Excitatory neurons of the anterior cingulate cortex encode chosen actions and their outcomes rather than cognitive state

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23 Abstract

24 The anterior cingulate cortex (ACC) causally influences cognitive control of goal-directed 25 behaviour. However, it is unclear whether ACC directly encodes cognitive variables like 26 attention or impulsivity, or implements goal-directed action selection mechanisms that are 27 modulated by them. We recorded ACC activity with miniature endoscopic microscopes in mice 28 performing the 5-choice-serial-reaction time task, and applied decoding and encoding 29 analyses. ACC pyramidal cells represented specific actions before and during the behavioural 30 response, whereas the response type (e.g. correct/incorrect/premature) - indicating the state 31 of attentional and impulse control – could only be decoded during and after the response with 32 high reliability. Devaluation and extinction experiments further revealed that action encoding 33 depended on reward expectation. Our findings support a role for ACC in goal-directed action 34 selection and monitoring, that is modulated by cognitive state, rather than in tracking levels of 35 attention or impulsivity directly in individual trials.

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38 Introduction

39 Maintenance of high levels of sustained attention and inhibition of impulsive responding are 40 key to successful goal-directed behaviour, and impaired in a variety of psychiatric disorders 41 [1,2]. Both aspects can be measured by the 5-choice-serial-reaction-time task (5-CSRTT) [3,4] 42 in both humans and rodents. In this task, subjects can make four different types of response, 43 indicative of different cognitive states: (i) they can correctly respond to a stimulus presented 44 briefly and after a considerable waiting time to earn a reward (correct response), requiring 45 high attentional and impulse control. (ii) they can, instead, follow the impulsive urge to respond 46 before cue-presentation (premature response, indicating reduced impulse control), or (iii) 47 respond into a non-cued hole (incorrect response, indicating reduced attentional control). (iv) 48 Alternatively, they may not respond at all (omission, indicating reduced task engagement or 49 inattention). Therefore, the measurement of neurophysiological correlates of these four 50 response options, promises to identify circuits that regulate attention, impulse control, and 51 possibly other aspects of deterministic goal-directed behaviour.

52 Several rodent studies have implicated the anterior cingulate cortex (ACC) in this regulation. 53 Manipulations of rodent ACC have been shown to produce shifts in the relative occurrence of 54 these behavioural outcomes in the 5-CSRTT which support a causal role of this brain 55 structure. For example, the activation of G_i-protein signalling in excitatory pyramidal cells, 56 either in all layers or in layer 5 exclusively, may reduce premature and, partly, increase correct 57 responding [5]. In contrast, the chemogenetic inhibition of a subgroup of ACC neurons 58 projecting to the visual cortex may induce a shift from correct responding to response omission 59 [6], whereas their pre-cue stimulation at 30 Hz after such errors may have the opposite effect 60 [7]. The chemogenetic activation of ACC parvalbumin interneurons, in turn, reduces both 61 premature and incorrect responses, but not response omissions [8].

52 Studies with physiological measurement of neural activity in rodent ACC during the 5-CSRTT 53 and related tasks, have partly supported the possibility of such a causal role. One study 54 revealed that excitatory and inhibitory neurons in rat ACC may change their firing rate

differently both before and after correct vs. incorrect choices in the 5-CSRTT [9]. Specifically, ramping neural activity in the ACC and the adjacent prelimbic cortex (PrL, upper part of the rodent medial prefrontal cortex, mPFC) before cue-presentation has been interpreted as preparatory signal under conditions that require high sustained attention, as this activity increase was smaller before incorrect (low attention) responses and lowest during omissions [9,10].

71 Several other studies using physiological measurements have, however, failed to find such an 72 indication of a causal role of ACC for modulating the occurrence of response options on a trial-73 by-trial basis in the form of distinct pre-choice activity. They rather suggest that ACC may 74 monitor ongoing behaviour, and potentially provide feedback or error signals. For example, 75 neurons in rat ACC were shown to encode behaviour-related information mostly during and 76 after a choice, in a deterministic lever-based working memory task, thereby monitoring action 77 and outcome [11]. A specific subpopulation of ACC neurons that project to visual cortex was 78 selectively excited *after* incorrect choices or omissions (i.e. they conduct error-monitoring), but 79 their activity did not differ between those erroneous and correct choices while they were made 80 [7]. Imaging during a head-fixed Go/No-Go paradigm even found no evidence for a selective 81 recruitment of these neurons and projections for enhanced stimulus discrimination, but rather 82 that they simply represent rewarded action and stimuli [12]. Using a Go/No-go paradigm with 83 visual cues in mice, another group confirmed that ACC neurons are generally more likely 84 activated by cues that imply reward than those that do not, but also suggested that these cells 85 fire selectively either to signals that imply action or action restraint [13]. Another study in the 86 5-CSRTT also failed to detect much increase of firing rates of pyramidal neurons in the dorsal 87 PrL/ACC region before cue-onset, but found the modulation of their firing times by gamma-88 oscillations in this period [14]. The role of the ACC may also depend on the task structure, as 89 it was shown that, in a probabilistic task, rat ACC neurons represent expected outcome first, 90 before switching to actual outcome in case of a mismatch between the two [11], which could

constitute a feedback signal for updating prior believes. This is in line with selective activity of
some ACC neurons after incorrect choices in the 5-CSRTT, constituting an error signal [7,9].

93 In summary, no clear picture of the mechanistic role of the rodent ACC in attention and impulse 94 control has emerged yet; whereas some studies found representations several seconds 95 before the choice event, which could indicate a causal role for choices or, generally, the 96 present cognitive state, others have found representations rather around the time of choice 97 itself and most profoundly during rewarded or after incorrect responses, which is more in line 98 with action- and outcome-monitoring, at least in deterministic tasks. Likewise, in monkeys, 99 ACC activity has been linked to error-monitoring, value representation and belief-updating 100 [15,16] rather than to attention per se [12]. Therefore, we here use simultaneous monitoring 101 of dozens of excitatory neurons with miniature endoscopic microscopes (miniscopes) in the 5-102 CSRTT, in mice, in combination with time-resolved encoding and decoding analysis to reveal 103 which aspects of attentional, impulse and motor control are represented in the ACC at which 104 point in time.

105 **Results**

106 Miniscope-based recording of neocortical activity in the 5-CSRTT

107 To monitor activity of individual pyramidal neurons, we transduced ACC with an AAV5-vector 108 expressing the fluorescent calcium sensor GCaMP6m under the CamKII α -promoter [17], in 109 male C57BL/6J wildtype mice (N = 12), and implanted a gradient refractory index lens in a 110 separate surgery at the same location (Figure 1B). For comparison, we also generated a 111 smaller, second subgroup (N = 6), where activity was monitored in the ventral mPFC (Figure 112 1B), a region that was previously shown to represent rewarded choices [18]. Mice had been 113 pre-trained in the 5-CSRTT, and their training was continued after recovery from the second 114 surgery, until they reached a stable baseline.



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116 Figure 1. Behavioural performance with simultaneous miniscope recording. (A) Structure of an individual trial of the 5-CSRTT (see Methods for description). (B) Selective 117 118 transfection of the ACC (comprised of regions Cg1 and Cg2; left, AP 1 mm) and the ventral 119 mPFC (at the border between the regions PrL and IL; right, AP 2 mm) with GCaMP6m 120 expressed in excitatory cells; black gap in the right hemispheres indicates GRIN lens location. 121 (D) Measures of task engagement and performance in the 5-CSRTT (as indicated above 122 panels) during training sessions without miniscope or dummy (baseline, BL-untethered) and 123 during the first three sessions performed with tethered miniscope (Day 1-3). Dots indicate individual animals, bars show mean ± s.e.m.. Asterisks represent Dunnett pairwise post-hoc 124 125 test comparing tethered days against baseline after significant effect of day in a one-way 126 ANOVA. (E) Key performance indicators of the 5-CSRTT (as indicated above panels) 127 measuring attention (accuracy, incorrect responses), impulse control (premature responses) 128 and task engagement (omissions) for the baseline protocol and six challenge conditions during 129 which miniscope recordings were conducted. Same display of mean ± s.e.m. and statistics 130 (comparison of each challenge against baseline) as in (D). (F) Example of a 400 µm x 400 µm 131 raw image obtained from ACC of an individual mouse during the 5-CSRTT with a miniscope. 132 with exemplary identified active neurons encircled in different colours corresponding to traces

shown in (H). (G) Similar display as in (F), but overlay of fields of view as maximum projection
from 12 animals as imaged in the same 5-CSRTT protocol in ACC (circles indicate the same
neurons as in (F). (H) Example of z-scored calcium activity traces over 10 min measured with
GCaMP6m in the FOV shown in (F) from exemplary individual active neurons.

