# Stochastic Modeling of the 3D Morphologies of Energy Materials on Various Length Scales 

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## Stochastic Modeling with Applications in Materials Design

- Elucidate relationship between morphology and functionality
- Identify morphologies with improved properties

Experimental 3D Image Data


3D Stochastic Model


Numerical Transport Simulation: evaluation of synthetic morphologies


## Overview



Nanoscopic length scale

- Scale: 1-100 nm
- System of molecules
- Application: organic semiconductors

Mesoscopic length scale

- Scale: 100-1000 nm
- 2-phase material (anisotropic)
- Application: organic solar cell

Microscopic length scale

- Scale: 100-1000 $\mu \mathrm{m}$
- Application: uncompressed graphite electrode (used in Li-ion batteries)

Stochastic Modeling on Mesoscopic Length Scale and
Application to Organic Solar Cells

## Introduction



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- Modeling approach: Multi-scale sphere model


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- Morphology: system of molecules, approximated by voxel grid
- goal: flexible model for complex, 2-phase anisotropic morphology
- Modeling approach: Multi-scale sphere model
- Application: elucidate relationship between morphology and efficiency (e.g. organic solar cells)

Modeling approach - Multi-scale sphere model

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## Modeling approach - Multi-scale sphere model



- 'Macro' level: sphere model (marked point process)


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- 'Macro' level: sphere model (marked point process)
- 'Micro' level: Cox-model for spheres \& erosion


## ‘Macro’ level: Marked point process

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a)

b)

c)

d)



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$$
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$\cup \bigcup_{n=1}^{\infty}\left(\left\{S_{n i}^{(1)}, i \geq 1\right\} \cap E_{a, b}\left(B_{n}^{(1)}, \psi_{n}^{(1)}\right)\right)$
b) Modeling of radii:
$R_{i} \sim \Gamma\left(\iota_{\text {shape }}, \iota_{\text {scale }}\right)$ positively, correlated in space


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$\xi=\bigcup_{n \geq 1, z \geq 0} B\left(s_{n}^{(z)}, r_{n}^{(z)}\right)$ : realization of 'macro' model
(1) Cox sphere model: $\xi^{\prime}=\xi \cup\left(\bigcup_{n \geq 1, z \geq 0} B\left(s_{n, \text { micro }}^{(z)}, r_{n, \text { micro }}^{(z)}\right)\right)$

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(2) Erosion given $\xi^{\prime} \Rightarrow \xi^{\prime \prime}$
(3) Hardcore sphere model in the inside of $\xi^{\prime \prime}$


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- charge transport towards electrodes
- Fitting to experimental 3D image data of P3HT-ZnO solar cells (TU Eindhoven)


## 3D image data of organic solar cells



5000 rpm ~ 57 nm

$1500 \mathrm{rpm} \sim 100 \mathrm{~nm}$

$1000 \mathrm{rpm} \sim 167 \mathrm{~nm}$ S.D. Oosterhout et al., Nature Materials 8 (2009), 818-824.

- 3D TEM images of P3HT-ZnO solar cells with varying layer thicknesses
- TEM: Technical University Eindhoven
- P3HT Phase: transparent
- ZnO Phase: yellow, volume fraction 13.3\% - 21.1\%
- Morphology is anisotropic


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## Structural characteristics






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## Physical characteristic

- Quenching efficiency
- $0=\frac{d n(x)}{d t}=-\frac{n(x)}{\tau}+D \nabla^{2} n(x)+g$
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- Morphology: system of molecules
- Modeling approach: random geometric graph $G=(V, E, Q)$
- Application: charge transport of holes and electrons
- Random movement of charges follows a Markov process


## Modeling Approach



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p point process $\left\{\widetilde{S}_{n}\right\}$ with $\widetilde{S}_{n}= \begin{cases}S_{n} & \text { if } L_{n}>M_{n}, \\ \infty & \text { else } .\end{cases}$


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a) Dominance-Competition point process (Stoyan 1988)

- $\left\{S_{n}, n \geq 1\right\}$ homogeneous Poisson process, $\left\{L_{n}, n \geq 1\right\}$ iid, independent of $\left\{S_{n}\right\}$ with $L_{1}: \Omega \rightarrow(0, \infty)$.
- $M_{n}= \begin{cases}\sup \left\{L_{k}, k \neq n:\left|S_{k}-S_{n}\right|<L_{k}\right\} & \text { if } \#\left\{k \neq n:\left|S_{k}-S_{n}\right|<L_{k}\right\}>0 \\ 0 & \text { else }\end{cases}$
- point process $\left\{\widetilde{S}_{n}\right\}$ with $\widetilde{S}_{n}= \begin{cases}S_{n} & \text { if } L_{n}>M_{n}, \\ \infty & \text { else } .\end{cases}$
b) Extension with respect to further iterations

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## Modeling of edge weights $Q$

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- $\mathcal{E}_{n}=\sqrt{\omega_{a}} M_{n}^{(a)}+\sqrt{\frac{\omega_{b}}{\ell_{b}}} \sum_{i=1}^{\ell_{b}} M_{n}^{(b),(i)}+\sqrt{\frac{1-\omega_{a}-\omega_{b}}{\ell_{c}}} \sum_{i=1}^{\ell_{c}} M_{n}^{(c),(i)}+m_{\mathcal{E}}$
$\omega_{a}, \omega_{b} \geq 0\left(\omega_{a}+\omega_{b} \leq 1\right), \ell_{b}, \ell_{c}>0$.


