

# Homogeneous Catalysis 1: Basics

Mirza Cokoja

South German Catalysis Institute Grad School Course

Obertauern, March 04<sup>th</sup>, 2020

# Book recommendations

## Organometallic chemistry

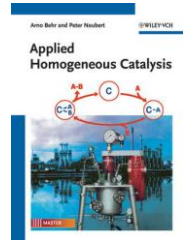
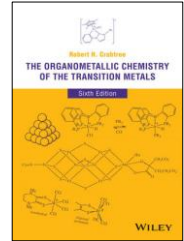
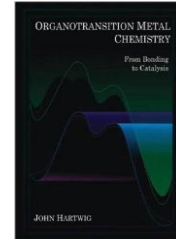
- J. F. Hartwig, *Organotransition Metal Chemistry: From Bonding to Catalysis*, University Science Books, 2010
- R. H. Crabtree, *The Organometallic Chemistry of the Transition Metals*, 6<sup>th</sup> Ed., J. Wiley & Sons, 2014

## Homogeneous catalysis

- D. Steinborn, *Fundamentals of Organometallic Catalysis*, Wiley-VCH, 2012
- A. Behr, P. Neubert, *Applied Homogeneous Catalysis*, Wiley-VCH, 2012

## Industrial catalysis

- H.-J. Arpe, *Industrial Organic Chemistry*, 4<sup>th</sup> Ed., Wiley-VCH, 2003



# About me



## CV

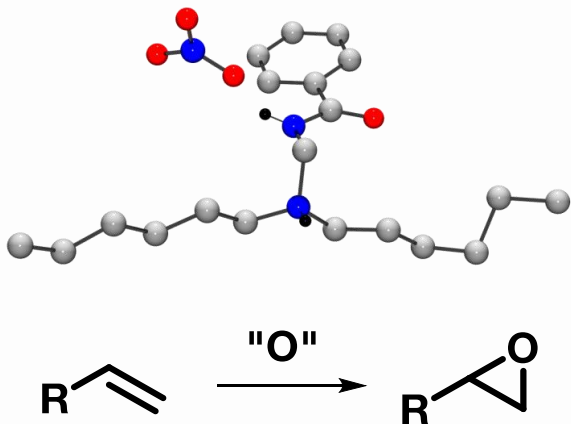
- 2007: PhD in Bochum (with R.A. Fischer)
- 2008: Postdoc in Toulouse (AvH, with B. Chaudret)
- Since 2009: Researcher@TUM

## Teaching

- Inorganic and Metal-Organic Chemistry
- Homogeneous Catalysis

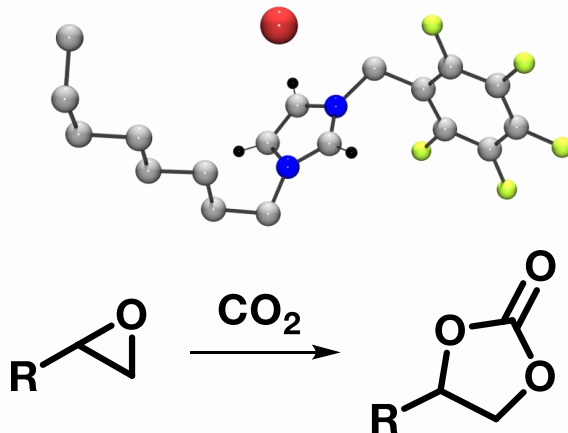
# My research interests

## ILs as micellar catalysts



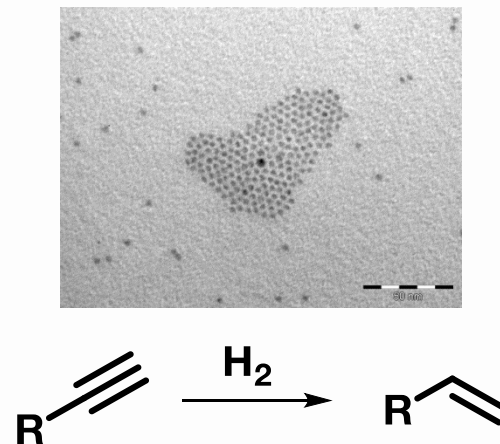
*ChemSusChem* **2016**, 9, 1773  
*Chem. Commun.* **2015**, 51, 3399  
*Catal. Sci. Technol.* **2014**, 4, 3845  
*ChemSusChem* **2014**, 7, 429  
*Chem. Eur. J.* **2013**, 19, 5972

## CO<sub>2</sub> upgrading



*ChemCatChem* **2015**, 7, 94  
*Chem. Eur. J.* **2014**, 20, 11870  
*Catal. Sci. Technol.* **2014**, 4, 1534  
*Catal. Sci. Technol.* **2014**, 4, 1638  
*ChemSusChem* **2014**, 7, 1357

## Selective hydrogenations



*J. Colloid Interface Sci.* **2016**, 478, 72  
*Catal. Commun.* **2017**, 100, 85

What are your expectations?

Homogenous Catalysis

DOI: 10.1002/anie.201305308

# Oligomerization of Ethylene to $\alpha$ -Olefins: Discovery and Development of the Shell Higher Olefin Process (SHOP)

Wilhelm Keim\*

multiphase catalysis · olefins · oligomerizations ·  
polymer chemistry · SHOP

*Dedicated to Professor Karl Ziegler and  
Professor Günther Wilke*

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Angew. Chem. Int. Ed. 2013, 52, 12492–12496



Wilhelm Keim studied chemistry at the Universities of Münster and Saarbrücken (diploma) and obtained his doctorate 1963 at the Max-Planck-Institut für Kohlenforschung in Mülheim. He completed a one-year postdoctorate at the Columbia University in New York (1964–1965) and worked from 1965 to 1973 as a chemist, group leader, head of department and manager for Shell Development, USA. From 1973 to 2000 he held the Lehrstuhl für Technische Chemie und Petrochemie at RWTH Aachen. He has received numerous prestigious honors.

**Luck, talent, and hard work:** Currently, over a million tons of  $\alpha$ -olefins are manufactured per annum using the Shell Higher Olefin Process (SHOP). The discovery of the process was the result of

correct model conceptions and lucky coincidence. W. Keim, one of the main participants in the development of SHOP, gives a personal account of the events.

Angewandte  
International Edition  
Chemie

## Essays

### Homogenous Catalysis

W. Keim\* ————— 12492 – 12496

Oligomerization of Ethylene to  $\alpha$ -Olefins:  
Discovery and Development of the Shell  
Higher Olefin Process (SHOP)

# The Shell Higher Olefin Process

Synthesis of middle, *internal* olefins from a mixture of long and short *terminal* olefins

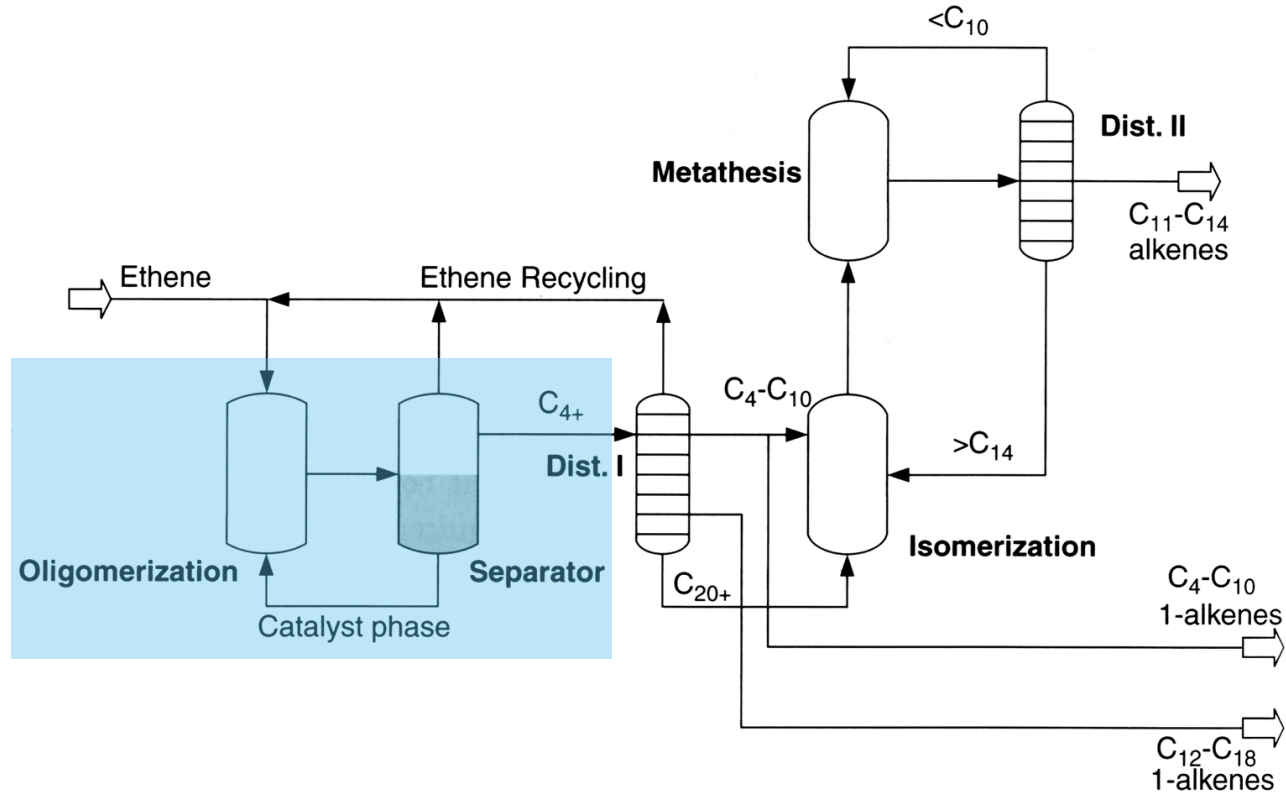
→ Sequence of three processes (ca. 12 million tons per year):

- 1) Olefin oligomerization (homogeneous Ni-cat.)
- 2) Olefin isomerization (Na/K or MgO)
- 3) Olefin metathesis (Co/Mo oder Re)



# The Shell Higher Olefin Process

Flow diagram of the process





# The driving force

When the author started at Shell Development in Emeryville in 1965 the following economic environment existed:

1. Shell Chemicals had just commissioned a hydroformylation plant to manufacture fatty alcohols (Neodol) in Geismar, Louisiana. Due to ecological requirements a change away from “hard” detergents with branched chains to “soft” detergents with linear fatty alcohols was emerging. The lack of biological degradation of the branched surfactants had resulted in the accumulation of foam on rivers and seas. Furthermore, the detergents based on Neodol showed good washing performance in hard water and could be used at low washing temperatures. They also worked well in formulations with low phosphate content, which reduced the eutrophication of surface waters. The fatty alcohols produced by Shell were then in high demand, and the market developed high growth rates.
2. The olefins used in Geismar for hydroformylation were produced by the halogenation of alkanes with chlorine and elimination of HCl. This process had considerable disadvantages, as the monoolefins produced in this way shorten the lifetime of the cobalt phosphane catalyst.
3. Shell planned the construction of a large-scale gas oil cracker. A market was still needed for a major portion of the generated ethylene.

Against this background,  $\alpha$ -olefins and linear monoolefins with an internal double bond were in demand at Shell Chemicals for hydroformylation. The research division of Shell Development Company was instructed to work on the oligomerization of ethylene to linear  $\alpha$ -olefins and linear monoolefins. At that time  $\alpha$ -olefins were primarily produced by cleavage of wax and the Ziegler polymerization of ethylene. The wax splitting and the Ziegler process are very expensive and give lower quality products for the manufacture of detergents.

As a chemist who had completed his dissertation under the supervision of K. Ziegler and G. Wilke, I was very familiar with the transition-metal-catalyzed C–C coupling of olefins. Numerous homogenous transition-metal catalysts with monodentate phosphane ligands had been described by which the direction of the C–C linkage could be influenced. The use of ligands containing polydentate phosphane was hardly described; hence it seemed appropriate to investigate bidentate phosphane ligands. The concept described in Figure 1 shows the basis of the underlying considerations.