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138 The recording of neural activity with miniscopes during operant tasks constitutes a challenge 139 due to the relatively large and protruding form factor of such microscopes and a 140 disadvantageous design of many operant box systems with deep and low recesses 141 constituting the reward receptacle and poke holes. To enable miniscope recordings during the 142 5-CSRTT, we designed a custom-made operant box system with shallow and elevated poke-143 holes that reside in a protruding inner wall-layer (Figure 1C) [19]. This allowed mice to conduct 144 the task with little disturbance by the mounted and tethered miniscope (UCLA model v3 or v4; 145 Supplementary Video 1), as was confirmed by a lack of changes of achieved trial numbers, 146 response latency, attentional accuracy (number of correct responses/(number of correct and 147 incorrect response), and omissions (number of trials with omitted responses relative to total 148 number of trials, %) beyond the first day of tethered training (Figure 1D). With repeated 149 tethered training, animals performed well over 100 trials with less than 50% omissions on 150 average, providing sufficient numbers of active responses for further analysis (Figure 1D).

151 In order to maximally engage attentional and impulse control - and to obtain sufficient 152 numbers of incorrect and premature responses per session for later analysis - we performed 153 six behavioural challenges with simultaneous miniscope recordings; this included a further 154 shortening of the stimulus duration (SD) from 2 s at baseline to 0.8 or 1.0 s in challenge 155 conditions, and/or an extension of the waiting time (inter-trial interval, ITI) before stimulus 156 presentation from 5 s at baseline to fixed durations of 7 or 9 s or to variable lengths (7, 9, 11, 157 or 13 s randomly at equal distribution, varITI). As expected, attentional performance, as 158 indicated by accuracy, was lower with decreased stimulus duration (0.8 s SD challenge), 159 which, however, also increased omissions, making it less suitable for analysis (Figure 1E). 160 Overall, the varITI challenge appeared to produce the most suitable dataset for further

161 physiological analysis, given that the relative number of premature responses was increased 162 (P < 0.0001; Dunnet's post-hoc test after significant main effect of challenge in mixed-effects 163 ANOVA, N = 18) and the relative number of omissions was decreased (P < 0.001) compared 164 to the baseline protocol, whereas incorrect responses were still present at a level comparable 165 to the other test conditions (Figure 1E). We obtained stable recordings over 30 min sessions, 166 yielding 10-72 cells per field of view (FOV) in the ACC and 14-72 cells/FOV in the mPFC (see 167 Methods for details on trace extraction; Figure 1F-H).

Individual ACC neurons have time-locked activity peaks around correct and premature responses

We first investigated qualitatively, if identified neurons display activity that is related to any of 170 171 the four behavioural response options. Therefore, the calcium signal traces of each neuron 172 were extracted from 4 s before until 7 s after each behavioural choice-poke event (note that, 173 for omissions, the end of the stimulus presentation, was used as reference time point of choice 174 for all time-locked analysis). Such individual episodic traces split into two populations of traces 175 from trials with either even or odd order number; the averages of traces from even trials were 176 then plotted in vertical order according to the peak latency of the averages of the 177 corresponding odd trials (Figure 2A-B; Supplementary Figure 1). This indicated that ACC 178 neurons often showed activity patterns that were time-locked to correct and premature 179 responses. In support of this conclusion, Pearson correlations between the averages of odd 180 and even trials indicated a high reproducibility of time-locked activity around correct and 181 premature responses, with particularly high correlations (> 0.8) around and after the time of 182 choice, in ACC and mPFC (Figure 2A-B, bottom; Supplementary Figure 1). Such correlated 183 patterns were largely absent for incorrect responses and omissions. This constitutes a first 184 indication that neural activity in both structures represents aspects of choices related to high 185 attention (correct responses) and impulsivity (premature responses).

Notably, correct and incorrect responses (in contrast to the other two event types) involve a
similar global sensory stimulation (one poke-hole illuminated at the time of responding) and

the same motor output (poking), suggesting that the time-locked activity seen only for correct responses, does likely not reflect sensory or motor aspects. However, we cannot fully exclude the possibility that deviations in the *local* stimulus - illuminated vs. non-illuminated hole into which the mouse pokes - may partially account for such differences.



Figure 2. Event-locked activity of individual neurons in the variable ITI challenge. (A) 193 Top: Average z-scored calcium activity in individual ACC neurons time-locked to the onset of 194 195 the behavioural event stated above each sub-panel, shown for -4 - +7 s around the event. For cross-validation, averaging was done across the even trials only and the cells sorted according 196 197 to the average peak latency across the odd trials (see also Supplementary Figure 1). Bottom: Pearson correlations between the averaged z-scored activity of the odd and even trials at each 198 199 time point. Note that temporal order of peaks is maintained for correct and premature 200 responses with resulting high correlations, but not for incorrect choices and omissions. N = 12201 animals and 443 cells. (B) Same display and analysis as in (A) but for all neurons recorded in mPFC. N = 6 animals and 229 cells. (C) Same data as in (A) but clustered into four clusters 202

(I-IV, indicated on the right) according to their activity from -4 - 7 s around correct responses. 203 204 Equivalent to (A), clustering was done based on the average of *odd* trials, only, whereas the plot shows the average of the corresponding even trials. Activity around incorrect, premature 205 206 and omitted responses for the same cells is shown in the same order as was determined 207 according to the clustering around correct responses resulting in a lack of emerging temporal 208 patterns. (D) Same analysis as in (C) but for cells in mPFC. Grey lines in (A-D) indicate cells 209 for which a response cannot be shown because the mouse made no incorrect response. (E) 210 Z-scored single-trial calcium activity of exemplary individual cells from each cluster (I-IV, 211 indicated on the right). The timepoint of cue presentation (for correct and incorrect responses 212 only), reward receptacle entry and exit (only correct responses) are shown by the white short 213 vertical lines. The consistent white line represents the timepoint of the choice. (F) Same as in 214 (E), but for cells from the mPFC.

216 To further investigate the temporal relationship of neural activity, we k-means-clustered the 217 cells [20] into four clusters according to their average activity in odd correct response trials, 218 sorted neurons within each cluster according to time of peak-activity, and then displayed the 219 corresponding average of even trials (Figure 2C, D). Qualitatively, this resulted in three 220 clusters with relatively clear activity peaks either before, during, or after correct responses, 221 respectively, in addition to a fourth cluster with increased activity during reward collection only, 222 in ACC (Figure 2C). In contrast, in mPFC a cluster with a well-defined peak at the time of the 223 correct choices was lacking, and the emerging clusters showed activity either before or after 224 the response (Figure 2D). To assess the response-specificity of these temporal patterns, we 225 conducted the same temporal alignment and averaging for the other three response options 226 but sorted the cells according to their order number obtained for clustering by correct 227 responses. For all three response types, this resulted in the loss of clear temporal response 228 patterns, indicating that the temporal relationship cells displayed for correct responses, were largely specific for this one response type (Figure 2C-D). Finally, when plotting the activity in 229 individual trials of one randomly selected neuron for each cluster, the trial-to-trial reliability of 230 231 activity as time-locked to correct responses was qualitatively confirmed (Figure 2E-F).

232 Distinct choices are represented by ACC population activity

While the analyses described above confirm that individual ACC and mPFC neurons are modulated by ongoing attention- and impulsivity-related choices or actions, a comprehensive and multi-variate encoding of behaviour is expected only at the level of populations of multiple

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236 neurons. To evaluate such behavioural representations in ACC, we performed a decoding 237 analysis, training linear support vector machine (SVM) classifiers to predict the type of 238 behavioural event performed in any given trial based on the population activity, at different 239 time points around the choice event, and during the first 4 s of the preceding ITI (starting with 240 the end of the time-out or of reward consumption). We first focused on binary discrimination 241 between correct and either omitted or premature responses, given that incorrect responses 242 occurred in insufficient numbers for this analysis, in the varITI-challenge in most mice (Figure 243 1E; Supplementary Table 1). To estimate significant predictions, we compared the resulting 244 accuracies with accuracies obtained from classifiers that were trained on data with randomly 245 shuffled labels (paired t-tests at each time point with Benjamini-Hochberg correction for 246 multiple comparisons).