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- Modeling of $\left\{J_{i j}^{2}\right\}$
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- $m_{g_{1}}, \sigma_{g_{2}}^{2}$ polynomials of degrees $g_{1}, g_{2}$ with $\sigma_{g_{2}}^{2}(r)>0$ for all $r_{\text {min }} \leq r \leq r_{\text {max }}$.


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- stochastic model approx. factor 10.000 faster


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- fitting of model for edge weights
- $m_{\mathcal{E}}, \sigma_{\mathcal{E}}^{2} \mathrm{ML}$ estimator
- $\kappa:[0, \infty) \rightarrow[-1,1]:$ mark correlation function
- $\left(\widehat{\omega}_{a}, \widehat{\omega}_{b}, \widehat{\ell}_{b}, \widehat{\ell}_{c}\right)=\arg \min _{\left(\omega_{a}, \omega_{b}, \ell_{b}, \ell_{c}\right)} \int_{r_{1}}^{r_{2}}\left(\kappa(r)-\kappa_{\left(\omega_{a}, \omega_{b}, \ell_{b}, \ell_{c}\right)}(r)\right)^{2} d r$


## Validation

Validation point process

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## Validation

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## Validation

## Validation point process <br> Validation graph




## Validation

## Validation point process

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## Validation charge transport

- Output stochastic model: Graph $G=(V, E, Q)$
- $M=\left\{M_{t}, t \geq 0\right\}$ Markov process (state space $V$, transition rates $Q$ )
- $\widetilde{M}=\left\{\widetilde{M}_{n}, n \geq 0\right\}$ : embedded Markov chain
- $N=\left\{N_{t}, t \geq 0\right\}$ : counting process
$\vee v=\lim _{t \rightarrow \infty} \frac{1}{t} \sum_{n=0}^{N_{t}-1} \mathbf{d}_{\widetilde{M}_{n}, \widetilde{M}_{n+1}}$ : velocity


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Stochastic Modeling on Microscopic Length Scale and
Application of Graphite Electrodes

## Simulated annealing for generation of microstructures



- Start with random allocation of voxels given volume fraction $\alpha$
- Coarsening of morphology by interchanging voxels.
- $T$ temperature, $c(\cdot)$ cost function to be reduced (e.g. surface area)
- Pick a pair of voxels at random
- Swap voxels if cost function decreases, otherwise accept swap with probability $\exp \left(\frac{c \text { (no change) }-c(\text { change })}{T}\right)$
- Decrease $T$ with time
- Stop if desired value of $c(\cdot)$ is reached.

Our approach: graph-based simulated annealing


- Simulated annealing: simple but computational expensive, limited control of microstructure
- Hybrid approach: combining spatial stochastic graph modeling with simulated annealing
- simulate random geometric graph
- start configuration of voxels by project voxels onto the graph
- run simulated annealing on new start configurations
- voxels of graph fixed
- spatial graph serves as backbone of microstructure
- fast, good control on microstructure


## Stochastic graph model



- Extract spatial graph $(V, E)$ from experimental data by skeletonization
- $V$ set of vertices
- $E$ set of edges
- Stochastic modeling by
- Point process model for the set of vertices
- a stochastic model for setting edges
- Fitting of model parameters to corresponding experimental data


## Point process model: modulated hardcore point process


(1) Simulation of homogeneous Poisson process
(2) Simulation of Boolean Model
(3) Simulation of Poisson hardcore model inside the Boolean Model

## Stochastic model for putting edges


experimental graph (left) and simulated graph (right)

- Connecting nearest neighbors
- Connect each point $S_{i}$ with its $n$ nearest neighbors.
- Start with nearest neighbor
- Connection is rejected if angle to previous edges undercuts a threshold $\gamma_{1}$
- Postprocessing of edges
- If angles undercut threshold $\gamma_{2}$ : deletion with probability $p \in(0,1)$.
- Control of angles


## Summary of graph-based simulated annealing



## Synchroton tomography image data


O. Stenzel et al., Modelling and Simulation in Materials Science and Engineering, accepted

- 3D image of uncompressed graphite electrode used in Li-ion batteries
- tomography: Helmholtz Center Berlin, material: ZSW Baden-Württemberg
- yellow: graphite phase
- transparent: pore phase, volume fraction ca. 56\%


## Result: stochastic simulation model

- Modeling of the 3D morphology of graphite electrodes
- Size: $100 \times 100 \times 100$ voxels


## Model validation



Cut-out of experimental (left) and simulated (right) microstructure


Spherical contact distribution from pore phase to graphite (left) and vice versa (right). Red curve displays experimental data and black curve simulated data.

## Numerical results



Surface area vs. the number of steps for standard simulated annealing (black) and graph-based simulated annealing (red)

- computational effort reduced to $5.81 \%$


## Two-stage voxel resolution



Surface area vs. the number of steps for standard simulated annealing (black), graph-based simulated annealing (red) and graph-based simulated annealing with two-stage voxel resolution (blue)

- computational effort reduced to $0.1 \%$
O. Stenzel, D. Westhoff, I. Manke, M. Kasper, D. P. Kroese and V. Schmidt, Graph-Based Simulated Annealing: A Hybrid Approach to Stochastic Modeling of Complex Microstructures. Modelling and Simulation in Materials Science and Engineering 21 (2013), 055004