# Designing the catalyst

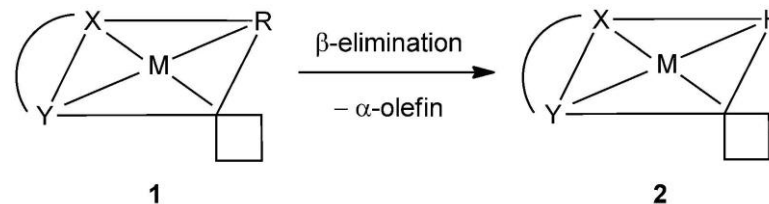
The bidentate chelate ligand XY should exhibit the following properties: it should force square-planar coordination at nickel and as a donor ligand it should enable the stereochemical distinction between the *trans* (electronic factor) and *cis* positions (steric factor); the ligand should be hemilabile. Nickel was chosen as the metal as I had much experience with organonickel compounds for the C–C linkage of olefins in my dissertation “ $\pi$ -Allyl Complexes of Nickel, Palladium, and Platinum”. Ethylene should coordinate on complex **1** as shown in Figure 1 and in a subsequent step insert into the nickel–carbon bond M–R (growth). A subsequent  $\beta$ -elimination should release  $\alpha$ -olefins with formation of the nickel hydride complex **2**. The latter then coordinates ethylene, which is again inserted, so that **1** is generated again. Thus a catalytic cycle occurs in which repeated ethylene coordination and insertion form the basis of chain extension.

Why square-planar?

Why hemilabile?

Why nickel?

How & why does this catalysis work?



R = growing, linear, ethylene-derived carbon chain

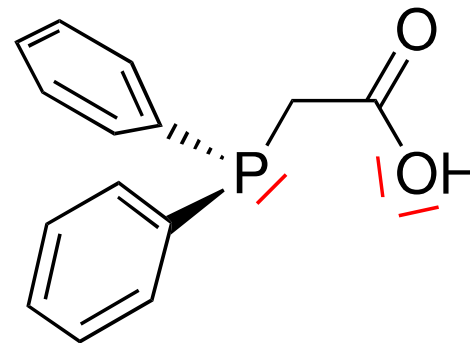
M = nickel

X  $\curvearrowright$  Y = bidentate chelate ligand

Y = P; X = O, N, S etc.,  $\square$  = ethene coordination site

# The ligand

At the same time in our group, T. Shryne pursued the rhodium-catalyzed hydrogenation of sulfolene to sulfolane. He synthesized the ligand  $\text{Ph}_2\text{PCH}_2\text{COOH}$ , which had been produced for the first time by K. Issleib. This also seemed to me to be of interest for my work on ethylene oligomerization. Was it the correct proposed model concept (Figure 1)? Was it a stroke of luck (“serendipity in research”)? On April 14, 1968 the reaction of  $\text{Ph}_2\text{PCH}_2\text{COOH}$  with  $[\text{Ni}(\text{cod})_2]$  (cod = 1,5-cyclooctadiene) resulted in a red solution, which oligomerized ethylene to linear  $\alpha$ -olefins with a Schulz–Flory distribution (geometrical series, Figure 2). Figure 3 shows the first GC analysis of the synthesized olefins.



What is a 'hemilabile' ligand?  
...and why is it important for catalysis?

# The solvent

The oligomerization catalysts were very expensive, so that the question of ending the development work was also raised here. Again it was “serendipity in research” which helped the breakthrough. Up to this point I had used toluene as the solvent in all catalysis experiments. One day, my laboratory assistant A. Nabong swapped the solvent bottles and used acetonitrile instead of toluene. When the autoclave was opened there were two phases: a white phase consisting of pure  $\alpha$ -olefins and a red phase containing the catalyst in acetonitrile. Another stroke of luck! The possibility of catalyst recirculation in a two-phase procedure was born. Now the optimal solvent had to be found. Around this time, the development of the sulfolane process at Shell Development in Emeryville for the extraction of aromatics and dienes was completed. The chemical engineers involved in the development of the sulfolane process possessed considerable knowledge about solvents, which in our case should demonstrate the following properties: suitable partition coefficient, good solubility for ethylene, poor solubility for the synthesized  $\alpha$ -olefins, catalyst stability, and good heat exchange. 1,4-Butanediol proved to be a suitable solvent.

# Homogeneous vs. heterogeneous catalysts

## Pro's & con's

Aspect	Homogeneous	Heterogeneous
Structure/stoichiometry	Defined	Not defined
Active centers	100 %	Small fraction
Diffusion	No problems	Partly high
Reaction conditions	< 150 °C	>> 150 °C
Chemoselectivity	High	Can vary
Stereoselectivity	High	Low
Catalyst separation	Difficult	Easy

# Is homogeneous catalysis (industrially) relevant?

## Angewandte Author Profile

Angewandte  
International Edition  
Chemie



W. A. Herrmann

### Wolfgang A. Herrmann

**Date of birth:** April 18, 1948  
**Position:** President of the Technical University of Munich  
**E-mail:** president@tum.de  
**Homepage:** <http://aci.anorg.chemie.tu-muenchen.de>  
**Education:** 1971 Undergraduate degree, diploma thesis supervised by Prof. Ernst Otto Fischer, TU Munich  
1973 PhD from the University of Regensburg supervised by Prof. Henri Brunner  
1975–1976 Postdoctoral position at Pennsylvania State University with Prof. Philip S. Skell  
**Awards:** 1985 Wilhelm Klemm Award of the German Chemical Society; 1987 Gottfried Wilhelm Leibniz Award of the German Research Foundation; 1989 Humboldt Research Award; 1991 Max Planck Research Award; 2004 ACS Award in Organometallic Chemistry; 2007 Bavarian Order of Merit  
**Research interests:** Organometallic chemistry, catalysis  
**Hobbies:** Music, in particular solo performances on piano and organ

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Angew. Chem. Int. Ed. 2012, 51, 8148–8149

#### *Has your approach to publishing your results changed since the start of your career?*

Yes indeed. In contrast to my earlier days, I don't publish isolated results any more, but rather contextually. This is due to the fact that I have already made my mark as a scientist. If I was to start again, I would publish in the same style as then.

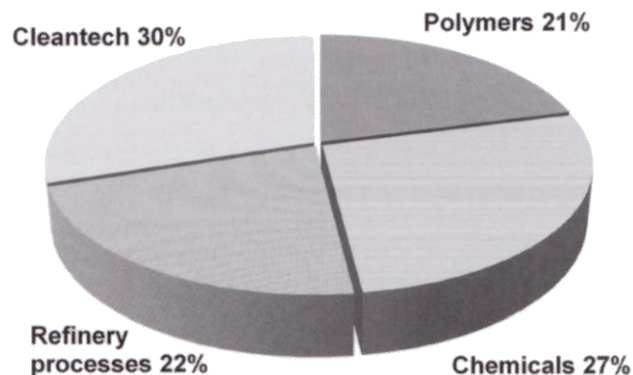
#### *What do you think the future holds for your field of research?*

Molecular catalysis will replace heterogeneous catalysis step by step, since the latter works under

much harsher conditions with respect to factors such as temperature and pressure. Also bioinorganic chemistry will maintain its rapid advance, which is already largely finished for organometallic catalysis. The understanding of the many metals in living organisms is still in its infancy, as is the catalytic utilization of biogenic resources (plant material). A lot of new territory remains to be explored. One other main target is the selective catalytic conversion of methane to value-added chemicals.



# Molecular catalysts in industry



**Figure 3.1** Application areas of catalysts (worldwide, 2004).

**Table 3.1** Worldwide industrial production of chemicals by homogeneous catalysis.

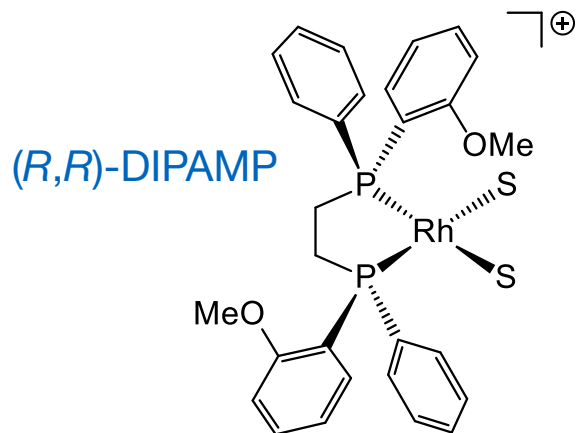
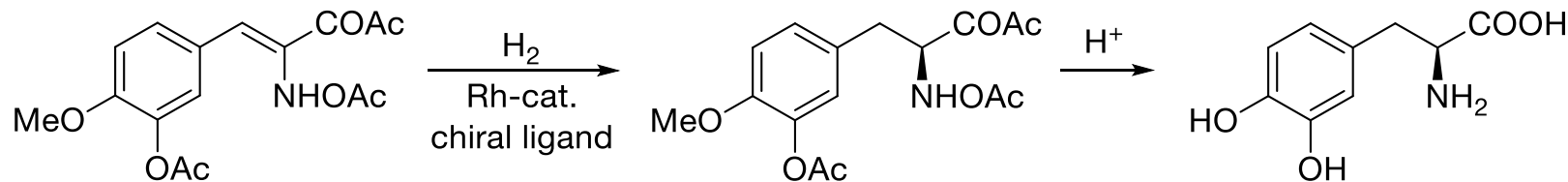
Catalytic process	Catalyst metal	Capacity (1000 t a <sup>-1</sup> )
<i>Bulk chemicals</i>		
Oxidation processes	Co, Pd, Cr,...	18 000
Hydroformylation of alkenes	Rh	>7500
Carbonylation of methanol/methyl acetate	Rh, Ir/Ru	>7000
Hydroformylation of isooctenes/ethylene oxide	Co	>2000
Hydrogenations	Rh, Ru, Ir,...	1700
Oligomerization of ethene	Ni	1200
Hydrocyanations	Ni	1000
Olefine polymerizations	Zr, Ti	500
Carbonylation of ethene	Pd	140
Trimerization of ethene	Cr	100
Telomerization of butadiene with methanol	Pd	100
<i>Fine chemicals:</i>		
(S)-Metolachlor (hydrogenation)	Ir	10.0
Ibuprofen (carbonylation)	Pd	5.0
Octadienols (telomerization)	Pd	5.0
Citronellal (isomerization)	Rh	1.5
Boscalid (Suzuki-coupling)	Pd	>0.5
Terbafin (Sonogashira-coupling)	Pd/Cu	0.1



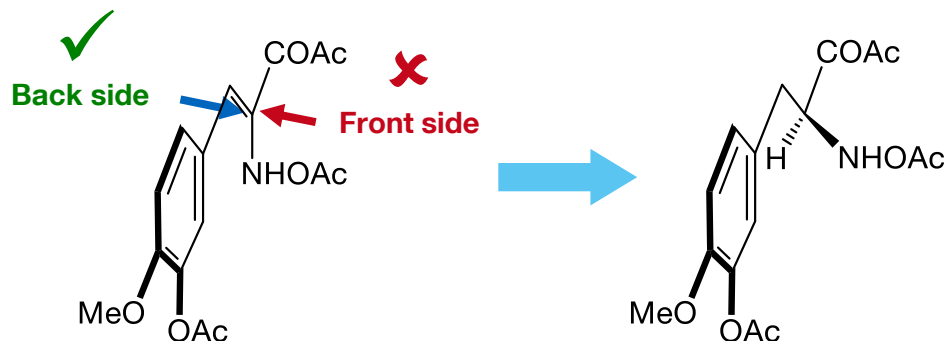
# Why homogeneous catalysis ?

# Enantioselective hydrogenation

Synthesis of L-Dopa (agent against Parkinson disease)



Knowles, 1968 (Nobel Prize 2001)



# Nobel Prizes for catalysis research



W. Ostwald



1909



F. Haber



1918



C. Bosch



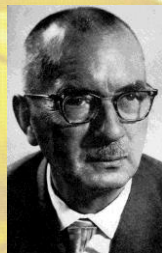
1931



F. Bergius



1963



K. Ziegler



G. Natta



W. S. Knowles



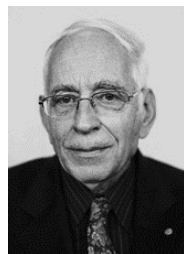
R. Noyori



2001



K. B. Sharpless



Y. Chauvin



R. H. Grubbs



2005



R. R. Schrock



G. Ertl



2007



R. F. Heck



E. Negishi



2010



A. Suzuki



F. H. Arnold



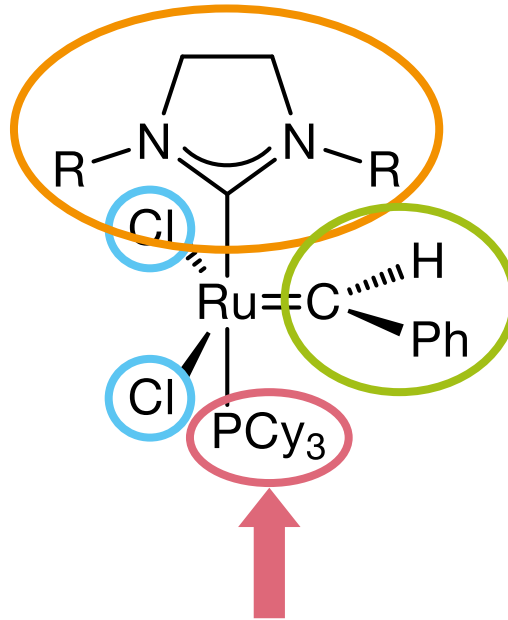
2018

Let's get to the basics...