247 No appreciable prediction of correct responses (vs. omissions or vs. premature responses) 248 was possible during the ITI, indicating that ACC activity did not reflect, if a mouse was going 249 to act in a goal-directed, attentive fashion or to avoid task engagement or to act impulsively in 250 an upcoming trial (Figure 3A, left). Although, there was a significant decoding of correct vs. 251 omitted or premature responses at low accuracy of around 60% (vs. 50% chance level). 252 already from at least 4 s before the choice poke onwards, average decoding accuracies only 253 started rising around cue-onset and reached their maximum of >90% only approx. 600 ms 254 after the choice-poke. They remained at >90% throughout the time of reward consumption 255 (Figure 3A, right). This indicates that the pre-cue and pre-choice representations were very 256 minor compared to the same representation around and after the choice. These results appear 257 inconsistent with the notion that the primary driver of variance in ACC activity are slowly 258 varying cognitive states of attention or impulsivity, but rather that ACC representations seems 259 to be tightly tied to actions and outcomes. In mPFC, decoding accuracies had a similar 260 temporal trajectory (Figure 3B).

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263 Figure 3. Decoding of behavioural choice from population activity in ACC and mPFC. (A-B) Cross-validated decoding accuracies derived from binary classification using linear 264 SVMs calculated from z-scored amplitude values at each 200 ms time bin and predicting 265 266 behavioural events from population activity in ACC (A; N = 11 mice; one mouse not analyzable 267 due to low omission rate) or mPFC (B; N = 6 mice) in individual varITI sessions; solid lines 268 and shading represent averages across animals ± s.e.m., respectively. Decoding accuracies 269 were first averaged across 100 classifiers calculated on data from each session, and then 270 across sessions (i.e. animals). Dashed lines indicate results from the same analysis but 271 performed on control data obtained by random shuffling of event-labels relative to neural activity data; dots at the bottom indicate a significant difference in the pairwise comparisons 272 273 between those two accuracy values at each time point (t-test with Benjamini-Hochberg 274 correction for multiple comparisons). Binary classification was done differentiating correct responses against omissions (black) or against premature responses (magenta). Chance level 275 276 is 50%. (C) Same analysis as in (A) but classifying correct vs. incorrect responses by using 277 sessions from across all challenge protocols, if more than 5 incorrect responses were made 278 (N = 6). mPFC was not analysed because only 3 sessions had the sufficient number of 279 incorrect responses. See Supplementary Table 1.

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In the pairwise discriminations described above, a confound by non-choice-related aspects such as presence of the cue (*correct* vs. *premature*) or the motor response (*correct* vs. *omission*) cannot be ruled out. Only *correct* and *incorrect* responses are sufficiently similar in most parameters and differ mainly in the choice *per se*. To enable a cross-validated decoding analysis involving *incorrect* responses, we gathered sessions from all six behavioural challenge conditions given they had a sufficient number of incorrect responses (\geq 6). Using such data, we found that – in contrast to premature and omitted responses - incorrect choices 288 could be distinguished significantly from correct ones only from the time of the choice-poke 289 onwards (approximately 1 s after cue-onset), indicating a lack of a consistent signature of both 290 preparatory attention and of sensory stimuli in the overall ACC activity (Figure 3C). As seen 291 with the other decoding attempts, high and saturating average prediction accuracies (>80%) 292 could only be reached from around 600 ms after the choice-poke and were maintained 293 throughout reward consumption or its omission (Figure 3C). Overall, the temporal distribution 294 of decoding accuracies is more aligned with the notion that ACC represents ongoing action 295 and its (expected or actual) consequence rather than controlling levels of sustained attention 296 or impulsivity on a trial-by-trial basis.

297 ACC neuron populations encode spatial aspects of ongoing action

298 The observation that decoding of response types available in the 5-CSRTT was only possible 299 with high accuracy from the choice-poke onwards, suggests that ACC and mPFC may 300 represent selected actions rather than high-level cognitive states like attention and impulsivity. 301 To further scrutinize this hypothesis, we investigated whether these neurons encode a more 302 fine-grained representation of current action by analysing the responses to each individual 303 choice poke-hole. We aligned the average activity of each neuron in even trials to the time of 304 correct choice for each hole individually and sorted the neurons first according to the hole (1-305 5) which evoked the strongest response during the poke (±1 s) into five groups, and then 306 sorted by peak latency of the average of *odd* trials within each group. A reproducible pattern 307 emerged for even trials that correlated strongly to that of odd trials from around the time of 308 choice onwards, in ACC and mPFC (Figure 4A-B, bottom), and which appeared the more 309 dissimilar between poke-holes around the time of poking, the further the holes were apart from 310 each other (Figure 4A-B, top).

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312 Figure 4. Activity in the ACC represents spatial action selection. (A-B) Same data as in 313 Figure 2A-B (correct responses), but separated by poke-hole and arranged into five groups 314 (indicated on the right, separated by black lines) based on the hole for which the response of 315 a neuron had the maximum AUC in the period ±1s around the poke (indicated by a black 316 rectangle around the cluster). Top: Average z-scored calcium activity in individual ACC (A) or 317 mPFC (B) neurons time-locked to the correct choice-poke, shown for -4 - +7 s around the event. For cross-validation, averaging was done across the even trials only and the cells were 318 sorted according to the average peak latency across the odd trials of poke 1. Grey lines 319 320 indicate sessions in which the given hole was not poked into. Bottom: Pearson correlations 321 between the averaged z-scored activity of the odd and even trials at each time point. Note that 322 the gualitative similarity to the pattern of a given poke gets reduced the further away the poke-323 hole is, especially around the time of poking. Supplementary Figure 2A-B shows the same data without prior sorting into clusters. (C) Based on the data shown in (A-B), Pearson 324 325 correlations between response patterns of pairs of poke holes, coded in colour according to 326 the distance between the holes; correlation values for hole-combinations with the same 327 distance (e.g. 1-4 and 2-5 for distance 3) were averaged; see Supplementary Figure 2C for 328 the individual correlation values of each hole-pair. A repeated-measures ANOVA was calculated across the population of 11 observations in the time period ±1 s around the poke 329 330 (grey bar; *P*-value for main effect of hole-distance indicated at the top); results from paired 331 Sidak post-hoc tests are indicated for adjacent hole distances in the colour-legend of each sub-panel. *** P < 0.001; n.s., P > 0.5. (D) Accuracies of the decoding of the identity of the 332 333 poke-hole, either for all active responses (correct, incorrect, premature; black) or for correct 334 responses only (blue) aligned to the time of poking (0, vertical line); average latency to cue

onset (response latency), reward-poke entry (reward latency) and start of the next ITI (reward 335 336 consumption time, indicted only for correct trials) are indicated by arrowheads. Decoding accuracies were first averaged across 100 classifiers calculated on data from each session, 337 338 and then across sessions (i.e. animals). Dashed lines indicate results from the same analysis 339 but performed on control data obtained by random shuffling of event-labels relative to neural 340 activity data; dots at the top indicate significant pairwise comparisons between those two 341 accuracy values (t-test after correcting for multiple comparisons across time bins). Shaded 342 area, s.e.m. across mice.

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344 To assess this quantitatively, we calculated Pearson correlations between such population 345 activity patterns for all pairs of poke-holes and averaged them across hole-pairs with the same 346 distance (Figure 4C; Supplementary Figure 2). Indeed, within approximately ±1 s around the 347 choice-poke, correlations were the higher the closer the holes of the pair were to each other. 348 After the choice, in contrast, correlations were consistently high, irrespective of distance. This 349 suggested that ACC activity displays a certain similarity related to spatial proximity of poke 350 holes before the poke, while being dominated by non-spatial aspects of the choice after it is 351 made (Figure 4A-C).

352 To further investigate this early spatial selectivity, we trained multi-class SVM classifiers to 353 decode the identity of the poke-hole. Surprisingly, this identity could be predicted from about 354 1 s before the poke (just after cue-onset) onwards, reaching an average peak accuracy of 355 close to 70% in ACC and close to 55% in mPFC (against a chance level of 20%) approximately 356 200 ms after the poke. In contrast to the representation of event-type (Figure 3A-C), average 357 accuracy decreased again immediately after the poke, suggesting that the representation of 358 the precise action fades after its occurrence (Figure 4D). Interestingly, the decoding accuracy 359 was virtually identical, when performing the same analysis on pokes of all three active 360 response types (correct, incorrect, premature) combined, suggesting the existence of a 361 representation of action that is independent from the representation of response-type and 362 outcome.

