.

First, for  $P_2$ , we have

$$|\nabla P_2| \leq C \int_{|y|>2r} \frac{1}{|x-y|^4} \phi |u|^2 dy \quad \text{and since } |x-y| > \frac{|y|}{2} \text{ for}$$

$|x| < r$  and  $|y| > 2r$ , we can bound

$$\|\nabla P_2\|_{L^\infty(B_r)} \leq 16c \int_{2r < |y| < s} \frac{|u|^2}{|y|^4} dy \quad (\text{Note: } \text{supp } \phi \subset B_s, |\phi| \leq 1)$$

and so

$$(10) \quad \begin{aligned} \int_{B_r} |u| |P_2 - \bar{P}_2| dy &\leq \|u\|_{L^3(B_r)} \|P_i - \bar{P}_i\|_{L^{3/2}} \leq C r^2 \left( \int_{B_r} |u|^3 \right)^{\frac{1}{3}} \|P_i - \bar{P}_i\|_{L^\infty(B_r)} \\ &\leq C r^3 \|u\|_{L^3(B_r)} \|\nabla P_i\|_{L^\infty(B_r)} \leq C r^3 \|u\|_{L^3(B_r)} \int_{2r < |y| < s} \frac{|u|^2}{|y|^4} dy \end{aligned}$$

We estimate  $P_3$  similarly. Dealing with

$$P_{3,1} := -\frac{1}{2\pi} \int \frac{x_j - y_j}{|x-y|^3} \partial_i \phi u_i u_j dy$$

we have

$$\begin{aligned} |\nabla P_{3,1}| &\leq C \sum_{j=1}^3 \int \frac{1}{|x-y|^3} |\partial_i \phi| |u_i u_j| dy \stackrel{\partial_i \phi = 0 \text{ for } |y| \leq \frac{3}{4}s}{\leq} \\ &\leq C \sum_{j=1}^3 \int_{\frac{3}{4}s \leq |y| \leq s} \frac{1}{|x-y|^3} |\partial_i \phi| |u_i u_j| dy \stackrel{\leq \frac{C}{s}}{\leq} \end{aligned}$$

$$|x| \leq r \leq \frac{s}{2} \text{ and } |y| \geq \frac{3}{4}s \Rightarrow |x-y| \geq \frac{s}{4}$$

$$\text{So } \|\nabla P_{3,1}\|_{L^\infty(B_r)} \leq C s^{-4} \int_{B_s} |u|^2 dy$$

Similarly, we obtain

$$\|\nabla P_{3,2}\|_{L^\infty(B_r)} \leq C s^{-4} \int_{B_s} |u|^2 dy$$

$$\Rightarrow \|\nabla P_3\|_{L^\infty(B_r)} \leq C s^{-4} \int_{B_s} |u|^2 dy$$

Hence,

$$(11) \quad \begin{aligned} \int_{B_r} |u| |P_3 - \bar{P}_3| dy &\leq C \frac{r^3}{s^4} \|u\|_{L^3(B_r)} \|\nabla P_3\|_{L^\infty(B_r)} \quad \text{supp } \|\nabla P_3\|_{L^\infty(B_r)} \\ &\leq C \frac{r^3}{s^4} \|u\|_{L^3(B_r)} \int_{B_s} |u|^2 dy \stackrel{\frac{3+1}{3-1} \text{ Holder}}{\leq} C \frac{r^3}{s^3} \|u\|_{L^3(B_r)} \|u\|_{L^3(B_s)}^2 \end{aligned}$$

Similar arguments show that

$$\|\nabla P_q(x)\|_{L^\infty(B_r)} \leq C \frac{r^{-4}}{B_r} \int |P|.$$

Then,

$$\begin{aligned} \int_{B_r} |u||P_q - \bar{P}_q| &\leq C \left( \int_{B_r} |u| \right) \sup_{x \in B_r} |P_q(x) - \bar{P}_q| \leq C \left( \int_{B_r} |u| \right) \|\nabla P_q\|_{L^\infty(B_r)} \\ &\leq C \frac{r}{\rho^4} \left( \int_{B_r} |u| \right) \int_{B_\rho} |P| \stackrel{\substack{\frac{1}{5} + \dots + \frac{1}{5} = 1 \\ \text{H\"older}}}{\leq} C \frac{r^{14/5}}{\rho^4} \left( \int_{B_r} |u|^2 \right)^{\frac{1}{5}} \left( \int_{B_r} |u|^3 \right)^{\frac{1}{5}} \|S\|_{L^1(B_r)} \\ &\leq C \frac{r^3}{\rho^4} A(r)^{\frac{1}{5}} \left( \int_{B_r} |u|^3 \right)^{\frac{1}{5}} \int_{B_\rho} |S|. \end{aligned} \quad (12)$$

Finally, we integrate each term (9)-(12) in time, using H\"older's inequality as appropriate, to obtain

$$\begin{aligned} \iint_{Q_r} |u||P - \bar{P}_r| &\leq C \left( \iint_{Q_r} |u|^3 \right)^{\frac{1}{3}} \left( \iint_{Q_{2r}} |u|^3 \right)^{\frac{2}{3}} + C r^{\frac{13}{3}} \left( \iint_{Q_r} |u|^3 \right)^{\frac{1}{3}} \sup_{\substack{-r^2 \leq t \leq 0 \\ 2r \leq y_1 \leq 8}} \int_{B_r} \frac{|u|^2}{|y|^4} dy \\ &+ C \frac{r^3}{\rho^3} \left( \iint_{Q_r} |u|^3 \right)^{\frac{1}{3}} \left( \iint_{Q_\rho} |u|^3 \right)^{\frac{2}{3}} + C \frac{r^3}{\rho^4} A(r)^{\frac{1}{5}} \left( \iint_{Q_r} |u|^3 \right)^{\frac{1}{5}} \left[ \int_{-r^2}^0 \left( \int_{B_\rho} |S| \right)^{\frac{5}{4}} dt \right]^{\frac{9}{5}} \end{aligned}$$

The assertion of the lemma follows, by normalizing each term.  $\square$