# Principles of molecular catalysis

## Basics of coordination chemistry

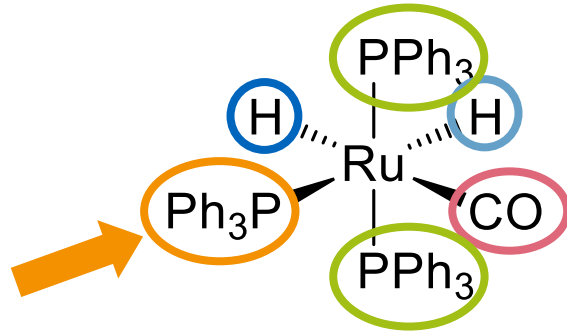
Which ligand will most easily (= quickly) dissociate?



# Principles of molecular catalysis

## Basics of coordination chemistry

Which ligand will most easily (= quickly) dissociate?



Obviously, the dissociation of a ligand depends on:

- ⇒ the bond strength (thermodynamics)
- ⇒ the steric demand
- ⇒ the charge (→ Coulomb force)
- ⇒ the effect of the *trans* ligand

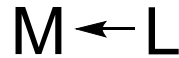
# Design of molecular catalysts

## Parameters for catalyst design

- ⇒ Free coordination site at the metal is required
- ⇒ Electronic situation at the metal
  - Metal is electron poor/rich; late TM ( $d^8$ - $d^{10}$ ) activate bonds
  - Ligands;  $\sigma$ -/ $\pi$ -donors increase the electron density at the metal
- ⇒ Steric demand of ligands – regio- and stereoselectivity
  - Ligand size
  - Chirality of the ligand
- ⇒ Anionic ligands (coordinating vs. non-coordinating)
- ⇒ Solvents (→ placeholders for vacant coordination sites)

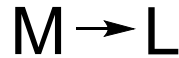
## Metal-ligand interaction

Nature of the coordinative bond  $\Rightarrow$  interaction between metal d-orbitals and  $\sigma$ -,  $\pi$ - or  $\pi^*$ -orbitals of a ligand



Ligand donates electrons to the metal

$\sigma$ -symmetry of orbitals  
(for  $\pi$ -donors also  $\pi$ -symmetry)  
 $\rightarrow$   $\sigma$ -donor bond



Metal donates electrons to the ligand

$\pi^*$ -symmetry of orbitals  
(for agostic bonds also  $\sigma^*$ -symmetry)  
 $\rightarrow$   $\pi$ -back bond

The reactivity of metal complexes depends on subtle effects:

- Each TM exhibits different reactivity, also dependent on the oxidation state
- The ligands vary in binding strength and reactivity



# The metals

# The metals

## Bond activation

Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn
Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd
La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg
Ac									

H–H activation

C–H

C–O

Reductions

etc....

# The metals

## C–C bond formation

Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn
Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd
La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg
Ac									

Hydroformylation

Cross coupling

Olefin metathesis

Olefin polymerization

etc....

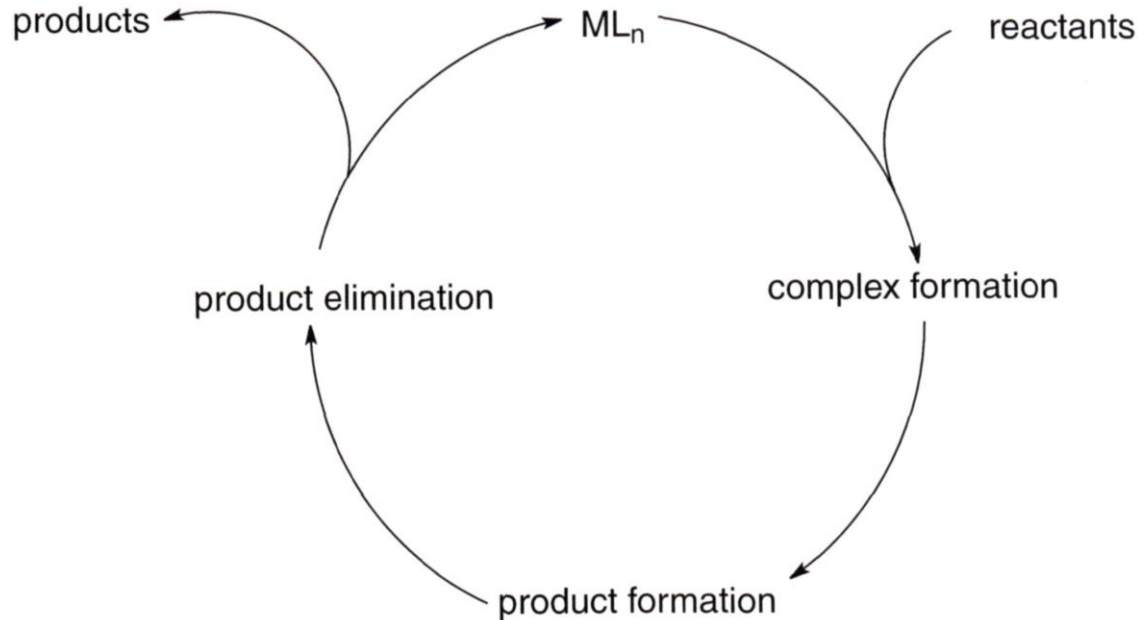
# The metals

## Oxidation catalysis

Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn
Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd
La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg
Ac									

Epoxidations  
Wacker-aldehyde  
etc....

## Principles of organotransition metal catalysis: the concept



**Figure 5.5** General catalytic cycle.

## Principles of organotransition metal catalysis: elementary steps

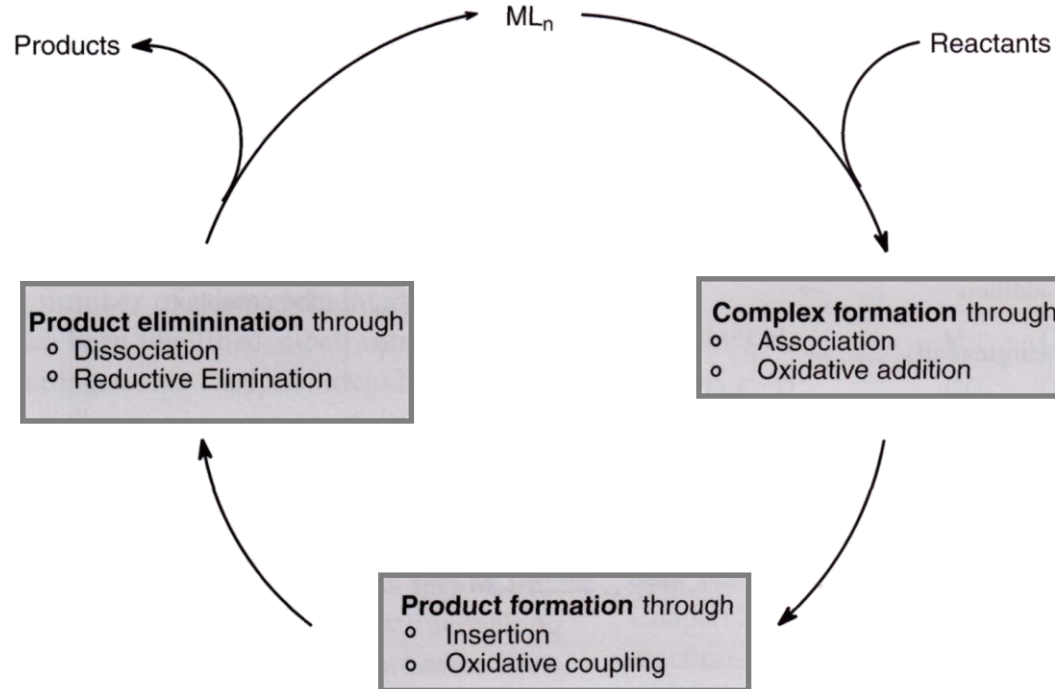
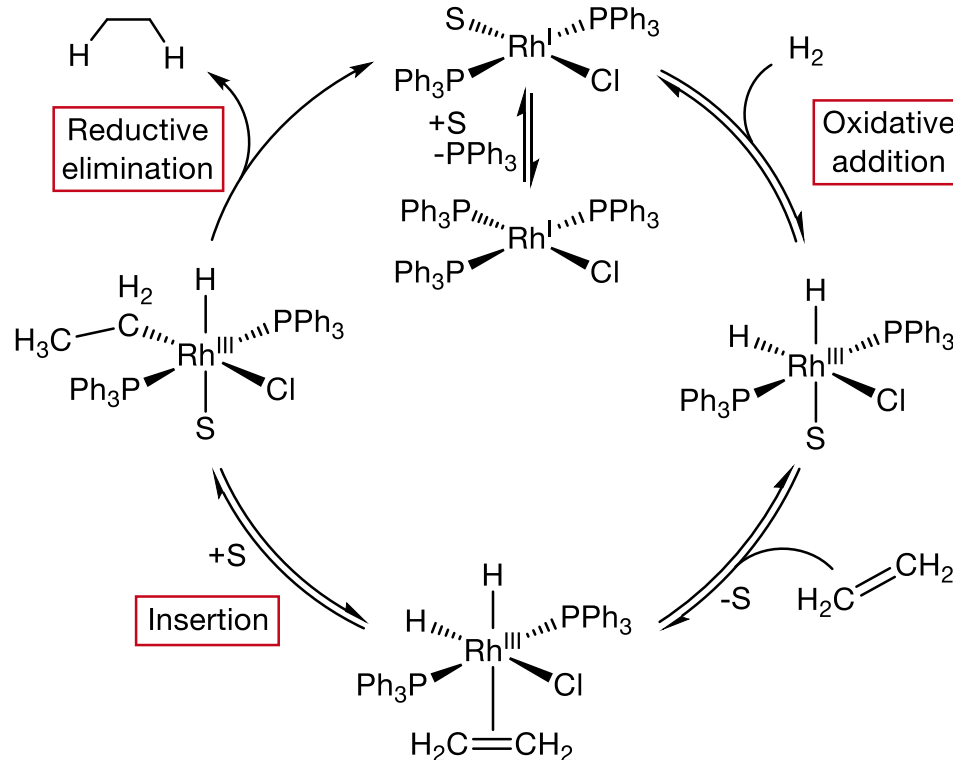


Figure 5.7 Catalyst cycle with elementary steps.

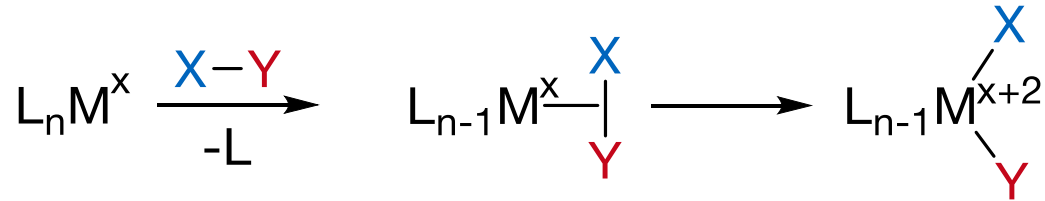
# Example: Catalytic hydrogenation of olefins

Mechanism by J. Halpern (simplified)



# Oxidative addition

## Principle



### Preconditions:

- Electron-rich  $d^8$ - and  $d^{10}$  metals
- Electronically unsaturated 16 VE complexes  $\rightarrow$  free coordination site is required
- Sterically unsaturated complexes

### Two different mechanisms:

- Cleavage of **apolar bonds** (H-H, C-H, C-C, Si-H, ...)
- Cleavage of **polar bonds** (alkyl/aryl halides)

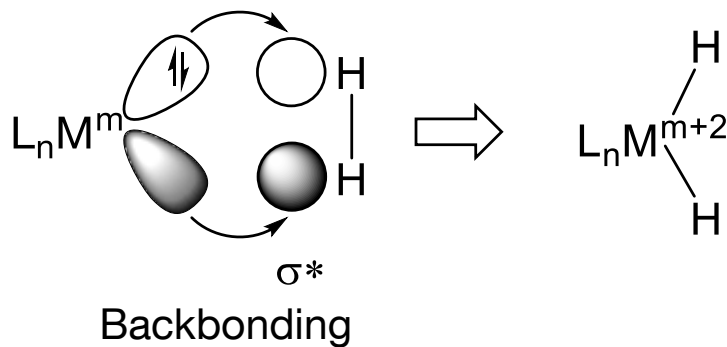


# Oxidative addition

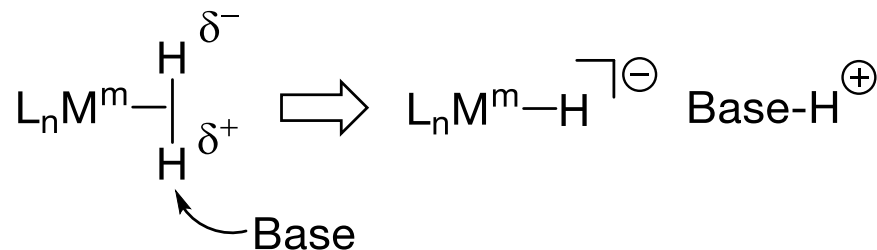
## Homolytic bond activation – the example of dihydrogen

Mechanistic considerations – *cis*-products!