363 Independent encoding of action and outcome in ACC

364 The hypothesis of an independent encoding of motor action (poke hole identity) and choice or 365 outcome (event-type) entails the question to what extent each factor determines neural activity 366 in the ACC, at every time point. To answer this guestion, we trained linear regression models 367 predicting the activity of each neuron using predictors coding the active poking (vs. omissions), 368 rewarded choice (correct responses vs. absence of reward due to erroneous choices), and 369 poke-hole identity (spatial location; encoded by four predictors, see predictor matrix in Figure 370 5A). We ran a separate regression for each time-point in the aligned activity and calculated 371 the cross-validated coefficient of partial determination (CPD) for each predictor at each time-372 point, which guantifies the share of the variation in the neural activity that is uniquely explained 373 by that predictor. To estimate statistical significance for a given predictor at a given timepoint, 374 the distribution of CPD values across subjects for that predictor and timepoint was compared 375 to 0% [21].

376 From the average onset of cue presentation (1 s before the poke), matching the time course 377 of the decoding analysis (Figure 4D), the spatial identity of the poke-hole started to gain ever 378 more influence over ACC-activity, and dominated it compared to the other predictors from 379 approximately 600 ms before until 400 ms after the choice poke (Figure 5B). This effect was 380 mostly driven by an encoding of the left (hole 1-2) vs. the right (hole 4-5) side of the 5-choice 381 wall, although most other tested predictors of the selected action (poke discrimination left, 382 right, and middle) and of active responding in general (vs. omission) displayed significant 383 CPDs during and after the time of poking, as well (Supplementary Fig. 3). From 600 ms after 384 the poke onwards, however, the factor of rewarded (correct vs. erroneous) response had the 385 single strongest influence on neural activity out of the tested predictors (Figure 5B). This 386 overall pattern suggests, that AAC simultaneously encodes fine-grained selected action and 387 a high-level representation of both active and correct responding from the time of choice-poke 388 onwards for almost 2 s, but action representation dominates around the time of execution 389 whereas high-level representation dominates subsequently (Figure 5B). Given that the influence of outcome starts rising immediately after the choice poke - and hence more than a
second before actual reward consumption - this activity reflects *expected* (vs. omitted) reward
at this early stage, but it might later on reflect actual outcome, as previously shown [11]. mPFC
neurons, in contrast, also encoded outcome (from 200 ms after the poke) but lacked a
consistent encoding of motor action, especially before the poke (Figure 5C).



396 Figure 5. Encoding of poking action and reward in the population activity of the ACC 397 and mPFC. (A) Orthogonal predictor matrix designed to indicate the representation of the poke (1: poke in either hole, 0: omission), the poke directionality (1: poke in left holes 1 or 2; -398 399 1: poke in right holes 4 or 5; 0: poke in middle hole 3 or omission), right poke discrimination 400 (1: poke in hole 4: -1: poke in hole 5: 0: poke in holes 1.2 and 3 and omission), left poke 401 discrimination (1: poke in hole 1; -1: poke in hole 2; 0: poke in holes 3,4 and 5 and omission), middle poke discrimination (1: poke in hole 3; -0.25: poke in holes 1,2,4,5; 0: omission) and 402 403 reward (1: rewarded; 0: not rewarded). The four predictors representing the spatial location of 404 the poke hole have been removed at once from the regression model in order to quantify the 405 encoding of motor action. (B-C) Coefficient of partial determination (CPD) averaged across cells recorded in ACC (B, N = 11 mice) and mPFC (C; N = 6 mice). Time bins where CPDs for 406 407 a given event were significantly higher than zero after cross-validated linear regression are 408 indicated with a dot at the top of each panel, colour-coded for the respective predictor (one 409 sample *t*-test with Benjamini-Hochberg post-hoc correction). CPDs were determined for each 410 event-type by subtracting the sum squared errors of the full linear regression model 411 (incorporating every event type as predictor) from the sum squared error of the reduced 412 regression model where one predictor (corresponding to the event type for which the 413 population activity should be explained) was removed.

415 **Devaluation and omission of reward alter representation of choices**

416 The prominent representation of *correct* – and, hence, *rewarded* – responses in ACC, 417 particularly during and after the response, which is also in line with earlier studies [9,10], 418 suggests that these activities may represent the expectation of reward rather than elevated, 419 preparatory attention. This is also supported by the fact that incorrect and correct responses 420 cannot be discriminated from population activity *before* the choice-poke is made (Figure 3C). 421 To assess this conclusion further, we conducted four further 5-CSRTT experiments where the 422 value or expectancy of the reward was altered, using the combined 0.8s/7s ITI challenge (to 423 obtain more incorrect responses, Figure 1E), at the end of the sequence of tests: first we 424 recorded a baseline session with normal food-deprivation and reward delivery. In a second 425 session, the reward was *devalued* by pre-feeding (by providing 6 g of food overnight and 2 ml 426 of milk reward 1 h before session start). In the third and fourth recording sessions the food-427 deprivation (i.e. value of reward) was normal, but the delivery of reward was omitted (extinction 428 1 and 2). Normal training sessions in the baseline protocol were conducted after the first two 429 sessions, but not between the extinction sessions.

430 At the behavioural level, both devaluation and extinction caused a significant decrease of the 431 number of correct responses, driven by an increase in omissions, whereas accuracy remained 432 relatively stable (P < 0.05, Dunnett's post-hoc test, performed after significant main effect of 433 condition in RM-ANOVA; N = 15; Figure 6A-C). During devaluation, also active erroneous 434 (incorrect and premature) responses decreased, and reward latency increased, in line with a 435 reduced motivation (Figure 6D; Supplementary Figure 4); such effects appeared qualitatively 436 also during extinction sessions, but mostly without reaching significance. Response latencies 437 were not significantly altered indicating unperturbed responsiveness in any of the conditions 438 (Supplementary Figure 4).

439





441 Figure 6. Decoding of behavioural choice from population activity in ACC during reward 442 devaluation and extinction experiments. (A-D) Measures of task engagement and 443 performance in the 5-CSRTT (as indicated on y-axes) during training sessions in the 0.8s-444 SD/7s-ITI combined challenge at normal reward conditions (baseline, BL), after devaluation 445 of reward (Deval), or with omission of reward (extinction; Extinct 1/2), as indicated on x-axes 446 with tethered miniscope. Dots indicate individual animals coded by colour, bars show mean ± 447 s.e.m.. Asterisks represent Dunnett pairwise post-hoc test comparing BL condition against the other conditions after RM-ANOVA. * *P* < 0.05; ** *P* < 0.01; *** *P* < 0.001. See Supplementary 448 Figure 4 for further behavioural measures. (E-F) Cross-validated decoding accuracies derived 449 450 from binary classification using linear SVMs calculated at each 200 ms time bin and predicting 451 correct vs omitted (E) or vs. premature (F) responses from population activity in ACC during 452 test sessions in the 0.8s-SD/7s-ITI combined challenge with normal reward conditions (baseline, black), after devaluation of reward (blue) or with omission of reward on two 453 454 consecutive test sessions (extinction 1, red; extinction 2, orange). Whereas 10 mice 455 participated in these test sessions, actual *N*-numbers for the analyses (stated in figure legends) on the right and in Supplementary Table 1) vary mainly due to mice that did not perform 456 sufficient numbers of correct or premature responses, in rare cases also due to technical 457 failures. Solid lines and shading represent averages across animals ± s.e.m., respectively. 458 459 Decoding accuracies were first averaged across 100 classifiers calculated on data from each 460 session, and then across sessions (i.e. animals). Dashed lines indicate results from the same 461 analysis but performed on control data obtained by random shuffling of event-labels relative 462 to neural activity data. Dots below the traces of each panel indicate time bin and alignment 463 (coded by colour) where decoding accuracies from these two classifiers differed significantly 464 (paired *t*-test with Benjamini-Hochberg correction; coded by colour for each reward condition). Dots at the bottom represent Dunn-Sidak post-hoc tests comparing accuracy values from 465 466 devaluation or extinction sessions (coded by colour) to those from the baseline condition 467 (conducted after significant effect of reward condition in the repeated-measures ANOVA). 468 Significance level is encoded by dot size. Triangles indicate average latency to cue-469 presentation, reward receptacle entry and the start of the next ITI (the latter was often close 470 after reward receptacle entry in extinction conditions as no reward was provided). Chance 471 level is 50%. Decoding of incorrect responses could not be performed due to their small numbers in most of the respective sessions. 472