### Concerted oxidative addition



### Heterolytic dissociation

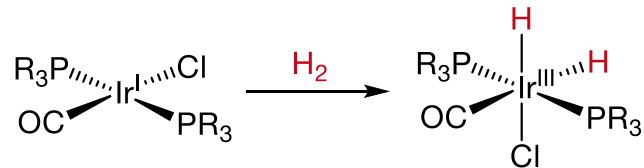
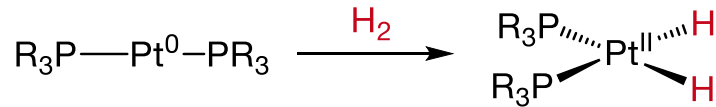


# Oxidative addition

Homolytic bond activation – the example of dihydrogen

Mechanistic considerations – *cis*-products!

Examples:



# Oxidative addition

## Homolytic bond activation – the example of dihydrogen

Isolated product of the oxidative addition of  $\text{H}_2$  to  $\text{Rh(I)}$

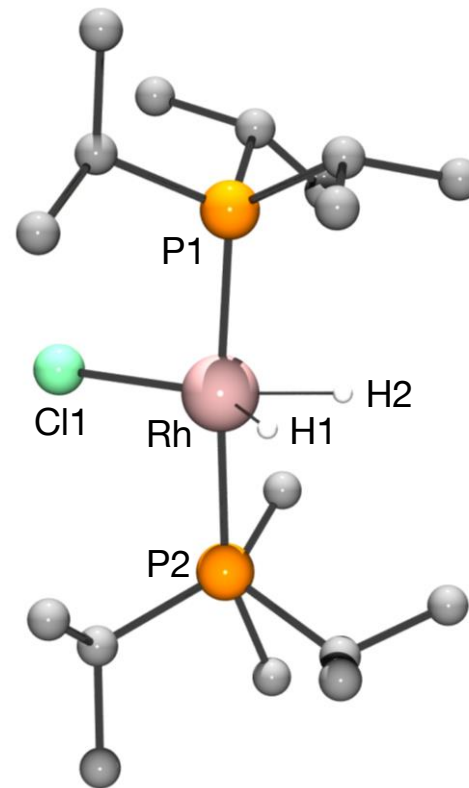
### Angles

P1-Rh-P2:  $175.6^\circ$

H1-Rh-H2:  $66.2^\circ$

### Torsion angle

Cl1-H1-H2-Rh:  $1.0^\circ$



# Oxidative addition

‘Frozen’ oxidative addition –  $\eta^2$ -dihydrogen ligand

Subtle changes influence the product formation

→ Intact H–H bonds

Also detected via  $^1\text{H}$ -NMR and IR

## Neutron diffraction single crystal structure

Distances [pm]:

Angles [°]:

H11–H22: 82.5

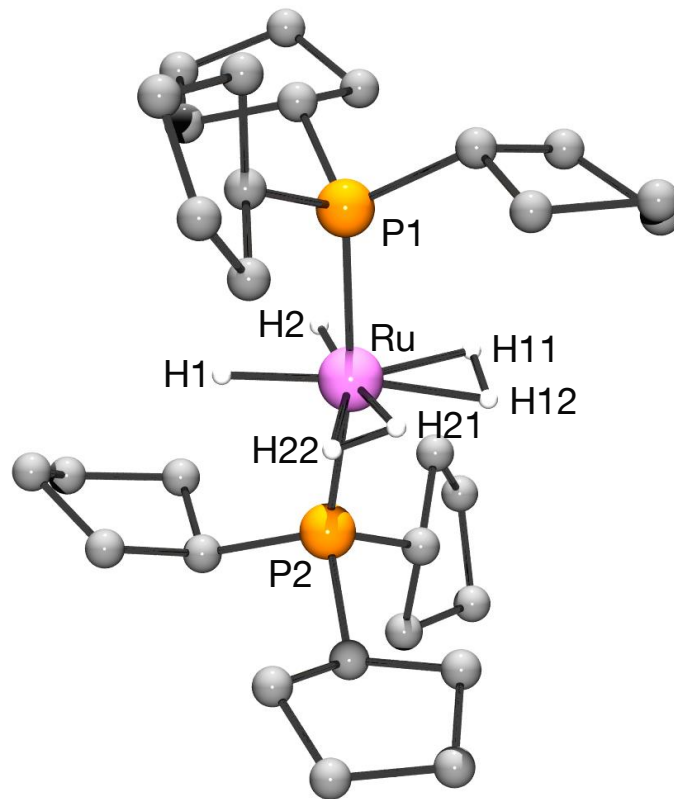
H11–Ru–H12: 27.4

H21–H22: 83.5

H21–Ru–H22: 27.5

H1–H2 : 213.2

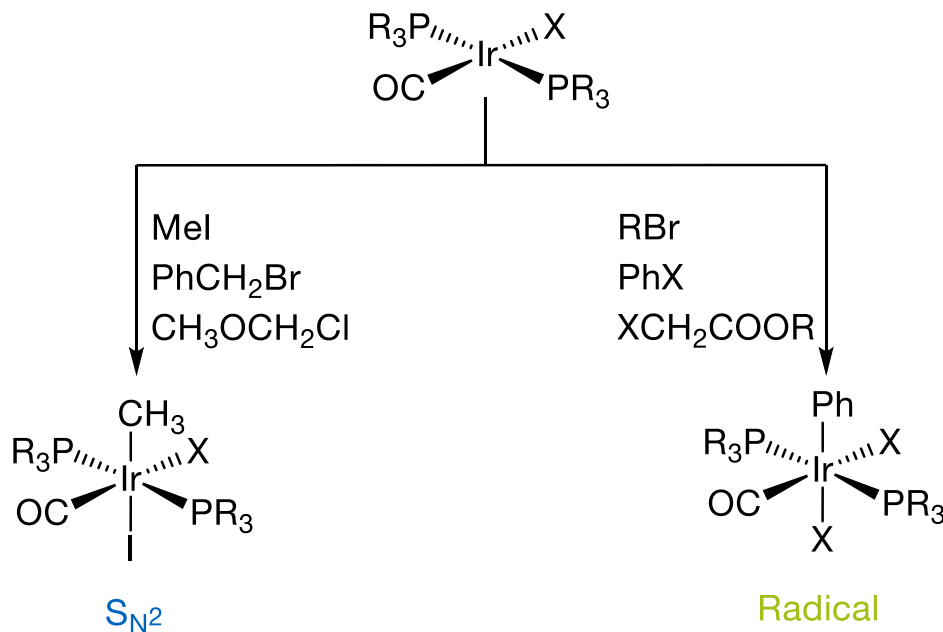
H1–Ru–H2 : 81.9



# Oxidative addition

## Heterolytic bond activation of polar bonds

Alkyl- and aryl halides:  $S_N2$  vs. radical vs. concerted (depend on the substrate and on the metal)

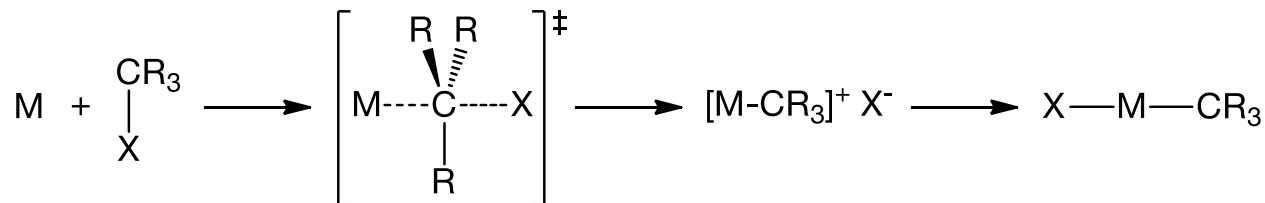


# Oxidative addition

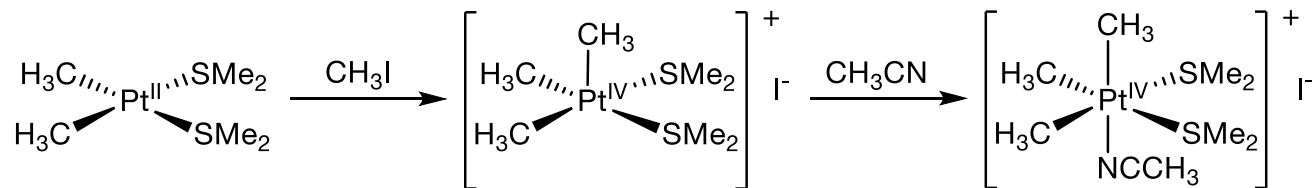
## $S_N2$ -type bond activation of polar bonds

Most prominent for alkyl- and aryl halides

$S_N2$  mechanism with a nucleophilic metal center:



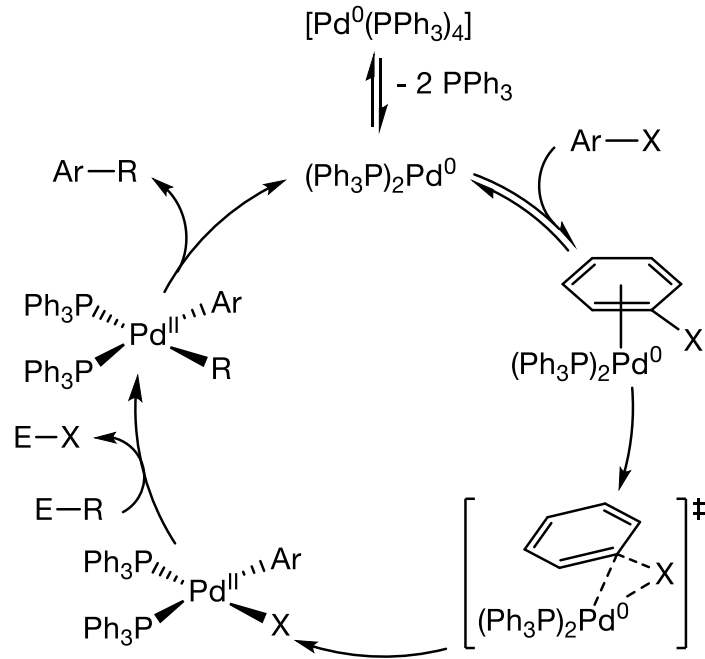
Proof: scavenging of the intermediate



# Oxidative addition

## Concerted bond activation of medium polar bonds with Pd(0)

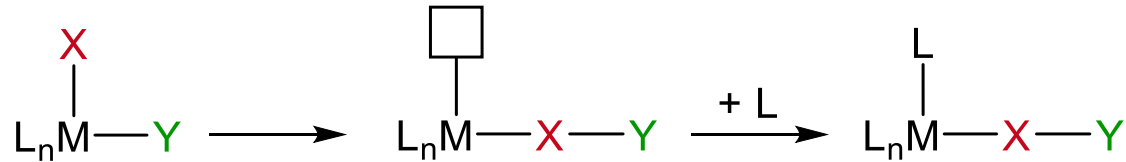
Example: C–C cross coupling reactions with Pd(0) complexes



R-E	Name reaction
R-BR <sub>2</sub>	Suzuki coupling
R-ZnX	Negishi coupling
R-MgX	Kumada coupling
R-SnR <sub>3</sub>	Stille coupling
Olefins	Heck coupling

## Principle

**Intramolecular insertion** (,migratory insertion')  $\rightarrow$  nucleophilic attack



**X** = nucleophile (H, alkyl, acyl, etc....)

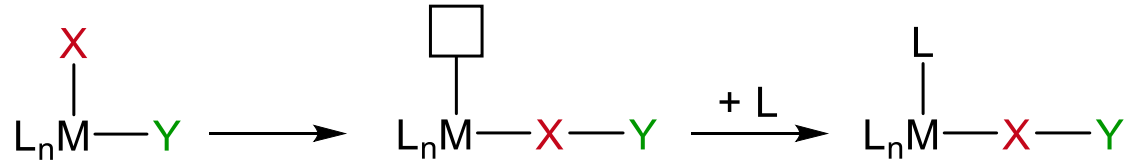
**Y** = electrophile (CO, olefins, alkynes, (Fischer) carbenes, etc....)