473

474 To evaluate if the change of the value or contingency of the reward affected the representation 475 of rewarded responses, we repeated the time-resolved binary decoding of correct vs. 476 premature or omitted responses, as conducted previously for the varITI-challenge (Figure 3), 477 in each of the four conditions. Whereas, under normal reward conditions, the trajectories 478 looked like those found before, with increasing representation of the choice and its outcome 479 with the cue-onset, somewhat altered decoding accuracies were found in the other conditions 480 (Figure 6E-F). Generally, decoding accuracy was lower in the conditions with altered reward 481 value or occurrence, as indicated by comparisons to the accuracy achieved by classifiers 482 trained with shuffled control datasets. Significant decoding of correct responses was hardly 483 possible before they actually occurred, possibly reflecting a certain lack of representation of 484 preparatory attentional or impulse control required for such responses (Figure 6E-F). When 485 comparing the accuracy achieved under baseline condition with that achieved under reward 486 devaluation (RM-ANOVA with pairwise Dunnett post-hoc tests), these two conditions rarely 487 differed, suggesting that the value of the reward has relatively little influence on the 488 discriminability of representations of rewarded vs. non-rewarded choices (Figure 6E-F). In 489 contrast, during both extinction sessions, the discrimination of correct responses vs. omissions 490 and, to a lesser extent, vs. premature responses, deteriorated from about 2 s after the choice 491 poke onwards and differed significantly from the accuracy achieved in the baseline condition, 492 in line with the lack of a reward (Figure 6E-F). This implies that beyond this time point, ACC 493 largely encodes the *actual* outcome, which is no longer distinct between the choice options in 494 the extinction sessions. Similarly, in the second extinction session, accuracy for decoding

495 correct responses vs. omissions differed already from 600 ms *before* the choice poke onwards 496 and on most subsequent time points (Figure 6E). This supports the idea that ACC partly 497 represents *expected* outcome, which has been learned to be identical between these two 498 choice options by the time of the second extinction session.





Figure 7. Encoding of reward and action in ACC depends on the relative value and 500 501 presence of reward. (A) Coefficient of partial determination (CPD) averaged across cells recorded in ACC in the four different reward-related conditions encoded by colour (number of 502 503 animals): black, baseline (10); blue, devaluation (6); red, extinction session 1 (6); green, 504 extinction session 2 (6). As in Figure 5, CPDs were determined for each predictor, stated on 505 the left (rows) by subtracting the sum squared errors of the full linear regression model (incorporating every event type as predictor) from the sum squared error of the reduced 506 507 regression model where one predictor was removed. To evaluate the encoding of spatial location as such, all four predictors reflecting spatial location were combined by removing 508 them at once (see Figure 5A). Time bins where CPDs for a given event were significantly 509 510 higher than zero after cross-validated linear regression are indicated with a dot at the top of 511 each panel, colour-coded for the respective reward condition (one sample t-test with 512 Benjamini-Hochberg post-hoc correction). Dots below represent Sidak tests comparing CPD

513 values from devaluation or extinction sessions (coded by colour) to those from the baseline 514 condition (conducted after significant effect of reward condition or time-reward interaction in 515 RM-ANOVA). Significance level is encoded by dot size. Triangles indicate average latency to 516 cue-presentation, reward receptacle entry and the start of the next ITI (the latter was often 517 close after reward receptacle entry in extinction conditions as no reward was provided). To 518 ensure, that CPDs in the baseline session are not simply higher because of a larger number 519 of trials or responses used for their calculation, the number of baseline-trials was down-520 sampled to roughly match those of the reward condition it is compared to in each panel for 521 each poke-hole and response type.

522

523 To further elucidate the hypothesis that ACC encodes expected and, subsequently, actual 524 outcome, we performed an encoding analysis, as previously done for the varITI-challenge 525 (Figure 4), for the data obtained from these four conditions. Across time intervals, we 526 compared CPD values to the control value of 0% for all conditions (t-test with Benjamini-527 Hochberg correction) and we compared the devaluation and extinction conditions to baseline 528 (RM-ANOVA followed by Sidak post-hoc test; Figure 7A). As expected, *extinction* – especially 529 when repeated – led to a reduction of the representation of reward. However, also devaluation 530 ensued a strong decrease of reward representation compared to baseline, suggesting that 531 reward determines ACC activity the stronger the higher its value is (a result that the prior 532 decoding analysis could not reveal, see Figure 6E-F). Strikingly, both devaluation and 533 extinction also led to a virtual loss of the spatial representation of the poke-hole: in all three 534 conditions, CPD values for the combined spatial predictors were significantly lower than those 535 in the baseline condition around the time of poking and, in contrast to the baseline, rarely 536 exceeded 0% (Figure 7A). This suggests that the representation of specific actions in ACC 537 depend on their expected (reward) value.

538

539 **Discussion**

540 Using miniscope recordings during the 5-CSRTT in mice, we here demonstrated that 541 excitatory neurons of the posterior ACC represent the choices available in this complex task, 542 both at the high level of response options that depend on *cognitive state* and at the low level 543 of the selected *action* (poke-hole identity). We found that coding at both levels happens 544 simultaneously, but that ACC activity is dominated by the selected action (graded spatial 545 representation of poke-hole location) during the execution of the choice poke, and by outcome 546 expectation from about 400 ms after the choice, followed by encoding of actual outcome, once 547 obtained. Whereas action representation faded, the high-level representation of the chosen 548 option (or its consequence) remained stable for at least 7 s after the choice, but deteriorated 549 much faster when reward was omitted during extinction sessions. When value or occurrence 550 of reward were changed, the share of cells selectively activated by reward and of cells 551 selectively *inhibited* during omissions – i.e., the share of cells representing those options 552 whose relative utility changed – increased. This suggests that ACC represents action-outcome 553 contingency rather than outcome itself. Likewise, the representation of rewarded (correct) 554 responses, but also of low-level spatial action parameters decreased when reward was either 555 devalued or omitted. This underlines that motor and reward representations in ACC depend 556 on the expectation and value of a choice's outcome.

Importantly, significant preparatory network activity during the ITI and before the cue which could indicate a general level of high or low attention, impulsivity, or task engagement in a given trial was either not found (attention-related activity discriminating correct from incorrect choices) or was rather weak (discrimination between impulsive responses or omissions and correct responses). In fact, all conducted analyses assessing response-type coding suggest that choices are represented in ACC mainly *during* and *after* their time of occurrence, and that *rewarded* responses are represented most reliably, as seen in other studies [12,13].

How can these observations be reconciled with earlier reports of signatures of attention and impulsivity in ACC [9–11,22] and, most importantly, with the well-documented ability to modulate these cognitive states by manipulation of ACC neurons [5,6,8,23]? Firstly, with respect to pre-choice activity, the discrimination of correct responses from either premature or omitted responses *was* actually possible, albeit at low (~60%) accuracy, already during at least 4 s before the choice poke (but not at the beginning of the ITI; Figure 3, 6). Furthermore,

570 correct and premature responses were accompanied by time-locked activity of neurons in the 571 same pre-choice time period (Figure 2). This indicates a representation of the state of impulse 572 control that exists, albeit weakly, well before the choice, though not at the beginning of the ITI 573 - in line with ramping activity before premature responses observed in rats [10]. In contrast, 574 incorrect responses could only be distinguished from correct responses at time of occurrence 575 (Figure 3) and were not accompanied by time-locked activity before (Figure 2). This suggests 576 that the trial-to-trial variation of sustained attention is not represented in excitatory cells of 577 posterior ACC. The divergence between both phenomena is in line with the fact, that 578 impulsivity, but not accuracy, was modulated by chemogenetic manipulation of such neurons 579 in ITI-challenges [5]. Since modulation of ACC parvalbumin-interneurons (which we did not 580 record in this study) could alter both aspects of executive function [8], they could constitute a 581 locus of the attentional component in this task [14]. Thirdly, chemogenetic or pharmacological 582 modulation of ACC could exert its effect anatomically and temporally more globally, rather 583 than controlling behaviour directly and in individual trials. The ACC might be acting through 584 the demonstrated strong representation of obtained reward, errors, and action-outcome 585 contingencies, to shape tendencies of attentional and impulse control in upcoming trials 586 through other circuits, as shown for a subpopulation of ACC neurons already [6,7]. Fourthly, 587 and alternatively to the previous scenario, ACC modulation may influence the occurrence of 588 distinct response-types because of its role in action selection [21,24], as we suggested based 589 on our [5,8] and other [6] previous chemogenetic and optogenetic [7] data before [8]. In this 590 scenario, elevated activity of certain ACC pyramidal neurons triggers the response into a 591 certain poke-hole (in line with the early encoding of action found in this study). This could be 592 caused by the ACC due to reward expectation leading to strong excitatory AAC activity 593 entailing a correct response. But it could also be caused erroneously by higher order inputs 594 received by the ACC without the appropriate cue leading to incorrect or premature responses, 595 accompanied by somewhat less effective, less time-locked ACC excitation. Activation of PV-596 interneurons [8] or direct partial inhibition of excitatory neurons in ACC [5] could increase the 597 threshold needed for such activation that ultimately triggers the selected response, so that the 598 strong activation triggering correct responses remains supra-threshold, whereas the weaker 599 activity which could otherwise cause erroneous responses falls sub-threshold. Further 600 physiological investigations are required to probe these mechanistic models.

601 Regarding mPFC, the number of mice we recorded in our study for comparative purposes is 602 too small to draw general conclusions regarding the coding in this region, which has also been 603 examined in other studies in the 5-CSRTT [10,14,26,27]. Nevertheless, the relatively minor 604 differences between representations in ACC and mPFC we observed are consistent with an 605 earlier finding of oscillatory coupling of both regions during choice events in the 5-CSRTT [22] 606 and, more generally, with a model of widely distributed encoding of behaviour across 607 neocortex [16]. Population activity in mPFC also represented choice options but less reliably 608 and partly shifted to later time points, i.e., after the choice, and again with a bias to encoding 609 rewarded choices more than non-rewarded ones. Also, a fine-grained encoding of spatial 610 aspects of a selected action was virtually absent. This is in line with previous 611 electrophysiological measurements in rats during this task, indicating that a majority of 612 responsive mPFC cells respond after the choice, representing trial outcome, and cells show 613 considerably stronger firing rate increases during correct than during premature responses 614 [10].

In conclusion, our temporally resolved analysis suggests that ACC excitatory neurons represent a chosen action as it is made as well as its expected and actual outcome. Our results uncover parallel encoding of fine-grained spatial parameters of selected actions - in dependence on their outcome value - and of action-outcome contingency in ACC, and suggest that trial-by-trial encoding of high-level cognitive states before the choice is either minimal (for task engagement and impulsivity) or absent (for attention).

621

622

623 Methods

624 Animals

In total, 46 male C57BL/6J wildtype mice, were used for this study. Animals were group- or single-housed in Type II-Long individually ventilated cages (Greenline, Tecniplast, G), enriched with sawdust, sizzle-nest[™], and cardboard houses (Datesand, UK), and maintained at a 13 h light / 11 h dark cycle. Water was available *ad libitum*. All experiments were performed in accordance to the German Animal Rights Law (Tierschutzgesetz) 2013 and were approved by the Federal Ethical Review Committee (Regierungsprädsidium Tübingen) of Baden-Württemberg, Germany (licence number TV1469).

632 **5-CSRTT training and testing with calcium imaging**

633 Mice started training in the 5-CSRTT at 3-5 mo of age and were kept under food-restriction at 634 85-95% of their prior average free-feeding weight which was measured over 3 days 635 immediately prior to the start of food restriction at the start of the behavioural training. Testing 636 was conducted in operant chambers placed individually in melamine-MDF sound-insulated 637 and ventilated outer boxes and fitted internally with an array of five nose-poke holes on one 638 wall and a reward receptacle on the opposite wall. All six apertures could be illuminated to 639 instruct the entry into them and were fitted with IR break-beams to detect entry and exist of 640 the animal's snout. All experiments were conducted in custom-made trapezoidal chambers 641 based on the pyControl system [19,28] (https://pycontrol.readthedocs.io).

642 The 5CSRTT training protocol was similar to what we previously described [5]. In brief, after 643 initiation of food-restriction, mice were accustomed to consume the reward (strawberry milk, Müllermilch[™], G) first in their home cage, and then in the operant box (2-3 exposures each). 644 645 Subsequently, mice were trained in 2-13 sessions (30 min, once daily) of habituation training. 646 In each trial, all holes of the 5-poke wall were illuminated for an unlimited time and the mouse 647 could poke into any one of them to earn a 40 µl milk reward subsequently disposed from the 648 illuminated receptacle. If mice attained at least 30 rewards each in two consecutive sessions or (in exceptional cases) had reached the 16th session of habituation training, they were moved 649

650 to the 5-CSRTT training, during which mice transitioned through five stages of increasing 651 difficulty, based on reaching certain performance criteria in each stage, as described 652 previously[5]. The difficulty was determined by the length of time the stimulus was presented 653 (stimulus duration, SD) and the length of waiting time between the end of the previous trial 654 and the stimulus presentation of the next trial (inter-trial-interval, ITI). In case a reward was 655 collected on the previous trial, the ITI was initiated by the removal of the snout of the animal 656 from the reward receptacle. In all 5-CSRTT protocols only one pseudo-randomly selected 657 aperture of the 5-choice wall was lit up after the ITI, indicating that this hole needs to be poked 658 into (correct response) in order to earn a 20 µl milk reward (Figure 1A). Trials were not 659 rewarded but instead terminated immediately with a 5 s time-out period during which the 660 house light was turned off, if the animals either poked into any hole during the ITI (premature 661 response), poked into a non-illuminated hole (incorrect response) during the SD and limited-662 hold time (LH, until 2 s after SD), or failed to poke throughout the trial (*omission*). The relative 663 numbers of such response types were used as performance indicators measuring premature 664 responding [%premature = 100*(number of premature responses)/(number of trials)]. 665 sustained attention [accuracy = 100*(number of correct responses)/(number of correct and 666 incorrect responses combined)], and lack of participation [%omissions = 100*(number of 667 omissions)/(number of trials)]. A trial was considered to start at the beginning of the ITI, i.e. 668 included premature responses. Additionally, the time required to poke into the indicated hole 669 after it was illuminated (response latency) and the time from the exit from the correct hole until 670 the entry into the reward receptacle (reward latency) were measured, whereby the latter is 671 usually used as a compound indicator of motivation and locomotor drive[3]. In all stages, 672 sessions lasted 30 min and were performed once daily at the same time of day and in the 673 same box for each animal.

After surgery (see below), animals were trained until they had reached the final baseline stage (BL; 2 s SD, 5 s ITI) obtaining an accuracy >80% and an omission rate <50% in two consecutive sessions. For the last 5 d before the first imaging session, mice were accustomed

677 to be gently fixed in the experimenter's hand for the fixation of the miniscope before the 678 session and were trained with dummy 'miniscopes' that were equal in height and weight, 679 attached to the baseplate. Training with dummy miniscopes lasted until an accuracy >80% 680 and an omission rate <50% in two consecutive sessions was reached again. On subsequent 681 days, mice were trained for 3 d with an actual, tethered miniscope in distinct operant chambers 682 set up for simultaneous imaging, followed by the first imaging session conducted also in the 683 baseline stage. Subsequently, the imaging sessions (30 min) were repeated with different 684 challenge conditions in the same order for every mouse (see Figure 1E). Some imaging 685 sessions needed to be repeated due to technical failures. In between imaging sessions, mice 686 were trained in the same testing chambers in the baseline stage with the miniscope attached. 687 After imaging sessions with the challenge protocols were completed, some mice underwent 688 a separate set of pharmacological experiments in the 5-CSRTT (data not shown in this 689 manuscript), after which training in the baseline protocol and then further imaging sessions in 690 the combined 0.8s-SD/7s-ITI challenge protocol with concomitant manipulation of value or 691 occurrence of reward followed: Firstly, imaging was conducted under normal conditions of 692 food-restriction and reward-delivery (baseline), secondly imaging was conducted after 693 devaluation of reward by pre-feeding (providing 6 g of food overnight and 2 ml of milk reward 694 1 h before session start), thirdly, two sessions followed under conditions of normal food-695 restriction but omission of reward (extinction). Training in the baseline protocol without imaging 696 was conducted before and after the devaluation session, but not between the extinction 697 sessions.