- $\Rightarrow$  The oxidation state of the metal does not change
- $\Rightarrow$  X and Y must be in *cis*-position
- $\Rightarrow$  A free coordination site is created



## Principle

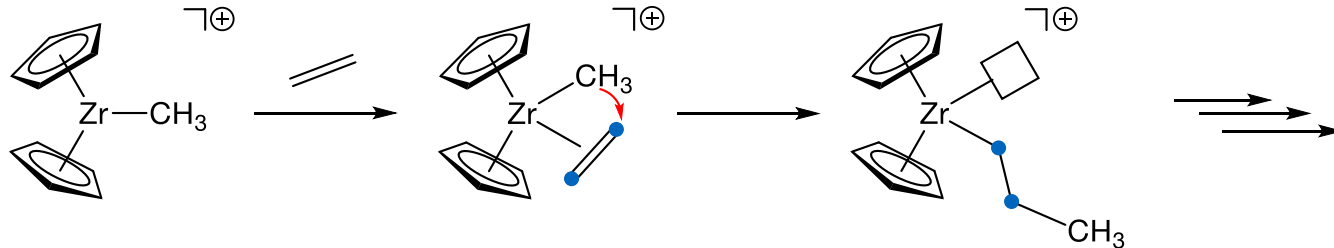
**Intramolecular insertion** ('migratory insertion')  $\rightarrow$  nucleophilic attack



**X** = nucleophile (H, alkyl, acyl, etc....)

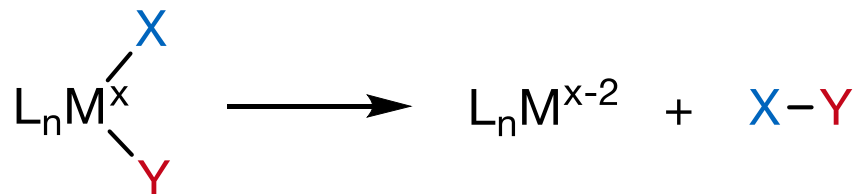
**Y** = electrophile (CO, olefins, alkynes, (Fischer) carbenes, etc....)

Example:



# Reductive elimination

## Principle

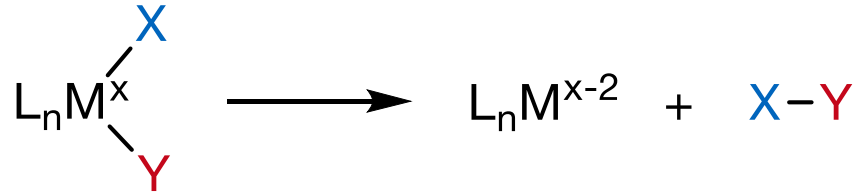


- Conditions:**
- ligands X and Y must stand cis to each other
  - the product X–Y must be stable (e.g. by the VE count and oxidation state)
  - the metal complex product must be stable

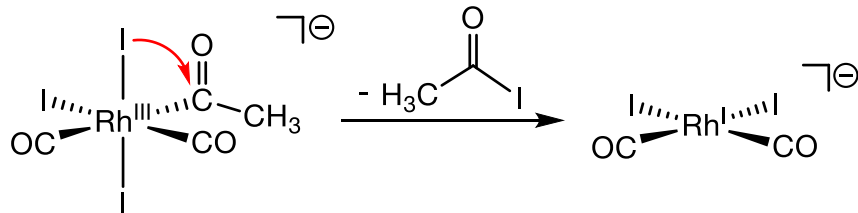
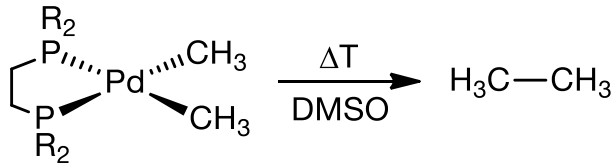
- Kinetics:**
- X and Y are *trans* to each other → rearrangement slows RE
  - addition of  $\sigma$ -donor ligands accelerates RE
  - Large ligands accelerate RE
  - 3- and 5-coordinated complexes undergo RE faster than 4- and 6-coordinated complexes

# Reductive elimination

## Principle



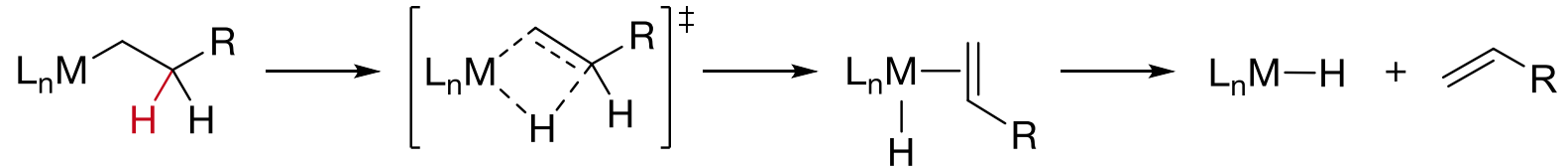
## Examples:



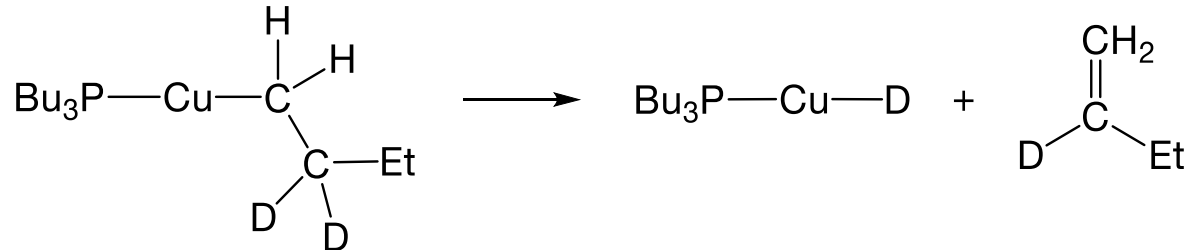
# $\beta$ -Hydride elimination

Alkyl ligands with  $\beta$ -hydrogen atoms are unstable

C–H bond activation by transition metals (especially late TM)



$\Rightarrow$  Experimental proof: deuteration experiments ( $\rightarrow$  NMR)



# The ligands

# The ligands

## More than meets the eye

### ⇒ Spectator

Stabilize the complex as such

### ⇒ Place holder

Help forming a free coordination site

### ⇒ Charge control

Push/pull of electron density to the metal

### ⇒ Steric control

Shielding and/or chiral control

### ⇒ Reactant

React with the substrate to the product

# The ligands

## Classifications

- ⇒ coordination over carbon or heteroatoms
- ⇒ charge: neutral (CO, phosphines, ...) or anionic (halides, alkyl, hydroxide, ...)
- ⇒ No. of donating electrons
- ⇒ bond properties: nucleophile or electrophile? (strong e-donor or e-acceptor?)

### Location of e-pair for the $\sigma$ -bond?

- free e-pair (phosphines, amines, CO, alkyl, etc...)
- $\pi$ -bond (olefins, alkynes, allyl, arenes, Cp and derivatives)
- $\sigma$ -bond (e.g.  $H_2$  in dihydrogen complexes)

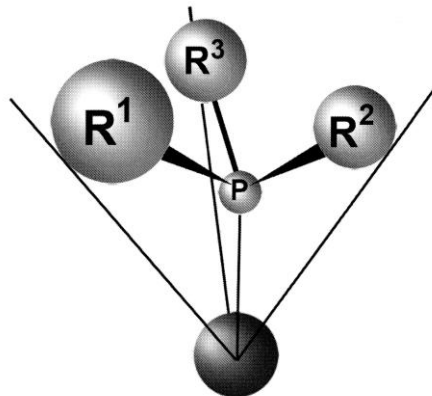
### Are there $\pi$ -interactions?

- $\pi$ -donor (e.g. oxo, halide, amido, imido ligands, etc...)
- no (or weak)  $\pi$ -interactions
- $\pi$ -acceptor (e.g. CO, olefins, alkynes, etc...)

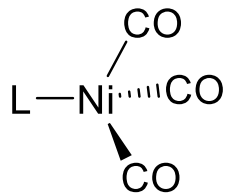
# Phosphines

By far most used ligands in organometallic chemistry

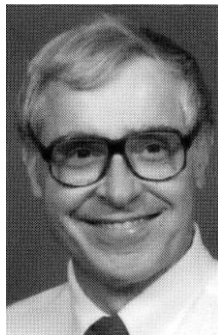
- Strong  $\sigma$ -donors  
 $\pi$ -acceptors
- Electronic properties vary  
with the substituent R



⇒ Studied by Chadwick Tolman



Shift of the CO  
vibration depends  
on L



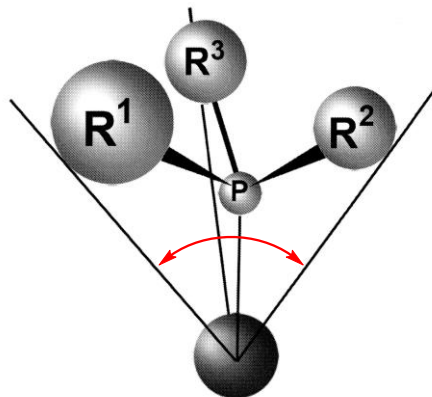
Ligand	$\tilde{\nu}(\text{CO})$ [ $\text{cm}^{-1}$ ]
$\text{PMe}_3$	2064.1
$\text{P(OMe)}_3$	2079.5
$\text{PEt}_3$	2061.7
$\text{PPh}_3$	2068.9
$\text{P(OPh)}_3$	2085.3
$\text{P}^i\text{Pr}_3$	2059.2
$\text{PCy}_3$	2056.4
$\text{P}^t\text{Bu}_3$	2056.1
$\text{P(o-Tol)}_3$	2066.6
$\text{P(Mes)}_3$	n.a.