698 Surgical procedures

After the mice reached at least stage 4 (4 s SD, 5 s ITI) of the 5-CSRTT, animals were anaesthetized using isoflurane (AbbVie, G), received subcutaneous injections of analgesics (0.08 mg/kg buprenorphine, Bayer, G; 1 mg/kg meloxicam, Boehringer Ingelheim, G), and local scalp anaesthesia (200 μ l of 0.025 % bupivacaine, AstraZeneca, UK) before placement in a stereotaxic frame (Kopf, US; manual digital frame, World Precision Instruments, US) with 704 non-rupture mouse ear bars. The body temperature was stabilized using a feedback-705 controlled heating blanket (Harvard Apparatus, US) and the anaesthesia was maintained with 706 1.5 % isoflurane. The following stereotaxic coordinates (from bregma) and volumes were used 707 for bilateral transfection of the stated areas; ACC: injection at AP +1.0, ML 0.4, DV 1.3 (300 nl) and 1.7 (200 nl); ventral mPFC: injection at AP +2.2, ML 0.3, DV 2.2 (200 nl). An AAV5-708 709 CamKIIa-GCaMP6m vector suspension (6.2*10¹² vg/ml; University of Zürich viral vector 710 facility; UZH-VVF, CH) was diluted down 1:1 to a final titre of 3.1*10¹² vg/ml in 5 % sorbitol/PBS (Sigma, G) and infused using a 10 μ l precision syringe (WPI, US) at an infusion rate of 711 712 100 nl/min. To minimize backflow of the virus, the needle was kept in place for 5 min at each 713 site after infusion, and additionally for another 5 min 0.1 mm above the last infusion site. 714 Subsequently, the wound was sutured, the mouse was allowed to recover in a temperature-715 controlled chamber at 36°C, and provided with mesh-food, gel-food and daily post-operative 716 monitoring for 7 d, including application of meloxicam (Metacam, 1 mg/kg, Boehringer 717 Ingelheim, G) on the first 3 d. The mice were kept on *ad libitum* food.

718 Approximately, one week after the injection of the viral construct, a gradient refractory index 719 (GRIN) lens (Inscopix, CA, USA) was implanted. The surgery initially followed the steps 720 described above for the virus injection and was followed by two craniotomies into the occipital 721 and parietal bone where a screw (1 mm diameter, Precision Technologies, GB) was placed 722 into each hole for later implant stability. A craniotomy for the lens (1 or 0.5 mm in diameter for 723 ACC or mPFC, respectively) was made above the original infusion site. Before lowering the 724 lens into the brain tissue, the skull was dried for better glue attachment and the lens was 725 cleaned with 70% ethanol or 100% isopropanol. From the brain surface, the lens was held 726 vertically by a pipette tip with negative internal pressure created by a vacuum pump and 727 lowered by 20 µm every 30 s into the brain tissue at the original infusion site until reaching a 728 depth of 1 mm (ACC). For mPFC, a custom-made GRIN-lens injector ("GRINjector") was used 729 placing the lens at 2 mm from the brain surface. Super-glue (Loctite 401, Henkel, DE) was applied to attach the lens to the skull, followed by light-curable dental adhesive (Breeze[™], 730

Pentron, US). Dental cement was applied on the exposed skull with approx. 1 mm of the lens
protruding out from the skull. Kwik-Sil™ (WPI, US) was applied on the lens to protect it from
later mechanical damage. Post-operative care was applied as after the first surgery.

734 Between 2-6 wks after lens implantation, the GCaMP6m expression was checked. For this, 735 the mouse was anaesthetized and fixed to the stereotaxic frame as described above. After 736 removing the Kwik-Sil[™] cone, the lens surface was wiped with lens tissue soaked in 70% 737 ethanol or 100% isopropanol. The baseplate was attached to the miniscope and to the 738 stereotactic frame using a clamp. Depending on the quality and quantity of GCaMP6m-739 expressing cells, the baseplate was persistently fixated to the implant by applying light-curable 740 dental cement (Flow-It[™], Pentron, US) around the lens, layer by layer leaving a small (approx. 741 1 mm) gap below the baseplate, which was filled with 2-component adhesive (Loctite 3090, 742 Henkel DE) for ultimate fixation. After drying, the miniscope was de-attached and a custom-743 made protective cap was put on the baseplate and fixated by the baseplate screw.

744 Calcium imaging

745 Calcium imaging was done using UCLA miniscopes v3 or v4 [29], including their data 746 (https://open-ephys.org) acquisition (DAQ) box and acquisition software 747 (www.miniscope.org). The temporally aligned recording of behavioural events and imaging 748 frames was achieved through pyControl [19,28], connecting the miniscope DAQ-box 749 (https://open-ephys.org) via an input trigger GPIO SMA connector to the pyControl 750 microcontroller board through which the start and the end of image acquisition was controlled 751 by TTL-pulses sent to the miniscope DAQ-box. Prior to the session start, mice were equipped 752 with the miniscope and the optimal focus was set by adjusting the focus slider of the miniscope 753 manually (v3) or the focus electronically (v4). A thin and flexible coaxial cable (CW2040-754 3650SR, Cooner Wire, US) connected the miniscope to the DAQ-box for power supply, LED 755 control, and CMOS data transmission. For some recordings a custom-made motorized 756 commutator [30] was used to eliminate the need to manually un-twist the cable. Images were

recorded at 20 fps, maximum gain, and with an excitation intensity that was adjusted for eachmouse individually.

759 Histology

760 Animals were given an over-dose of ketamine/medetomidine (≥200 mg/kg ketamine, Zoetis, 761 G; ≥2mg/kg medetomidine, Pfizer, US) and perfused with 0.01 M phosphate-buffered saline 762 (PBS) followed by 4 % PFA/PBS. The baseplate and the implant were carefully detached from 763 the scull and the brain was removed and then stored in 4 % PFA/PBS overnight before 764 placement in 20 % sucrose for dehydration before sections were cut at 100 µm thickness on a vibratome (VT1000, Leica, DE). Every second section was stained with DAPI (10⁻⁴ % w/v) 765 766 for 30 min, washed with PBS twice and mounted on glass slides. A Leica DM6B 767 epifluorescence microscope (Leica, DE) was used to scan the slides with a 5x objective and 768 determine virus expression offline.

769 Data analysis

770 Pre-processing of calcium traces

771 Single-photon imaging data for each session were pre-processed using MATLAB as described 772 previously[17]. Each image frame was spatially down-sampled to a 400x400 pixel frame and 773 divided by its low-pass filtered version to remove wide-field fluctuations and brightness 774 gradients over the field of view. After band-pass filtering each frame to enhance structural 775 features of the image to facilitate the alignment of different frames, the TurboReg algorithm 776 [31] was used for motion correction. Each movie was temporally smoothed and temporally 777 down-sampled from 20 Hz to 5 Hz followed by signal normalization of each image frame in 778 units of relative changes in fluorescence, $\Delta F(t)/F0 = (F(t) - F0)/F0$, where F0 is the mean 779 image obtained by averaging the entire movie. For cell sorting, spatial filters corresponding to 780 individual neurons were identified using an automated cell sorting routine based on principal 781 and independent component analysis (PCA/ICA) [32]. Extracted spatial filters were verified as 782 neural cells upon visual inspection based on size, morphology and the activity trace.

783 **Temporal alignment of calcium traces to behavioural events**

784 Calcium traces of each cell were z-transformed using the Matlab function zscore to control for 785 variations between animals and sessions. Custom written Matlab scripts were used first to 786 align the behavioural and the imaging data determining the timestamps for the ITI start, cue 787 presentation, choice and outcome (referred to as epochs) of each trial in terms of frame 788 numbers, and for labelling the trial with the corresponding choice of the mouse, i.e. correct, 789 incorrect or premature response, or omission (referred to as response type). In each trial, the 790 calcium signals were extracted within defined time windows from 4 s before to 7 s after the 791 event timestamp of the onset of each epoch. The extracted traces were then averaged across 792 trials of the same response type, thereby forming population vectors that represented each 793 response type aligned to the onset of each epoch. Peri-event time histograms were created 794 by plotting heat maps of the population vectors for each response type aligned to the choice 795 onset (Figure 2). The cells were sorted based on their average peak latency. For cross-796 validation, heat maps were created based on the population vectors created from averaging 797 only across even or odd trials, which were then correlated across cells within each time bin to 798 receive a measure for the reliability of the temporal activity pattern. K-means clustering was 799 applied using the Matlab function *kmeans* with a preset number of four clusters and the 800 distance metric set to 'cosine'. Thereby, the cells were grouped based on the similarity of their 801 calcium signals, which were extracted within defined time windows relative to the onset of the 802 respective choice (see above) and averaged across *odd* trials. Subsequently, the cells were 803 sorted according to their cluster assignment and peak latency applying the sorting order to the 804 calcium signals averaged across even trials and plotting them using peri-event time 805 histograms (Figure 2C-D). For each cluster, the single-trial calcium signal of a corresponding 806 exemplary cell was plotted for each response type using peri-event time histograms (Figure 807 2E-F).

808 **Decoding analysis of population activity**

809 Binary linear support vector machine (SVM) classifiers (Figure 3A-C and 6E-F) were trained 810 and tested on differentiating between trials with correct responses and those with either

811 omitted, premature or incorrect responses based on the amplitude of the calcium trace of all 812 neurons in a FOV combined in 200 ms time-bins in a time-window from 4 s before until 7 s 813 after the onset of each choice poke. For training the classifier, the Matlab function *fitcsvm* was 814 used with the kernel function, box constraint, kernel scale and standardization set to 'linear', 815 '1', 'auto' and 'false', respectively. The dataset of every session (with a minimum of 6 events 816 for each response type) was randomly partitioned into the training (each observation was 817 labelled with the response type) and test set (lacking label assignment) in a 80/20 ratio, while 818 ensuring the test set maintained balance, resulting in an imbalanced distribution within the 819 training set for most sessions. For sessions, where the number of trials varied extensively 820 across response types, trials from the response type with a higher number of events were 821 randomly removed until achieving balance in the test set. For training the SVM classifier, a 822 balanced training set is essential to prevent a classification bias towards the majority class 823 (i.e. the behavioural event class with the highest number of observations in the respective data 824 set) [35]. Using the synthetic minority oversampling technique (SMOTE) [36,37] on the training 825 set, the number of observations in each event class was equalized by artificially synthesizing 826 new samples in the minority classes (i.e. the behavioural event classes with a lower number 827 of observations than the majority class). This algorithm randomly selects an observation from 828 the underrepresented event class and identifies its four nearest neighbours, of which one is 829 randomly chosen. A value is randomly picked in the Euclidean distance between the 830 observation and the neighbour and is assigned to the new synthesized sample. The smote 831 approach requires the number of events in the minority class to be greater than the number of 832 set neighbours (i.e. four) and the ratio between the number of events in the majority and 833 minority class to be less than the set number of neighbours (i.e. four). In sessions where this 834 was not the case, events were up-sampled for the minority class using SMOTE with the 835 number of neighbours set to the number of events in the minority class and/or trials were 836 randomly removed from the majority class until the required conditions were met. The entire 837 procedure of random data set partitioning, SMOTE up-sampling of the training set, and the 838 subsequent training and testing of the decoder was repeated 100-times, thereby producing 839 100 averages and 100 s.e.m. values, which were averaged to yield a grand mean and grand 840 s.e.m value representing the decoding performance based on each session. As a control for 841 the test decoder, a second binary classifier model was established as described above, but 842 labels of the training set in each fold within each session were shuffled prior to classifier 843 training, thereby creating distributions that represented chance level (null distribution) [38].

Since mice mostly made an insufficient number of incorrect responses during the varITI challenges, to allow this type of decoding analysis, the data from several sessions with at least 846 8 incorrect responses were grouped across challenge protocols (five combined sessions, two 847 varITI sessions, two fixed-ITI sessions) to perform a separate decoding analysis that included 848 incorrect responses (Figure 3C).

849 Multiclass decoding was performed to predict into which of the five poke holes the mouse 850 poked into during a given trial including all response types or correct responses only, using a 851 multi-class SVM classifier [39,40] with the same approach as described above (Figure 4D). 852 The linear SVM multi-classifier was trained using the matlab function *fitcecoc* with the kernel 853 function, box constraint, kernel scale and standardization set to 'linear', '1', 'auto' and 'off', 854 respectively. Additionally, the option coding was set to 'onevsall'; the one-vs-all strategy 855 performs a separate binary classification for each class in the dataset (i.e. in total four) treating 856 it as the positive class, whereas all other classes combined are treated as the negative class. 857 Testing is performed by independently applying every sample from the test data set on each 858 trained binary classifier yielding confidence values with the highest selecting the predicted 859 class for this sample.

860 Encoding analysis of the modulation of neural activity by behavioural events

Linear regression models were created to predict the calcium signal in 200 ms timebins in a time window from 4 s before until 7 s after the onset of the choice epoch for each individual neuron (Figure 5 and 7). Regularized linear regression was performed using the Matlab function *lasso* applying L1 (lasso) regularization with 10-fold cross-validation to find the optimal regularization strength λ that minimizes the loss. Binary predictors were used to code for the 866 presence of a poke (active poke vs. omission), the spatial poke identity (four one-hot predictors 867 corresponding to left-right directionality, right poke discrimination, left poke discrimination and middle poke discrimination), and correct (rewarded) responses (vs. erroneous and omitted 868 869 responses combined) in each trial (see Figure 5A for predictor matrix). To test how much of 870 the variance of the activity of individual neurons at every time bin could be explained by each predictor, the coefficient of partial determination (CPD) was calculated, measuring how much 871 872 further the predictor contributed to the explanation of the full regression model [21,33,34]. 873 CPDs were determined for each predictor by subtracting the mean squared error of the full 874 linear regression model from the mean squared error of the reduced regression model, where 875 the predictor for the specific event type in question was removed. The CPD for predictor *i* is 876 defined as:

$$CPD_i = \frac{MSE_{X-i} - MSE_X}{MSE_{X-i}}$$

where MSE_{X-i} is the mean squared error in a regression model that includes all of the relevant predictor variables except *i*, and MSE_X is the mean squared error in a regression model that includes all of the relevant predictor variables. To compute the CPD for spatial poke identity, all of the four spatial one-hot predictors were removed together.

For the devaluation and extinction conditions (Figure 7), which led to reduced numbers of correct responses, pseudo-randomly chosen events from the baseline (control) condition were selected to roughly match the number of the respective experimental condition for each pokehole and response type, to ensure that CPDs in the baseline session are not simply higher because of a larger number of trials or responses used for their calculation. This downsampling was repeated 100 times and the CPDs of the respective predictors averaged across iterations before plotting.

889

890 Statistics

Behavioural data was analysed using Matlab (R2019a, The MathWorks Inc, USA) and only 891 892 two-sided tests were used. 5-CSRTT performance during calcium imaging sessions (Figure 893 1D-E, Figure 6A-D) was analysed using an ANOVA involving the task paradigm as between-894 subject independent variable and one of the behavioural parameters as dependent variable. 895 In case of a significant effect of task paradigm, Dunnett's post-hoc tests were conducted 896 between the baseline and any other challenge. Decoding accuracies (Figure 3) were 897 statistically compared using repeated-measures ANOVA with the time-bin and epoch type 898 variable as within-subject factors. A Dunn-Sidak-test was used for post-hoc testing. 899 Comparisons against accuracies of control classifiers (trained with shuffled labels, performing 900 at chance level) in decoding analyses or against 0% CPD in encoding analyses have been 901 done with paired-sample or one-sample t-tests, respectively, with Benjamini-Hochberg 902 corrections for the repeated testing in each time interval. All applied statistical tests are stated 903 in the corresponding figure legends. All bar and line graphs display mean ± s.e.m. or data from 904 individual mice, as indicated.

905

906 Data availability

All raw data can be obtained from the corresponding author upon reasonable request. Scripts of all task files applied in custom-made operant boxes can be obtained from https://github.com/KaetzelLab/Operant-Box-Code and design files for such operant boxes are deposited at <u>https://github.com/KaetzelLab/Operant-Box-Design-Files</u>.

911

912 Code availability

913	Analysis	scripts	are	available	from	GitHub	at
914	https://github.com/martinjendryka/Jendryka_et_al_ACC_imaging_5CSRTT.git.						

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1042 Author Contributions

M.M.J., B.L., A.P., T.A. and D.K. designed the study. M.J. and U.L. conducted behavioural
experiments. M.M.J. conducted surgeries. S.K.T.K., T.A., and D.K. developed pyOS-5 operant
box hardware and software; S.K.T.K. programmed operant box task protocols and integration
of miniscope recordings. B.F.G. and H.D. provided assistance with manufacturing and usage
of UCLA v3 miniscopes. B.F.G., B.L. and D.K. provided essential resources. M.M.J. analysed
the data with advise from B.F.G., T.A. and D.K.. M.M.J. and D.K. wrote the manuscript, which
was revised by all authors.

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1051 Competing Interests statement

1052 The authors declare no competing interest. A.P. is an employee of Boehringer Ingelheim.