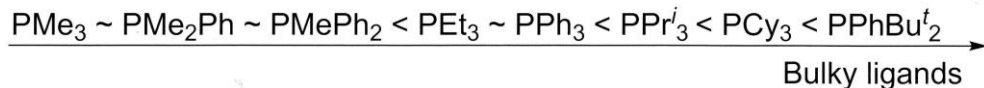
# Phosphines

By far most used ligands in organometallic chemistry

- Strong  $\sigma$ -donors  
 $\pi$ -acceptors
- Steric properties vary  
with the substituent R



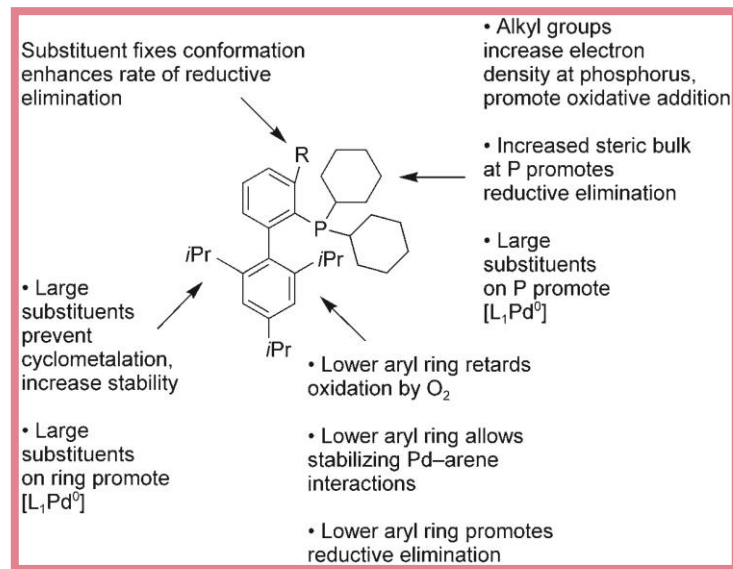
Free coordination sites



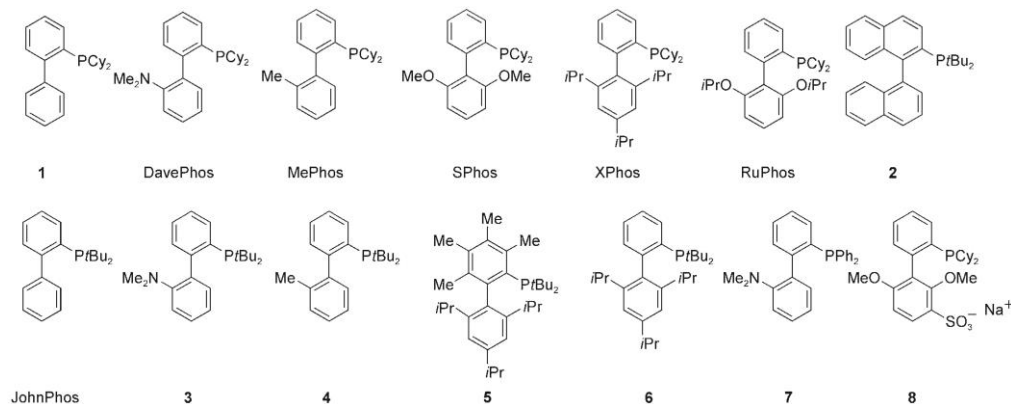
Ligand	Cone angle [°]
$\text{PMe}_3$	118
$\text{P(OMe)}_3$	107
$\text{PEt}_3$	132
$\text{PPh}_3$	145
$\text{P(OPh)}_3$	128
$\text{P}^i\text{Pr}_3$	160
$\text{PCy}_3$	170
$\text{P}^t\text{Bu}_3$	182
$\text{P(o-Tol)}_3$	194
$\text{P(Mes)}_3$	212

# Dialkylbiarylphosphines

## Design influences reactivity and stability of the catalyst

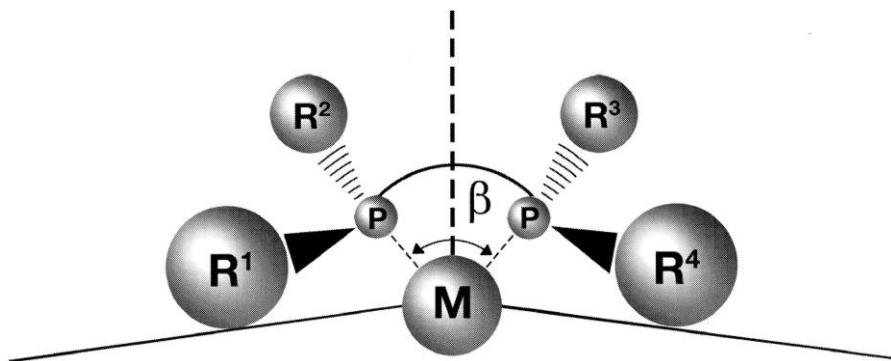


S. L. Buchwald



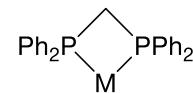
# Diphosphines

Chelating ligands – *cis* coordination

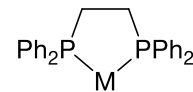


P – P ligand

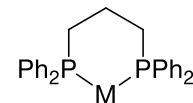
Bite angle [°]



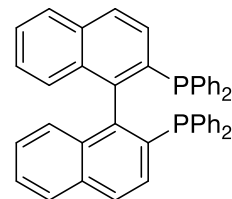
72



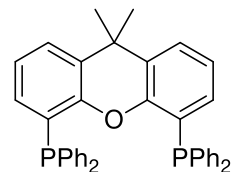
84



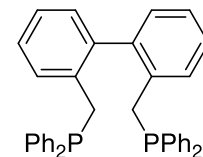
86



92

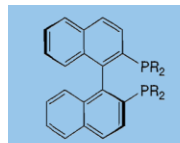


112

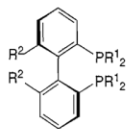


113-123

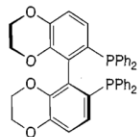
# Diphosphines: Chirality



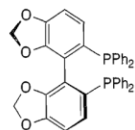
(S)-BINAP: R = Ph  
(S)-ToiBINAP: R = 4-MeC<sub>6</sub>H<sub>4</sub>  
(S)-XylBINAP: R = 3,5-(Me)<sub>2</sub>C<sub>6</sub>H<sub>3</sub>



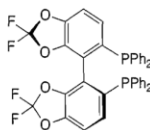
(S)-BICHEP: R<sup>1</sup> = Cy; R<sup>2</sup> = CH<sub>3</sub>  
(S)-BIPHEMP: R<sup>1</sup> = Ph; R<sup>2</sup> = CH<sub>3</sub>  
(S)-BIPHEP: R<sup>1</sup> = Ph; R<sup>2</sup> = OCH<sub>3</sub>



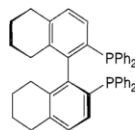
(S)-bisbenzodioxanPhos (SYNPHOS)



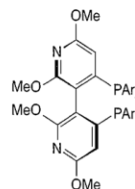
(S)-SEGPHOS



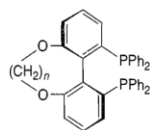
(S)-Difluorophos



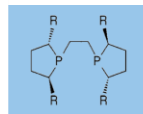
(S)-H8-BINAP



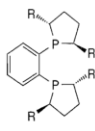
(S)-P-Phos: Ar = Ph  
(S)-Tol-P-Phos: Ar = 4-MeC<sub>6</sub>H<sub>4</sub>  
(S)-Xyl-P-Phos: Ar = 3,5-(Me)<sub>2</sub>C<sub>6</sub>H<sub>3</sub>



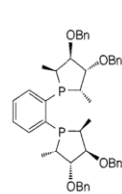
(S)-C<sub>n</sub>-TunePhos  
n = 1-6



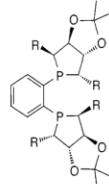
(S,S)-Me-BPE: R = Me  
(S,S)-Et-BPE: R = Et  
(S,S)-Pr-BPE: R = Pr  
(S,S)-Ph-BPE: R = Ph



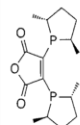
(S,S)-Me-DuPhos: R = Me  
(S,S)-Et-DuPhos: R = Et  
(S,S)-Pr-DuPhos: R = Pr



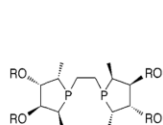
RoPHOS



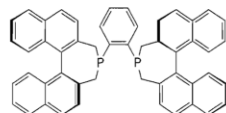
R = Me or Et



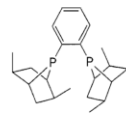
MalPHOS



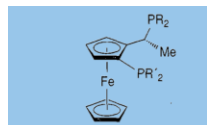
R = Bn  
R = Bu



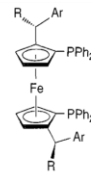
(S,S)-BINAPHANE



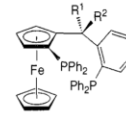
(R,S,R,S)-Me-PennPhos



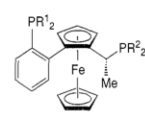
(R)- and (S)-Josiphos: R = Cy; R' = Ph  
(R)- and (S)-PPF-Bu: R = Bu; R' = Ph  
(R)- and (S)-Xylphos: R = 3,5-Me<sub>2</sub>Ph;  
R' = Ph  
(R)- and (S)-Cy<sub>2</sub>PF-PCy<sub>2</sub>: R = Cy;  
R = Cy : R = Bu; R' = 4-CF<sub>3</sub>-Ph



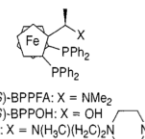
MandyPhos (FERRIPHOS)  
R = Me; Ar = Ph  
R = Me; Ar = o-Tolyl  
R = Me; Ar = 2-Np  
R = Pr; Ar = Ph  
R = N(Me)<sub>2</sub>; Ar = Ph



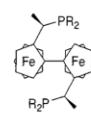
TaniaPhos  
R<sup>1</sup> = NMe<sub>2</sub>; R<sup>2</sup> = H  
R<sup>1</sup> = N-pyrrolidyl; R<sup>2</sup> = H  
R<sup>1</sup> = Me; R<sup>2</sup> = H  
R<sup>1</sup> = Pr; R<sup>2</sup> = H  
R<sup>1</sup> = H; R<sup>2</sup> = OMe



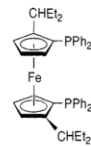
WalPhos  
R<sup>1</sup> = Ph; R<sup>2</sup> = 3,5-(CF<sub>3</sub>)C<sub>6</sub>H<sub>3</sub>  
R<sup>1</sup> = 3,5-Me<sub>2</sub>-4-MeOC<sub>6</sub>H<sub>2</sub>  
R<sup>2</sup> = 3,5-(CF<sub>3</sub>)C<sub>6</sub>H<sub>3</sub>



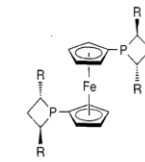
(R,S)-BPPFA: X = NMe<sub>2</sub>  
(R,S)-BPPH: X = OH  
X = N(H<sub>3</sub>C)(H<sub>3</sub>C)<sub>2</sub>N



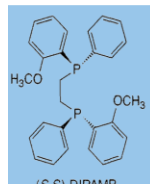
(R,R)- and (R,S)-TRAP  
EiTRAP: R = Et  
PrTRAP: R = Pr  
BuTRAP: R = Bu  
PhTRAP: R = Ph



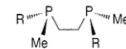
(S,S)-FerroPHOS



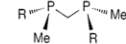
(S,S)-Et-FerroTANE: R = Et



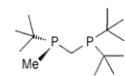
(S,S)-DIPAMP



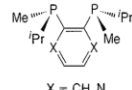
(S,S)-Bu-BisP\*: R = Bu  
(S,S)-Ad-BisP\*: R = 1-adamantyl  
(S,S)-Cy-BisP\*: R = Cy



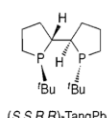
(S,S)-Bu-MiniPhos: R = Bu  
(S,S)-Cy-MiniPhos: R = Cy  
(S,S)-Pr-MiniPhos: R = Pr



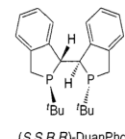
Tri-chicken-foot Phos



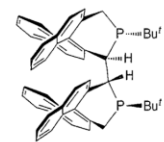
Unsymmetric BisP\*  
R<sup>1</sup> = 1-Ad; R<sup>2</sup> = Bu  
R<sup>1</sup> = 1-Ad; R<sup>2</sup> = Cy



(S,S,R,R)-TangPhos



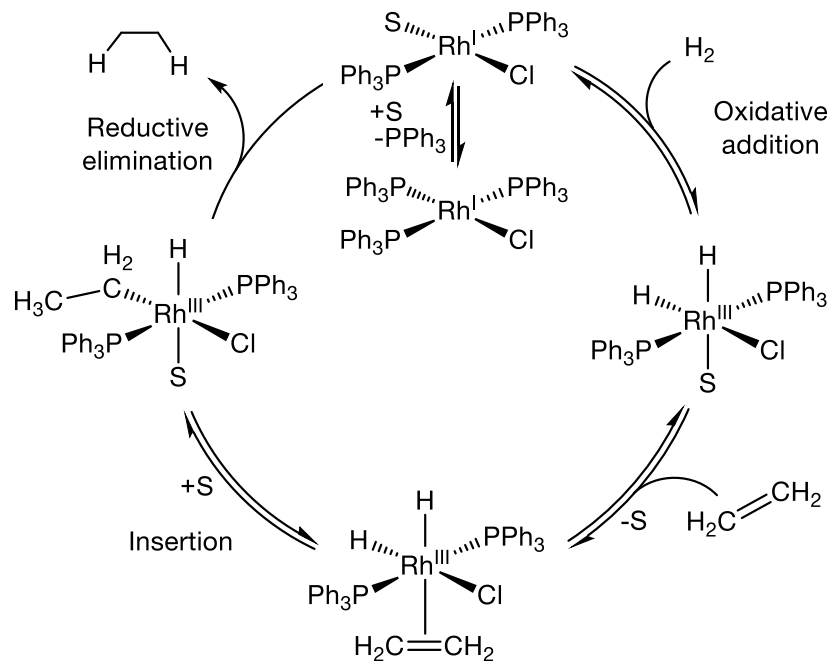
(S,S,R,R)-DuanPhos



Binapine

# The ligand influence

Again: the catalytic hydrogenation of olefins



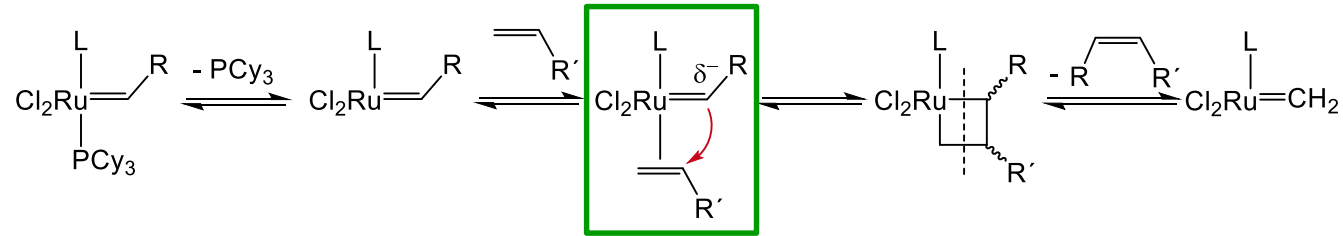
Relative activity:

$\tilde{\nu}(\text{CO})$ [ $\text{cm}^{-1}$ ]	2066.1	2068.9	2085.3	2056.4
Cone angle	$\sim 145$	145	128	170
Activity				

# The ligand influence

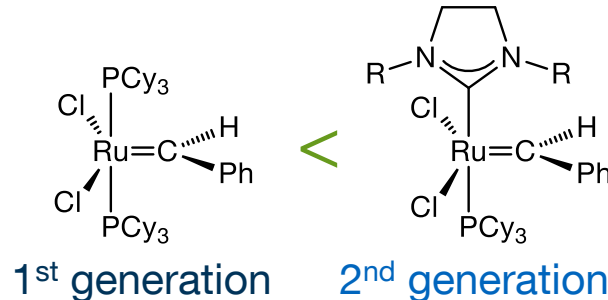
## Example: Grubbs carbenes in olefin metathesis

### Mechanism of olefin metathesis with Ru-catalysts



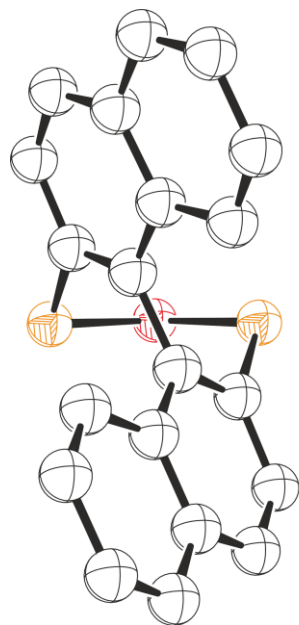
Free coordination site is important

Binding of the substrate is important  $\rightarrow$  *trans*-influence

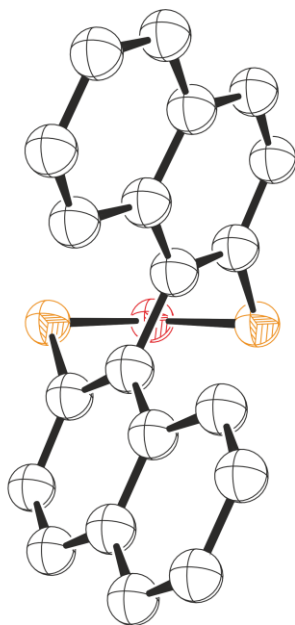


# The ligand influence

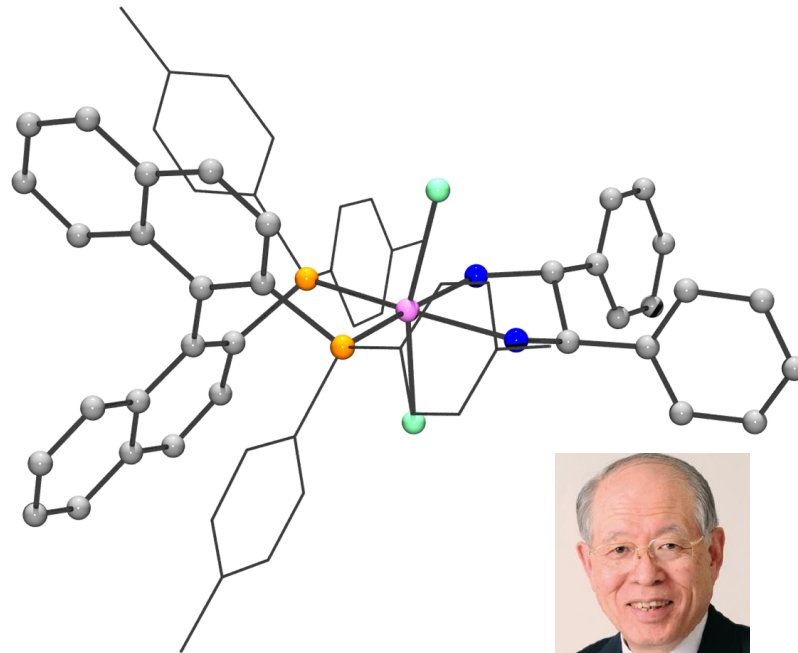
## Stereoselectivity with chiral diphosphines



(S)-BINAP



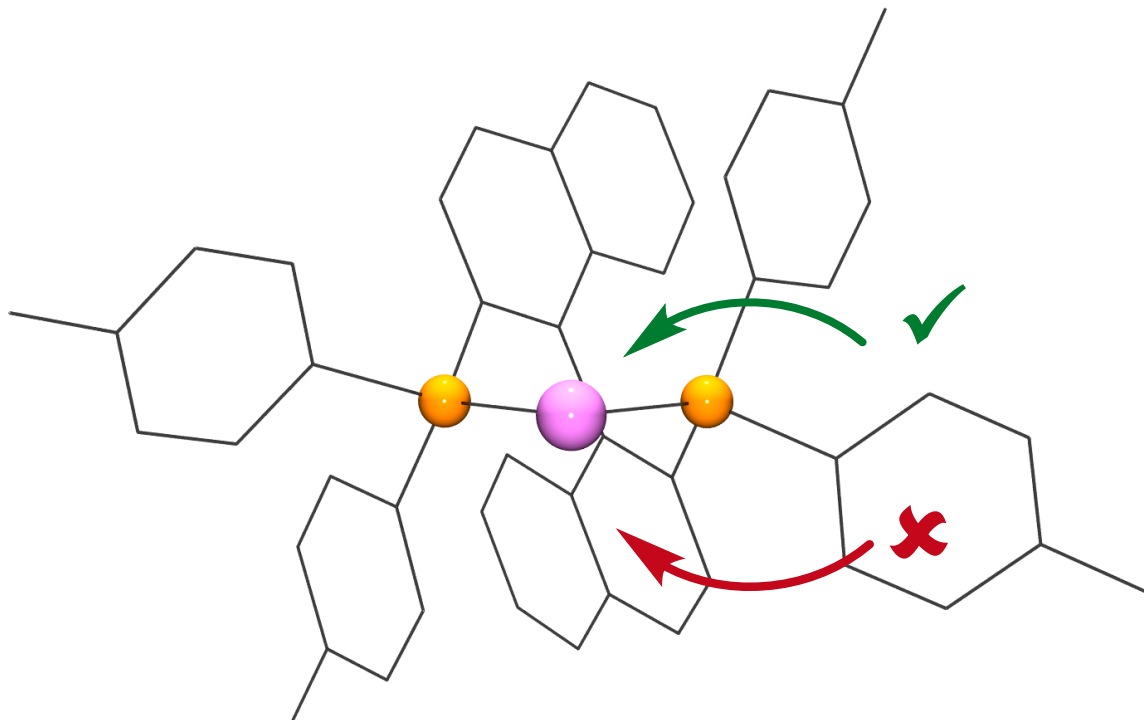
(R)-BINAP



Ryoji Noyori

# The ligand influence

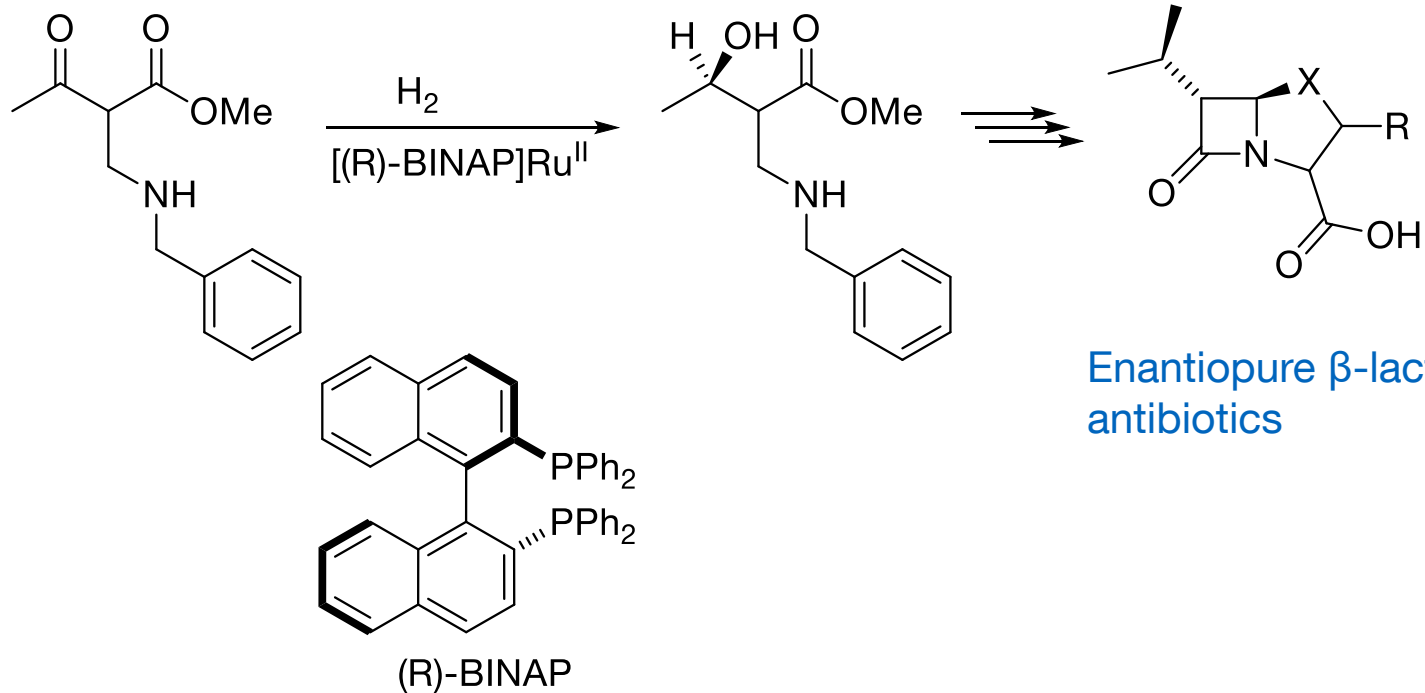
## Stereoselectivity with chiral diphosphines





# Enantioselective hydrogenation

## Synthesis of antibiotics



Enantiopure  $\beta$ -lactame  
antibiotics

Back to the SHOP...

When the author started at Shell Development in Emeryville in 1965 the following economic environment existed:

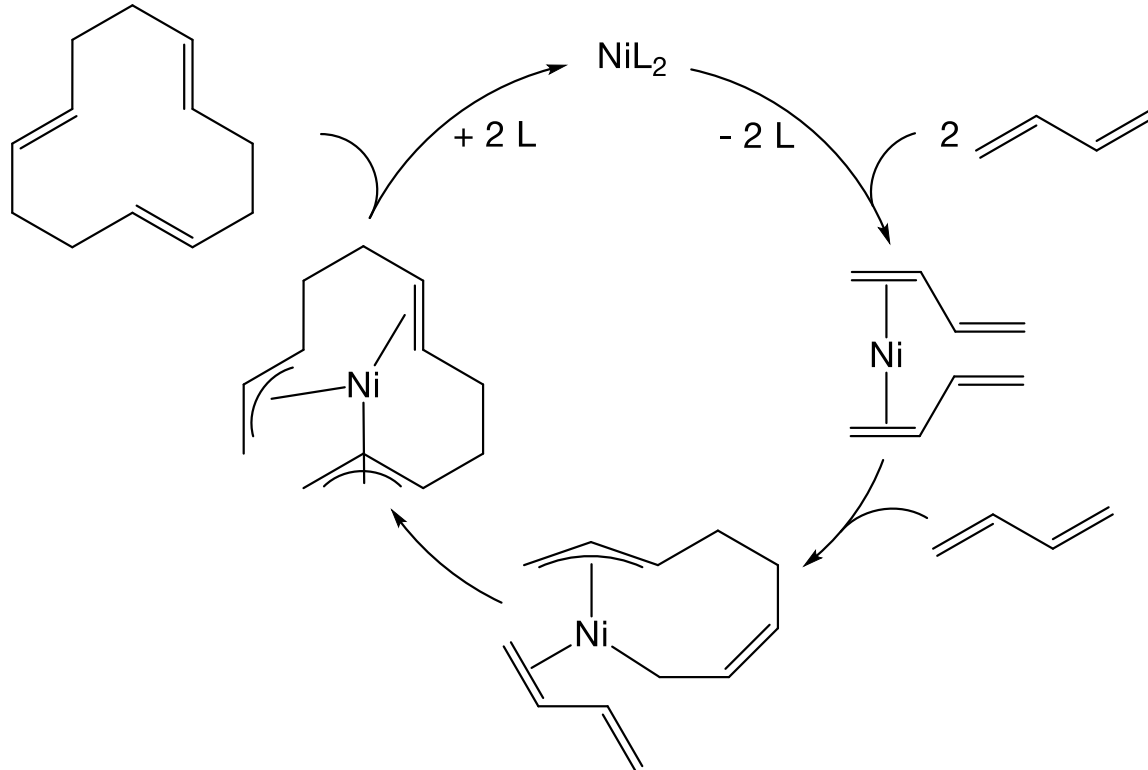
1. Shell Chemicals had just commissioned a hydroformylation plant to manufacture fatty alcohols (Neodol) in Geismar, Louisiana. Due to ecological requirements a change away from “hard” detergents with branched chains to “soft” detergents with linear fatty alcohols was emerging. The lack of biological degradation of the branched surfactants had resulted in the accumulation of foam on rivers and seas. Furthermore, the detergents based on Neodol showed good washing performance in hard water and could be used at low washing temperatures. They also worked well in formulations with low phosphate content, which reduced the eutrophication of surface waters. The fatty alcohols produced by Shell were then in high demand, and the market developed high growth rates.
2. The olefins used in Geismar for hydroformylation were produced by the halogenation of alkanes with chlorine and elimination of HCl. This process had considerable disadvantages, as the monoolefins produced in this way shorten the lifetime of the cobalt phosphane catalyst.
3. Shell planned the construction of a large-scale gas oil cracker. A market was still needed for a major portion of the generated ethylene.

Against this background,  $\alpha$ -olefins and linear monoolefins with an internal double bond were in demand at Shell Chemicals for hydroformylation. The research division of Shell Development Company was instructed to work on the oligomerization of ethylene to linear  $\alpha$ -olefins and linear monoolefins. At that time  $\alpha$ -olefins were primarily produced by cleavage of wax and the Ziegler polymerization of ethylene. The wax splitting and the Ziegler process are very expensive and give lower quality products for the manufacture of detergents.

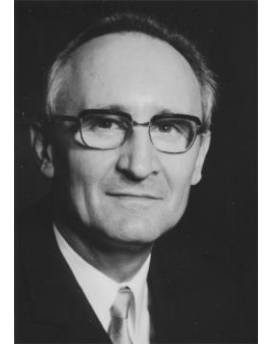
As a chemist who had completed his dissertation under the supervision of K. Ziegler and G. Wilke, I was very familiar with the transition-metal-catalyzed C–C coupling of olefins. Numerous homogenous transition-metal catalysts with monodentate phosphane ligands had been described by which the direction of the C–C linkage could be influenced. The use of ligands containing polydentate phosphane was hardly described; hence it seemed appropriate to investigate bidentate phosphane ligands. The concept described in Figure 1 shows the basis of the underlying considerations.

# Background

## Trimerization of 1,3-butadiene at Ni(0) complexes



Karl Ziegler  
(MPI Mülheim)



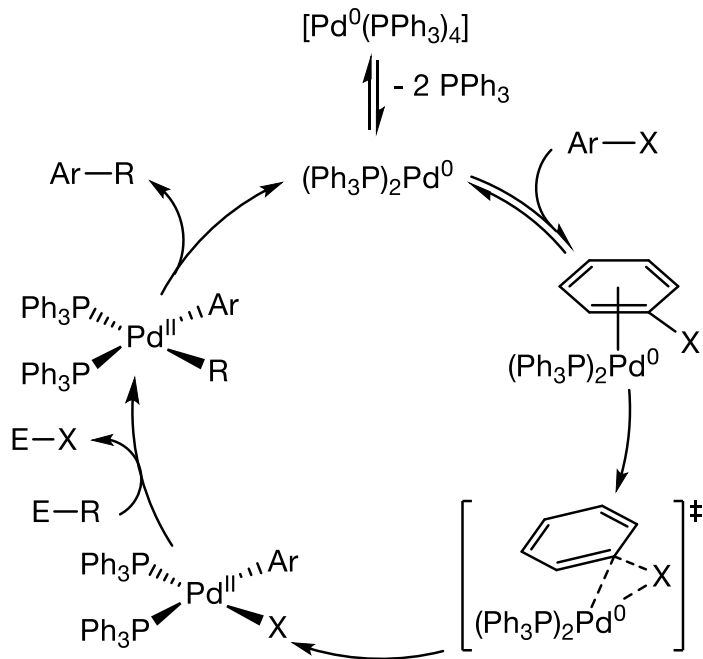
Günther Wilke  
(MPI Mülheim)

# Remember: Pd-catalyzed C–C cross coupling

Discovered in the 1970ies!

Nobel Prize in Chemistry in 2010

*‘for palladium-catalyzed cross couplings in organic synthesis’*



R. F. Heck  
(Delaware, USA)



E. Negishi  
(Purdue, USA)



A. Suzuki  
(Sapporo, Japan)

# Designing the catalyst

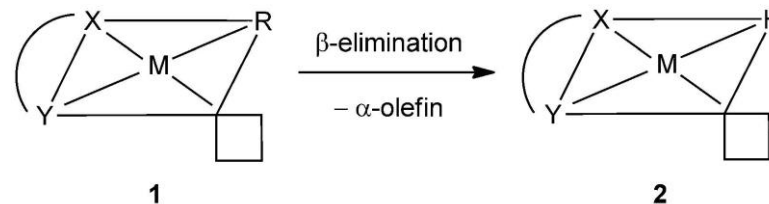
The bidentate chelate ligand XY should exhibit the following properties: it should force square-planar coordination at nickel and as a donor ligand it should enable the stereochemical distinction between the *trans* (electronic factor) and *cis* positions (steric factor); the ligand should be hemilabile. Nickel was chosen as the metal as I had much experience with organonickel compounds for the C–C linkage of olefins in my dissertation “ $\pi$ -Allyl Complexes of Nickel, Palladium, and Platinum”. Ethylene should coordinate on complex **1** as shown in Figure 1 and in a subsequent step insert into the nickel–carbon bond M–R (growth). A subsequent  $\beta$ -elimination should release  $\alpha$ -olefins with formation of the nickel hydride complex **2**. The latter then coordinates ethylene, which is again inserted, so that **1** is generated again. Thus a catalytic cycle occurs in which repeated ethylene coordination and insertion form the basis of chain extension.

Why square-planar?

Why hemilabile?

Why nickel?

How & why does this catalysis work?



R = growing, linear, ethylene-derived carbon chain

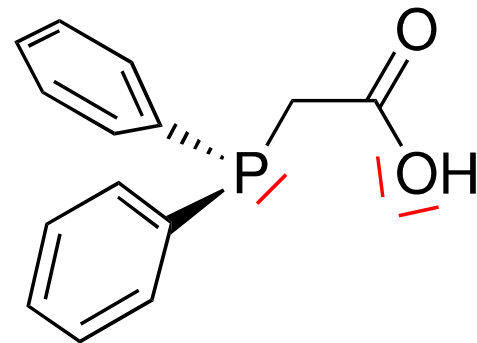
M = nickel

$\text{X} \text{---} \text{Y}$  = bidentate chelate ligand

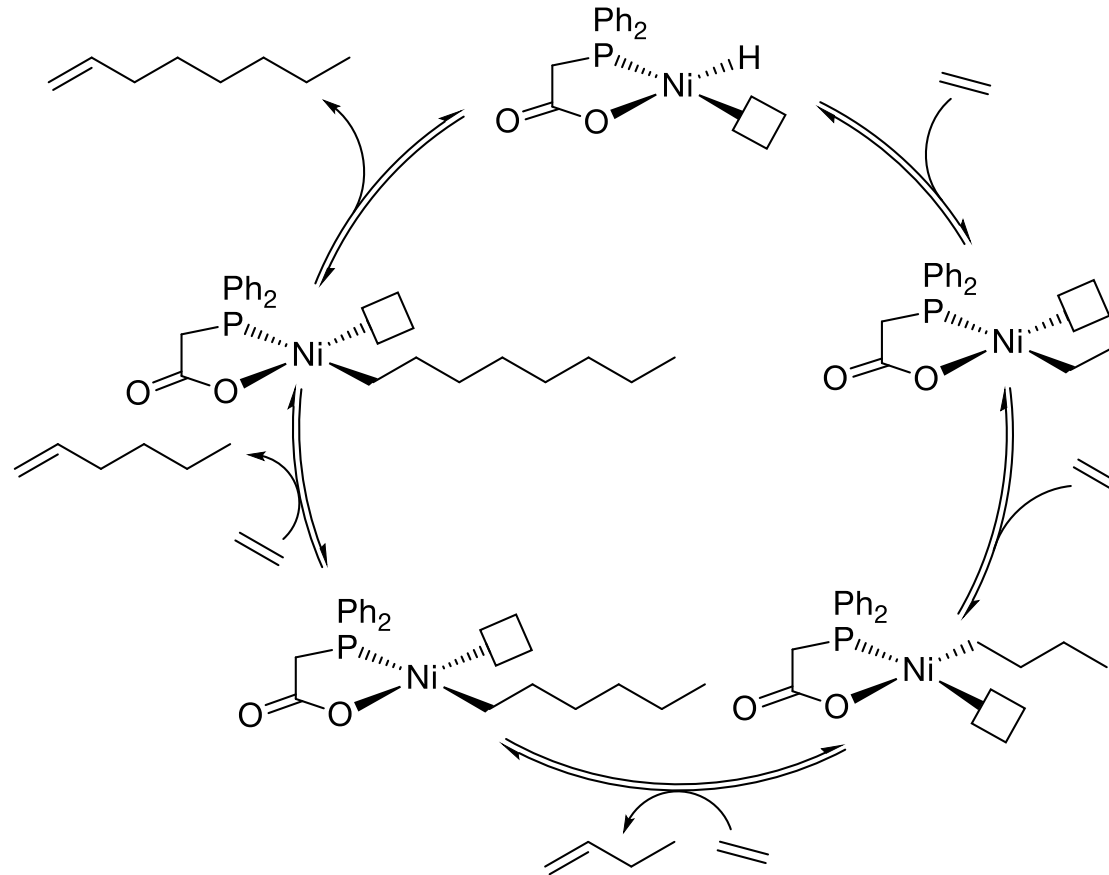
Y = P; X = O, N, S etc.,  $\square$  = ethene coordination site

# The ligand

At the same time in our group, T. Shryne pursued the rhodium-catalyzed hydrogenation of sulfolene to sulfolane. He synthesized the ligand  $\text{Ph}_2\text{PCH}_2\text{COOH}$ , which had been produced for the first time by K. Issleib. This also seemed to me to be of interest for my work on ethylene oligomerization. Was it the correct proposed model concept (Figure 1)? Was it a stroke of luck (“serendipity in research”)? On April 14, 1968 the reaction of  $\text{Ph}_2\text{PCH}_2\text{COOH}$  with  $[\text{Ni}(\text{cod})_2]$  (cod = 1,5-cyclooctadiene) resulted in a red solution, which oligomerized ethylene to linear  $\alpha$ -olefins with a Schulz–Flory distribution (geometrical series, Figure 2). Figure 3 shows the first GC analysis of the synthesized olefins.



# The mechanism of the oligomerization



Sequences of:

- coordination
- ligand migration
- $\beta$ -H elimination